

29 Jan. 2010



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Scanning electron microscopy (SEM)

D.S. Su

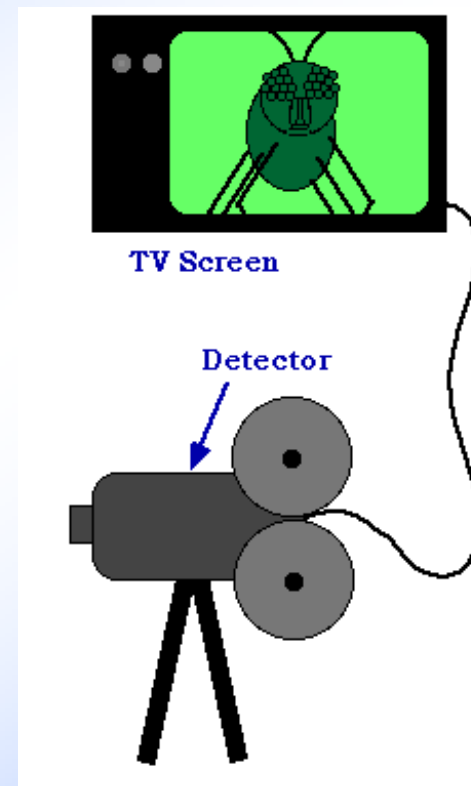
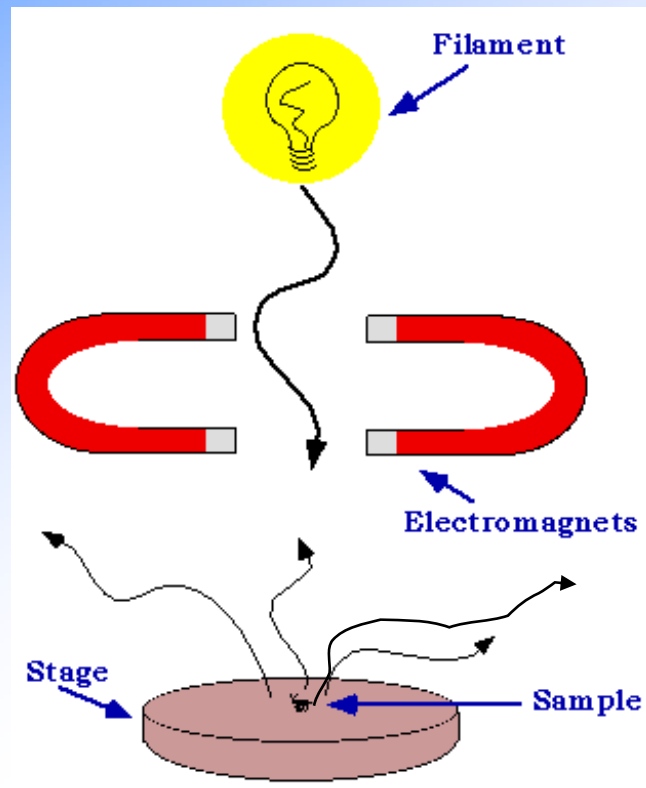
(SEM: Sächsisches Eisenbahnmuseum)



Principle of SEM



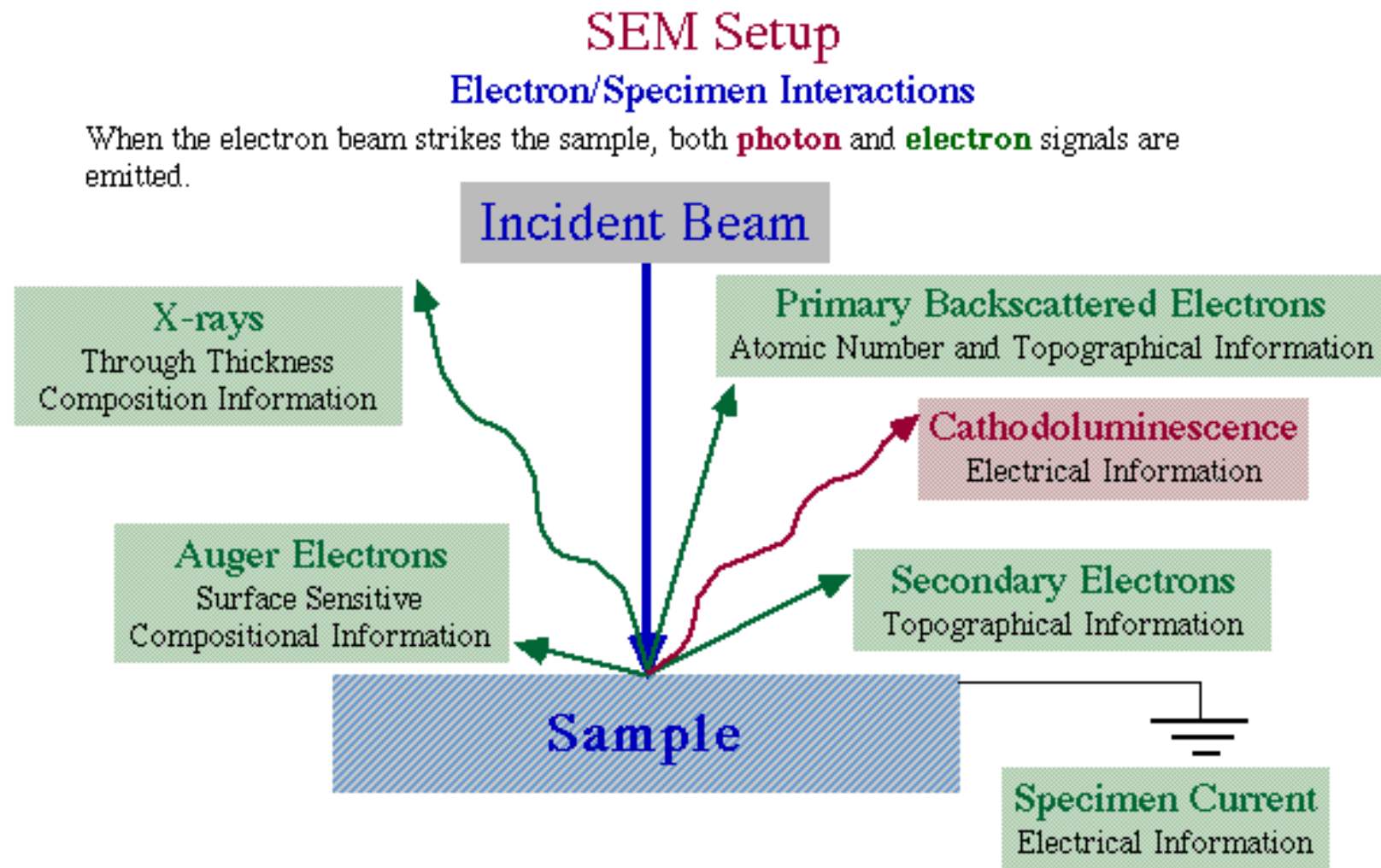
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SEM: electron beam/specimen interactions



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Inelastic scattering of electrons



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Secondary Electron Emission

Secondary electrons are electrons in the specimen that are ejected by the beam electron

- If the electrons are in the conduction or valence bands then it doesn't take much energy to eject them. They are called **slow SEs** with energies typically below about 50 eV.
- If the electrons are strongly bound inner-shell electrons they are less readily ejected, but when they are thrown out of their shells they can have a significant fraction of the beam energy (**fast SEs**)
- If the electrons are ejected from an inner shell by the energy released when an ionized atom returns to the ground state, then these secondary electrons are called **Auger electrons**.

Inelastic scattering of electrons: **Secondary electrons**



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Slow Secondary Electrons

ejected from the conduction or valence bands of the specimen (usually has energy < 50 eV)

SEs can only escape if they are near the specimen surface.

Used to form images of the specimen surface.

Fast Secondary Electrons

High-energy electrons which are generated in the specimen.

In a TEM, fast SEs can have energies of $\sim 50 - 200$ keV

FSEs are generally both unavoidable and undesirable. They are not used to form images or give spectroscopic data. And they may low the quality of the latter.

Inelastic scattering of electrons: **Secondary electrons**



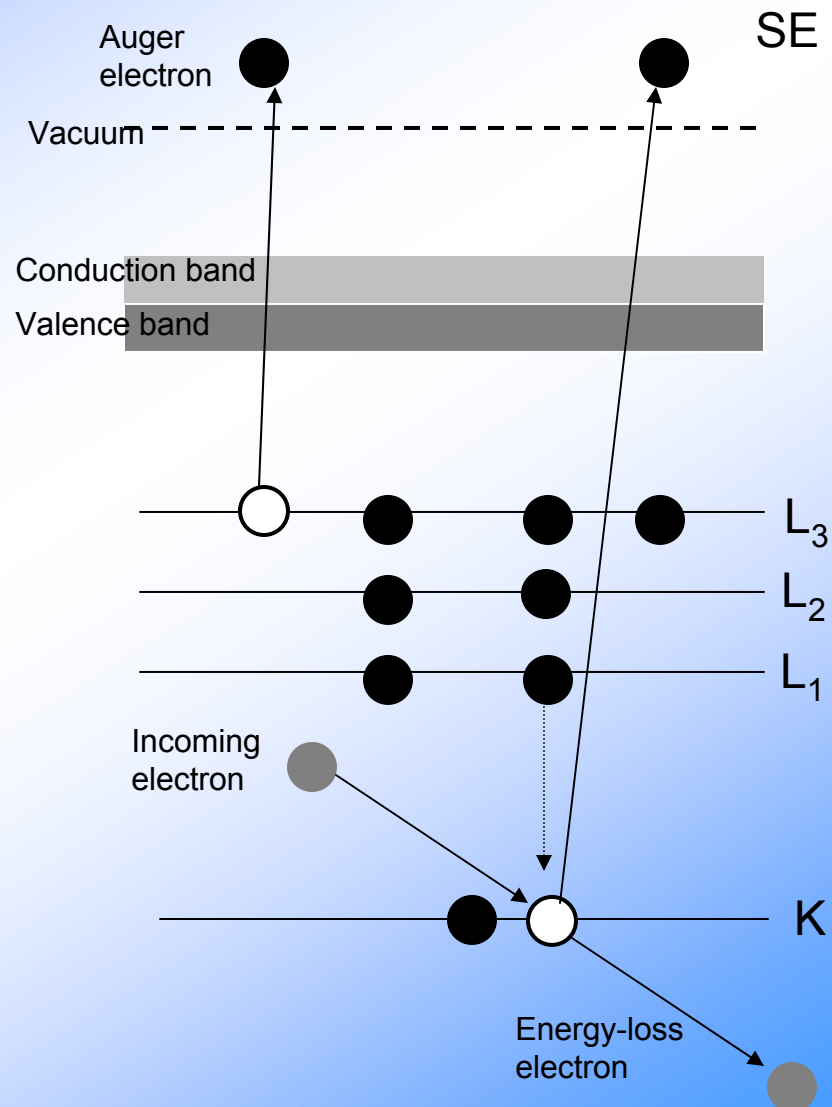
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Auger Electrons

The energy of Auger electrons is given by the difference between the original excitation energy and the binding energy of the outer shell from which electron was ejected.

Typical Auger electron energies are in the range of a few hundred eV to a few keV and are strongly absorbed within the specimen

An alternative to X-ray emission as an ionized atom returns to ground state.



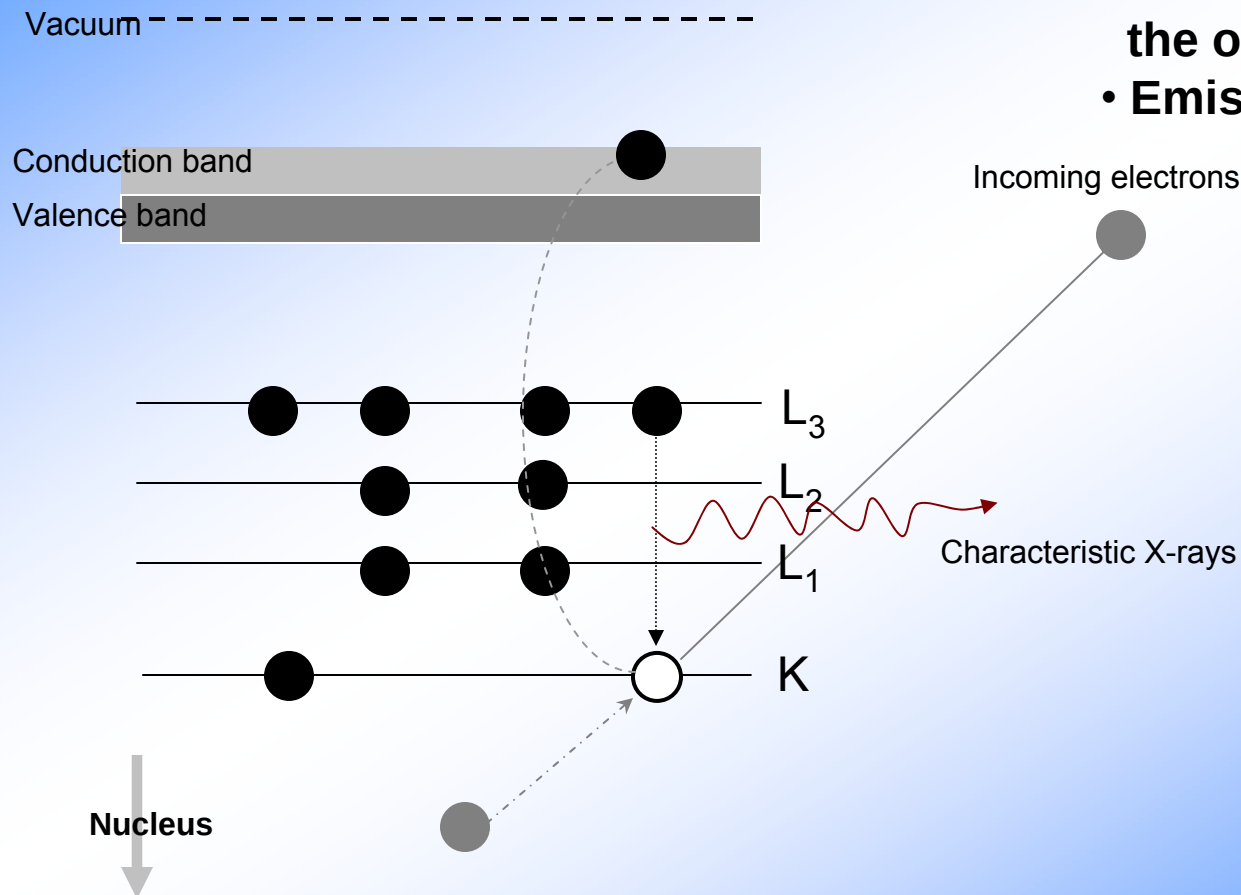
X-ray emission of atomic electrons



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Characteristic X-rays

- Ionization
- Filling in the missing electron with one from the outer shells.
- Emission of X-rays or Auger e^-



Inelastic scattering of electrons



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Electron-hole pairs and Cathodoluminescence (CL)

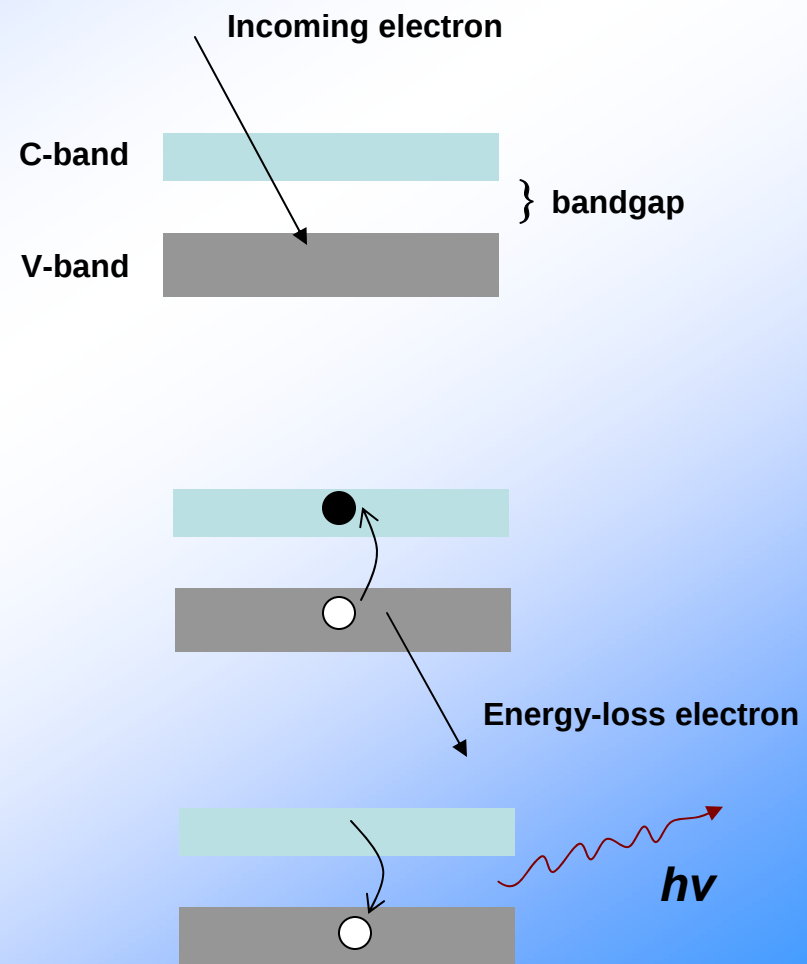
A semiconductor creates **electron-hole pairs** when hit by high-energy electron

If the electrons and holes recombine, light will be emitted (**cathodoluminescence**)

If a bias is applied (or if it happens to be a *p-n* junction), the electrons and holes can be separated.

The current detected is called the **electron beam induced current** or **EBIC** signal

Charge-collection microscopy (CCM)

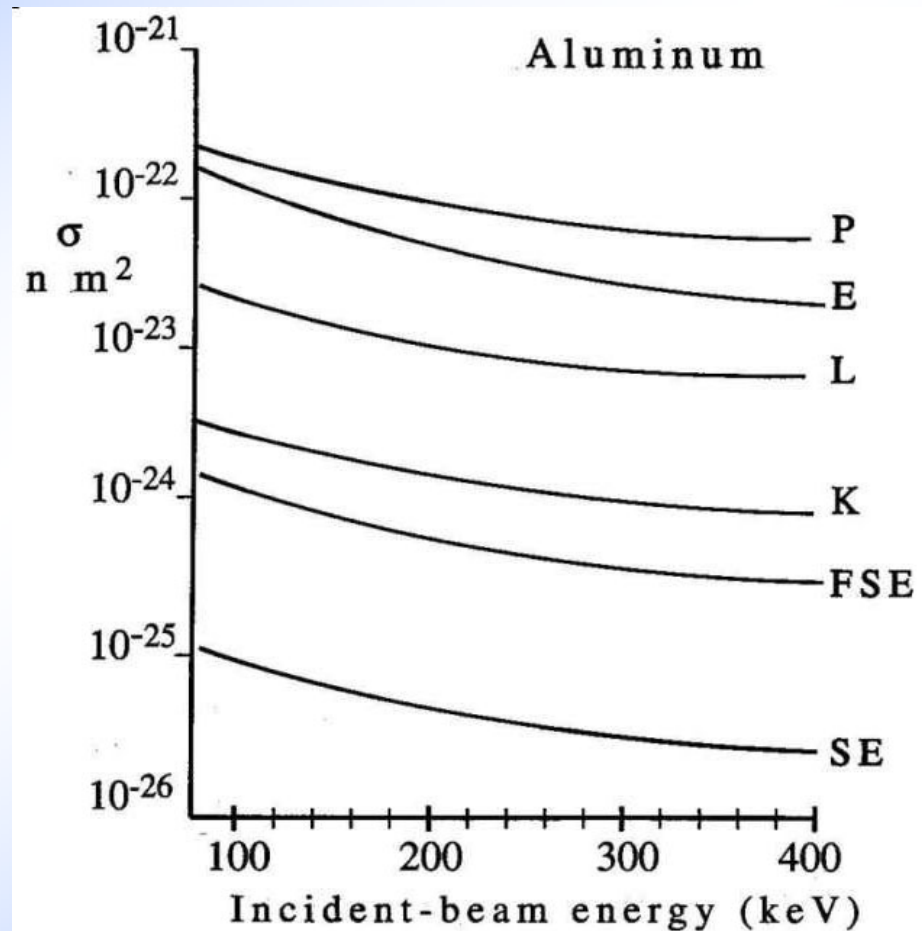


Inelastic scattering of electrons



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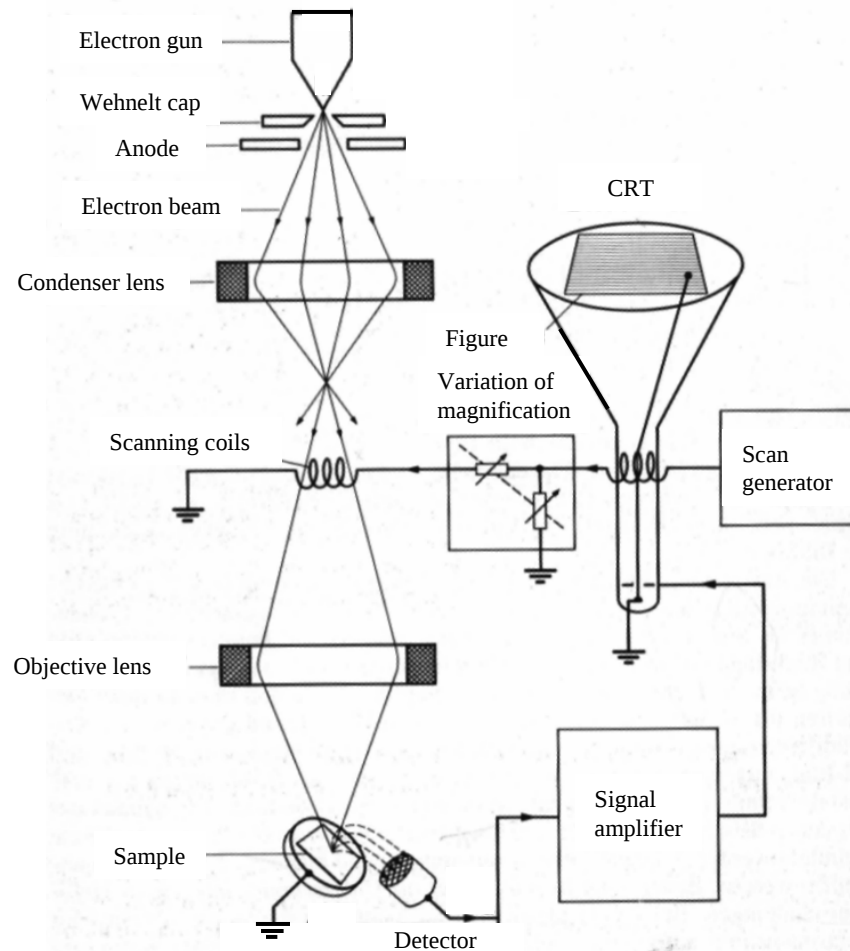
Cross sections for the various inelastic scattering processes in Al as function of the incident electron energy, assuming a small angle of scatter; plasmon (P), K and L-Shell ionization (K,L), fast and slow secondary electron generation (FSE, SE). For comparison purposes the elastic cross section (E) is also included.



Scheme of Scanning Electron Microscope



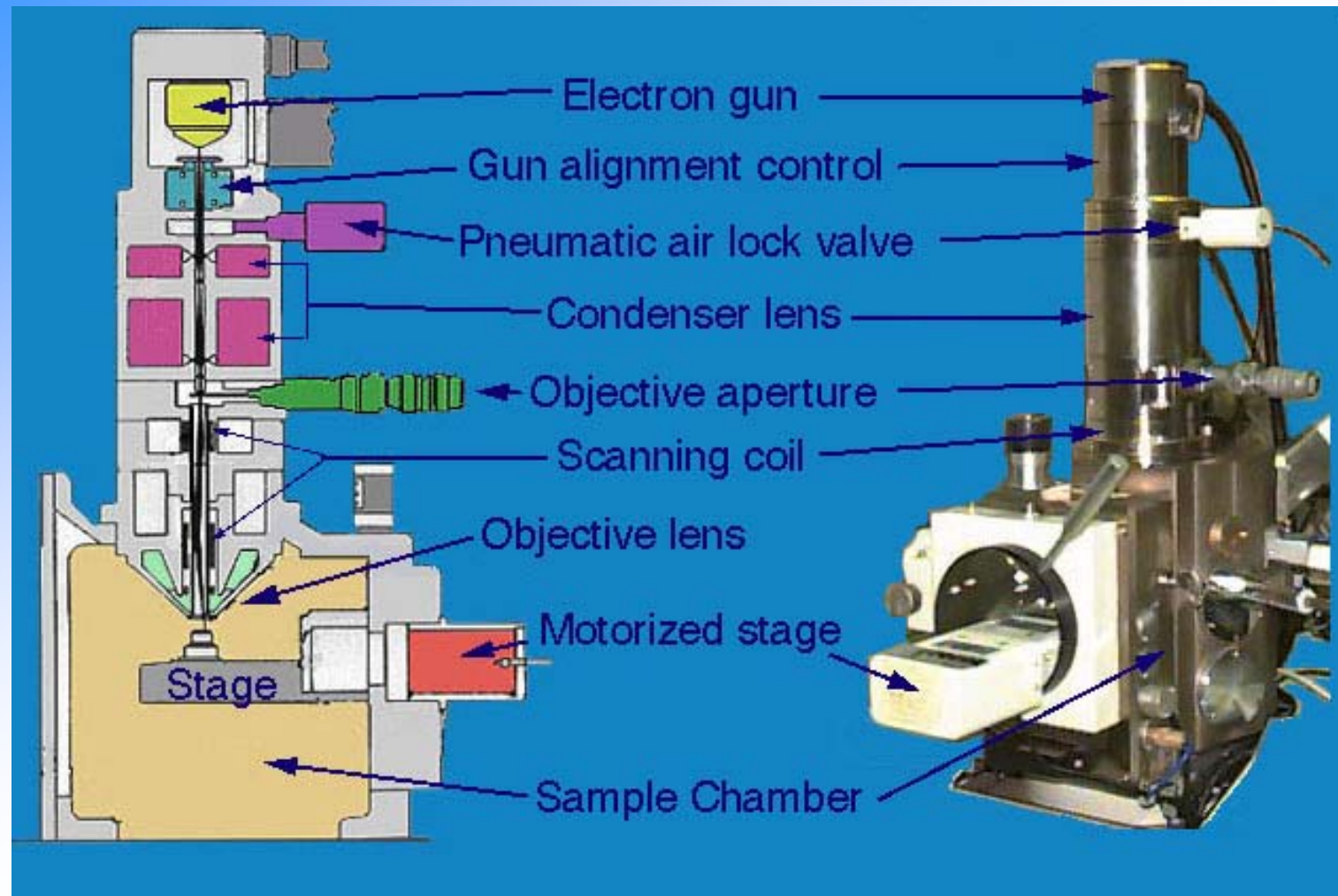
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SEM: electron optical column



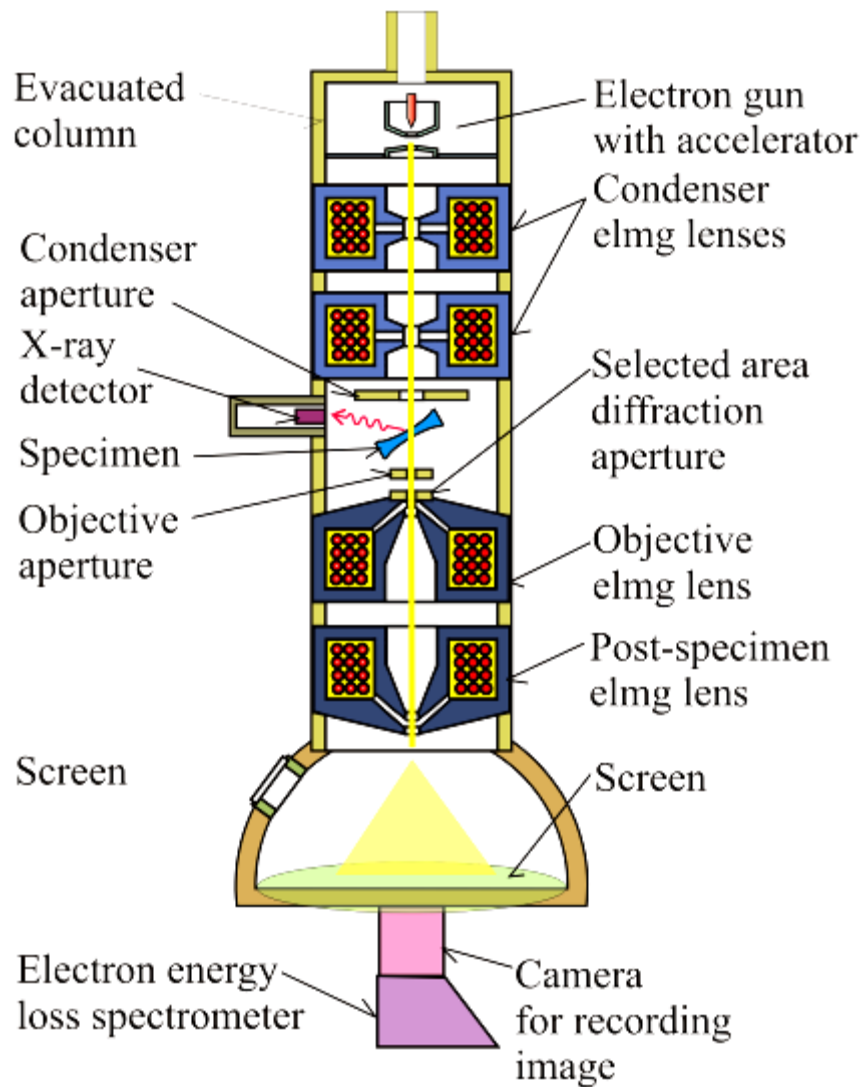
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TEM is more complicated



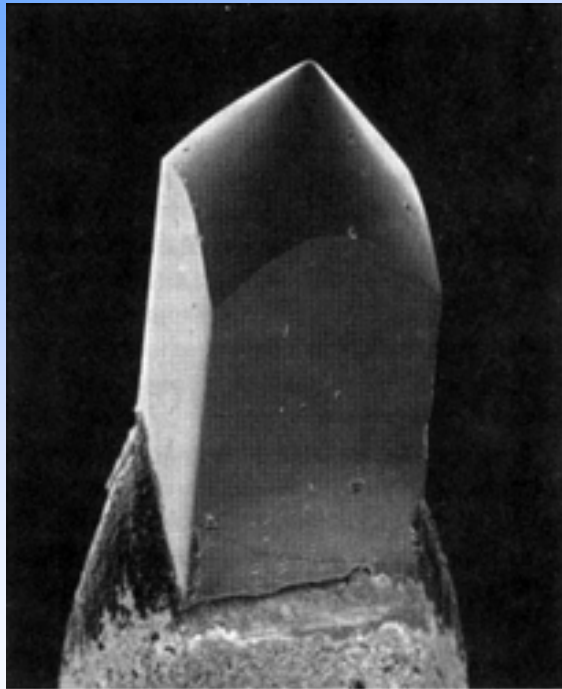
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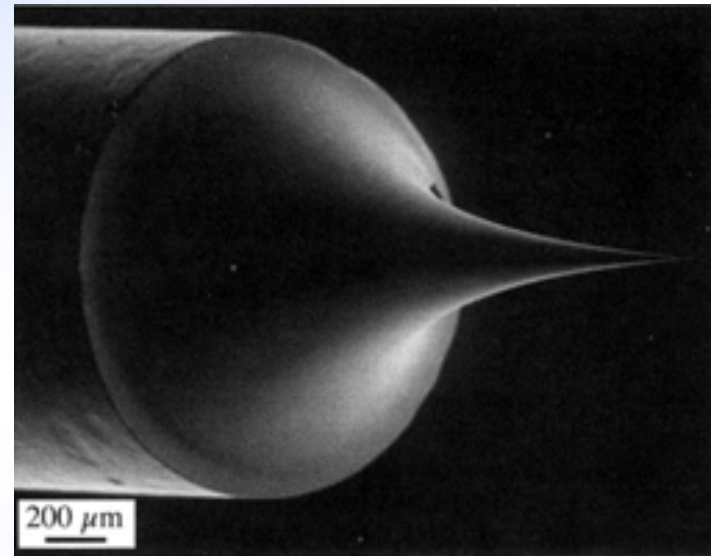
Electron Sources



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An LaB_6 crystal



An FEG tip, showing the extraordinarily fine W needle

LaB₆ Gun



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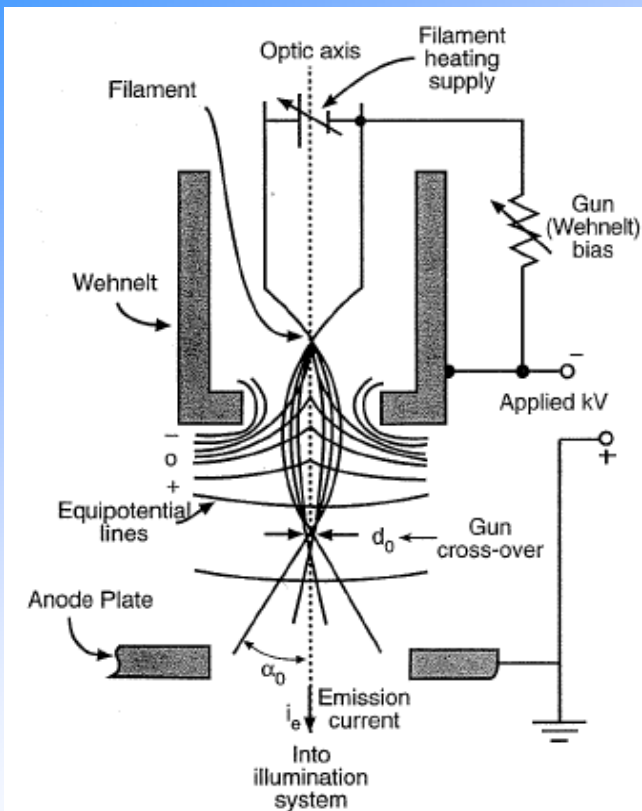
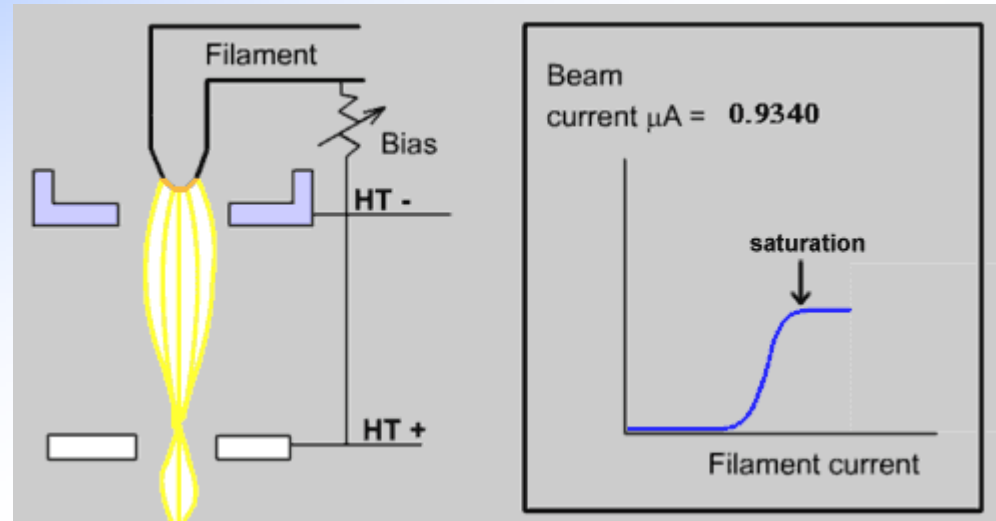


Figure 5.1. Schematic diagram of a thermionic electron gun. A high voltage is placed between the filament and the anode, modified by a potential on the Wehnelt which acts to focus the electrons into a crossover, with diameter d_0 and convergence/divergence angle α_0 .



Probe diameter > 5 nm

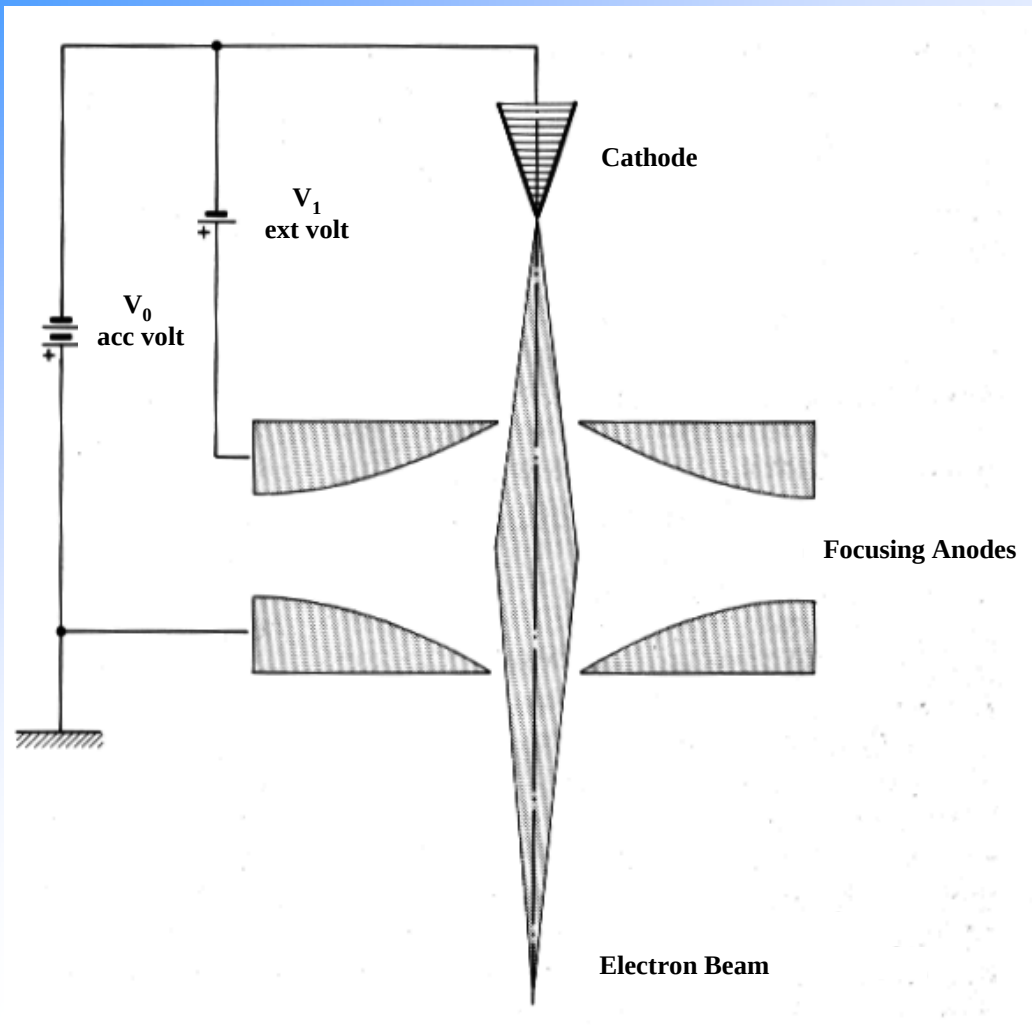
Brightness 10^9 A/m²ster

Vacuum required 10^{-4} Pa

Field Emission Gun



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Probe diameter 1-2 nm

Brightness 10^{13} A/m²ster

Vacuum required 10^{-8} Pa

SEM: General Information



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Accelerating voltage 100 V – 30000 V

Resolution 0.7 nm – 5 nm (depends on the kind of electron gun)

**Samples should be electrically conductive and
also be mounted electrically conductive on the holder**

Size of sample is limited by the specimen chamber

Kind of Information

Topography

Morphology

Composition

Crystallographic structure

SEM: sample preparation



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- 1) Remove all water, solvents, or other materials that could vaporize while in the vacuum.**
- 2) Firmly mount the samples.**
- 3) Non-metallic samples, such as plants, fingernails, and ceramics, should be coated so they are electrically conductive.**



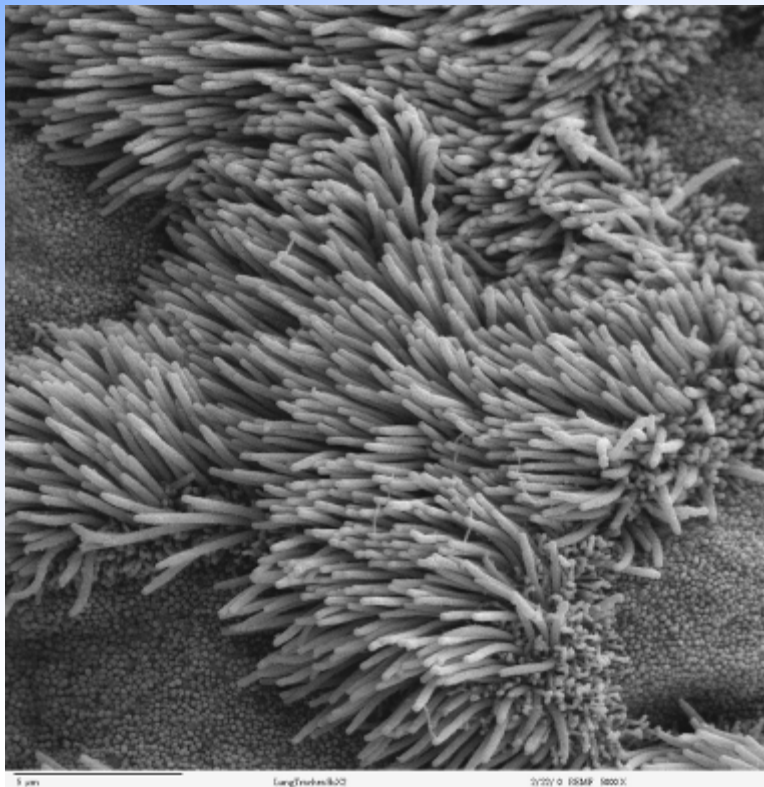
An insect coated in gold, prepared for viewing with a scanning electron microscope.

Secondary Electron Imaging (SEI)



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- Secondary electrons are detected
- The mostly used operation mode of a SEM

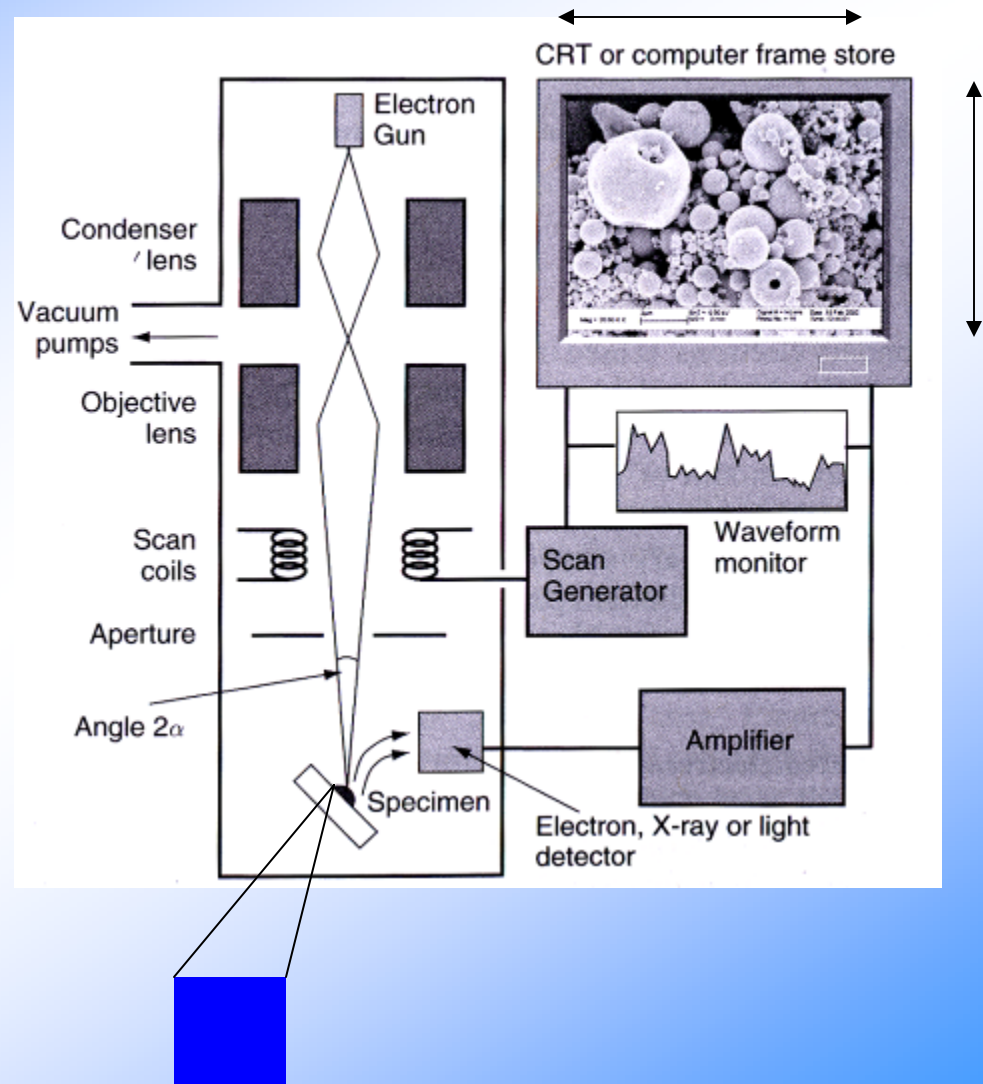


SEM: Magnification



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In a SEM, magnification results from the ratio of the dimensions of the raster on the specimen and the raster on the display device. Assuming that the display screen has a fixed size, higher magnification results from reducing the size of the raster on the specimen, and vice versa. Magnification is therefore controlled by the current supplied to the x, y scanning coils, and not by objective lens power.



SEM: Resolution



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- The spatial resolution of the SEM depends on the size of the electron spot, which in turn depends on both the wavelength of the electrons and the electron-optical system which produces the scanning beam.
- The resolution is also limited by the size of the interaction volume, or the extent to which the material interacts with the electron beam.
- The spot size and the interaction volume are both large compared to the distances between atoms, so the resolution of the SEM is not high enough to image individual atoms

Resolution of a TEM

$$q_{max} = \frac{1}{0.67(C_s \lambda^3)^{\frac{1}{4}}}$$



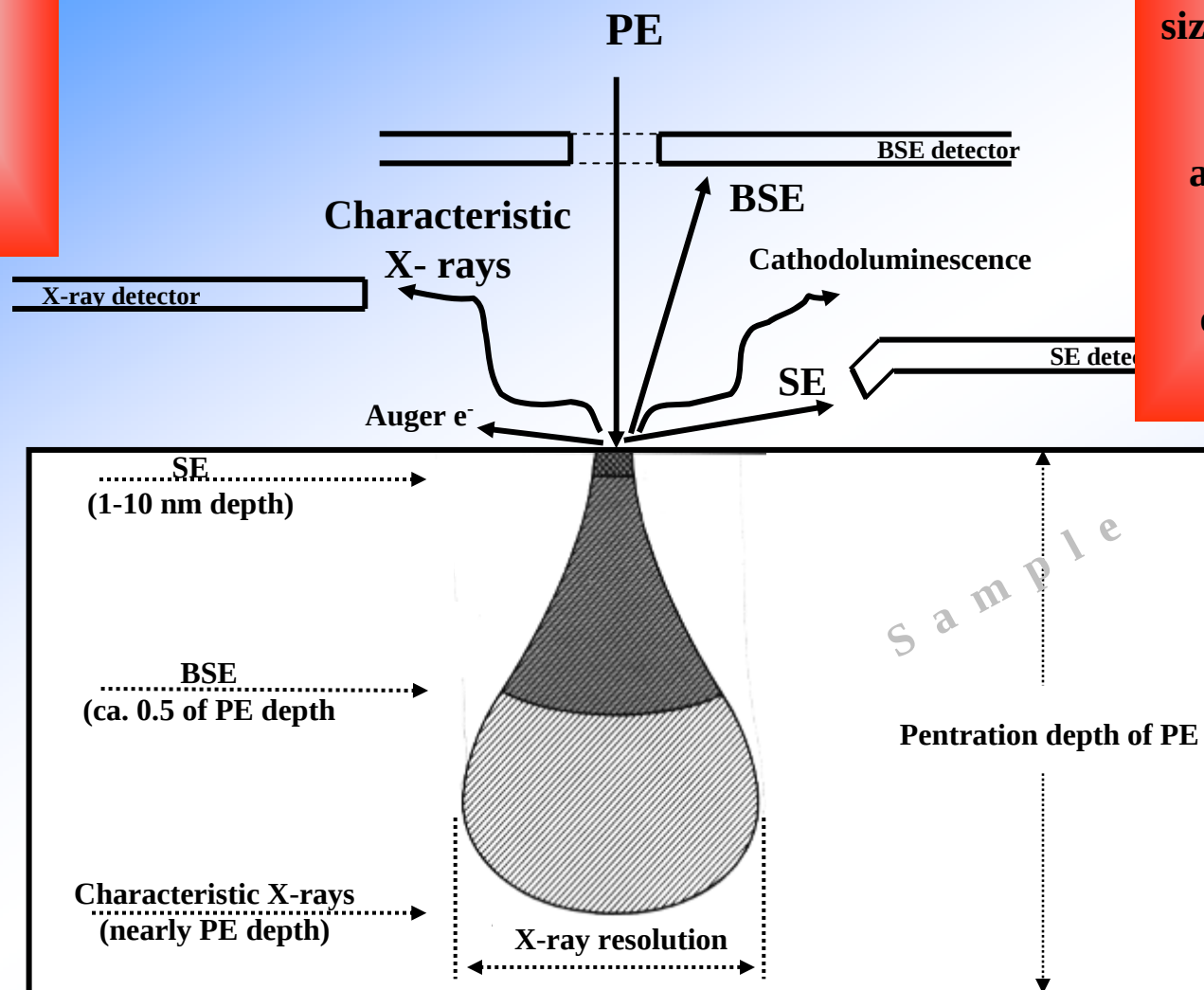
Information

of
surface
and
bulk

SEM: Information depth

Penetration depth of PE
and
size of X-ray resolution
depend on
accelarating voltage
and
density of material

$$R = 100 \cdot E^{1.43} / \rho$$

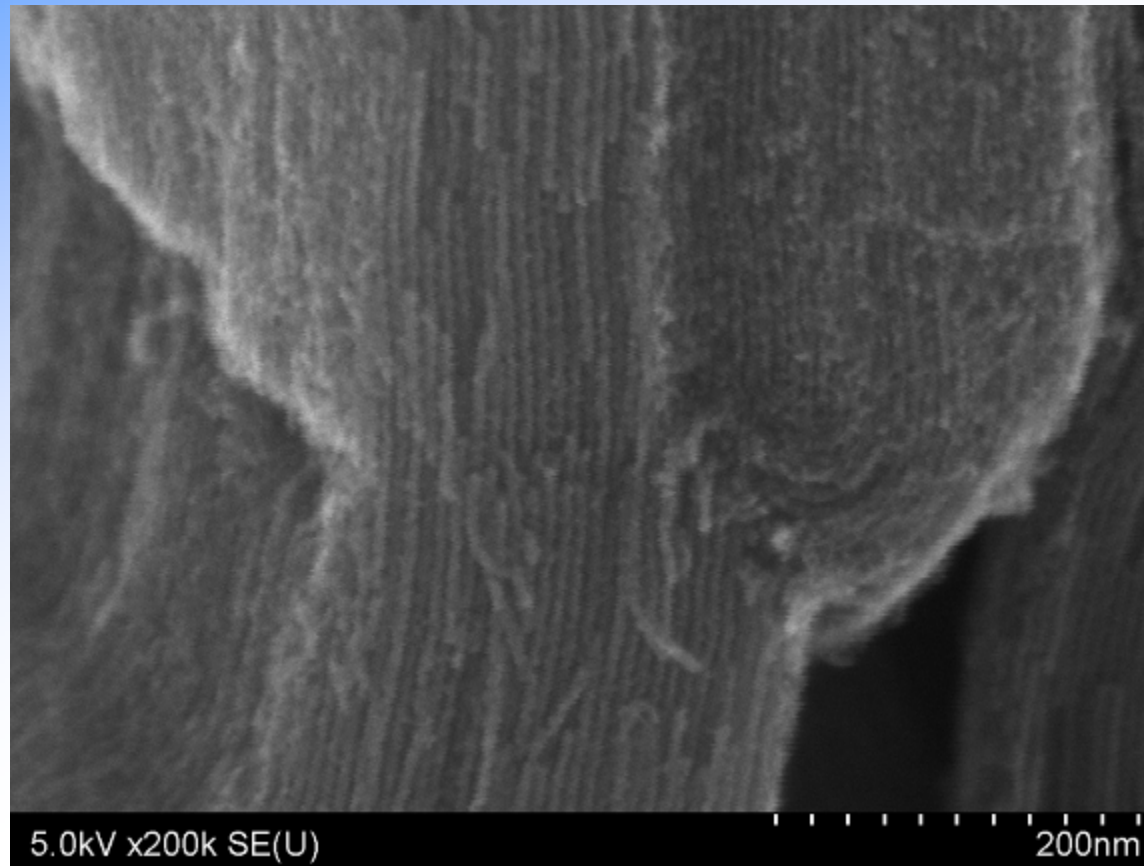
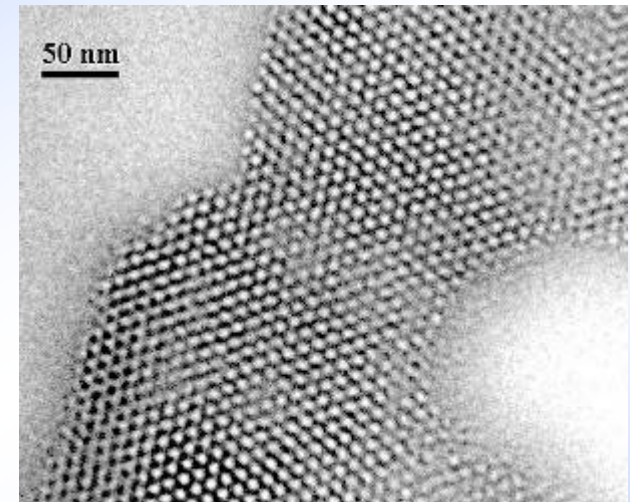


Secondary Electron Imaging (SEI)



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- Resolution

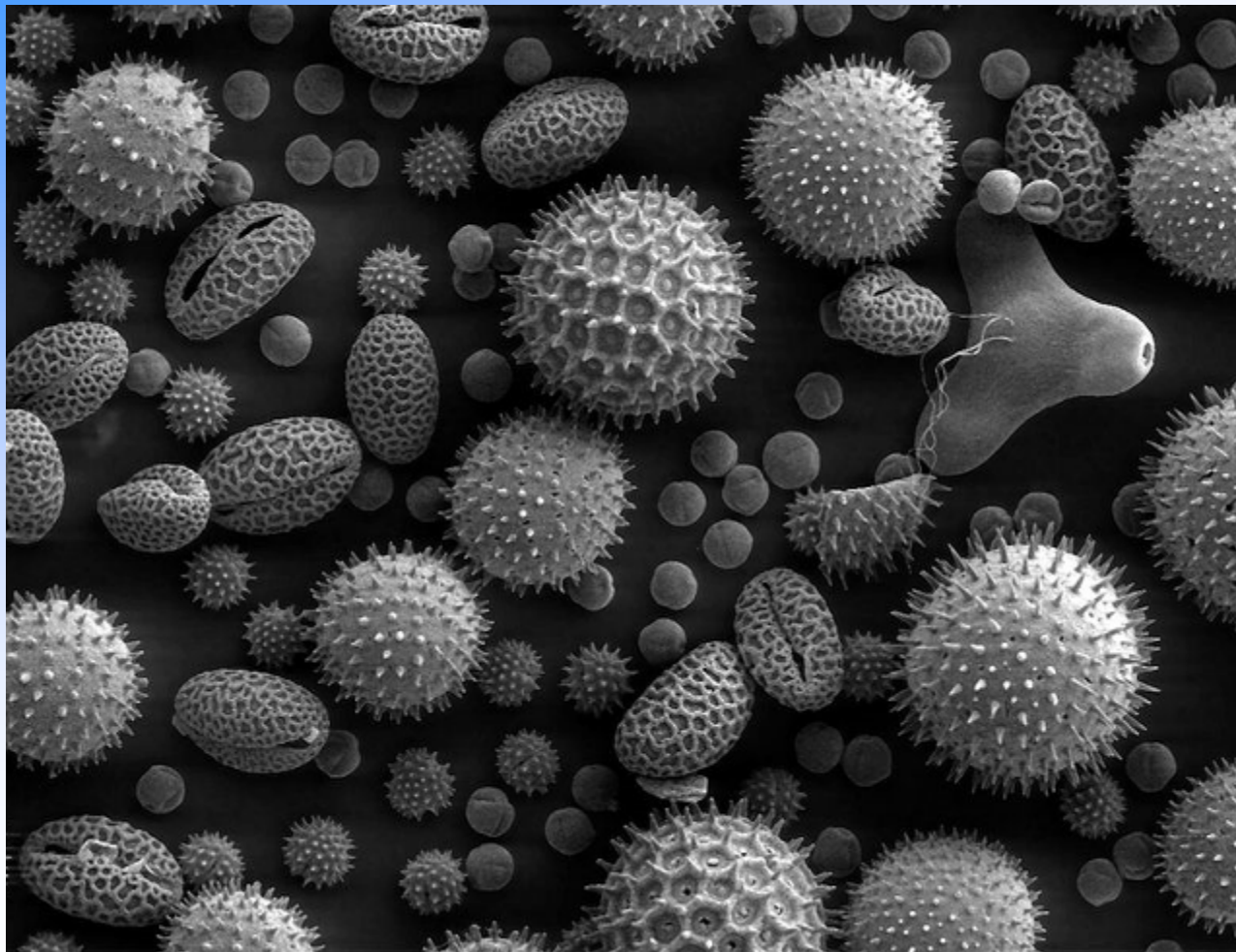


Secondary Electron Imaging (SEI)



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- Why 3 D appearance ?



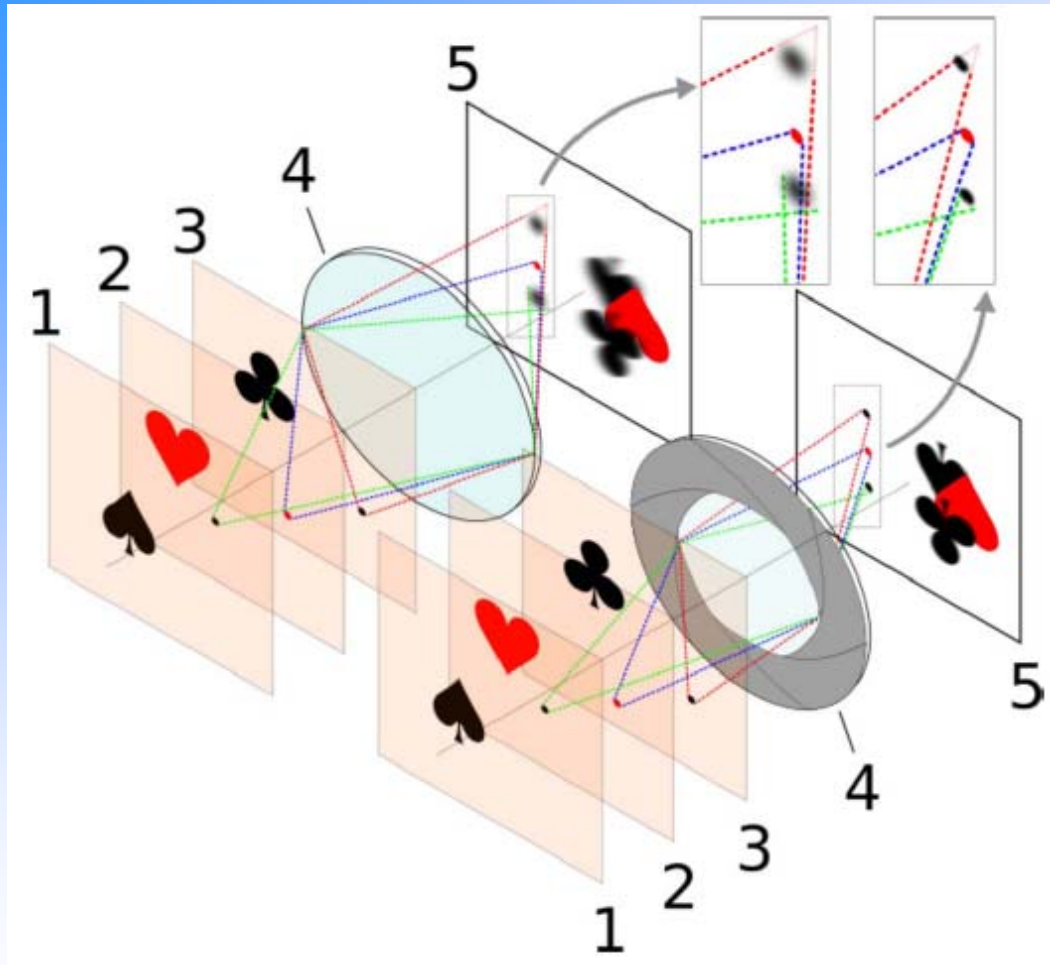
Due to the very narrow electron beam, SEM micrographs have a large depth of field yielding a characteristic three-dimensional appearance useful for understanding the surface structure of a sample.

Pollen grains taken on an SEM show the characteristic depth of field of SEM micrographs

Depth of field



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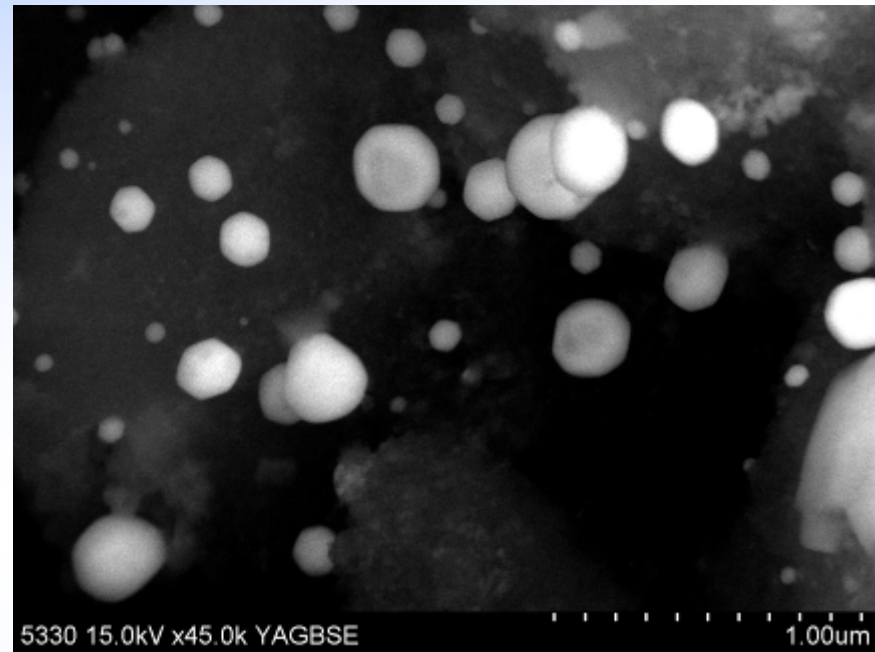


Imaging using Back Scattered Electrons (BSE)



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$$\sigma(\theta) \sim Z^2$$



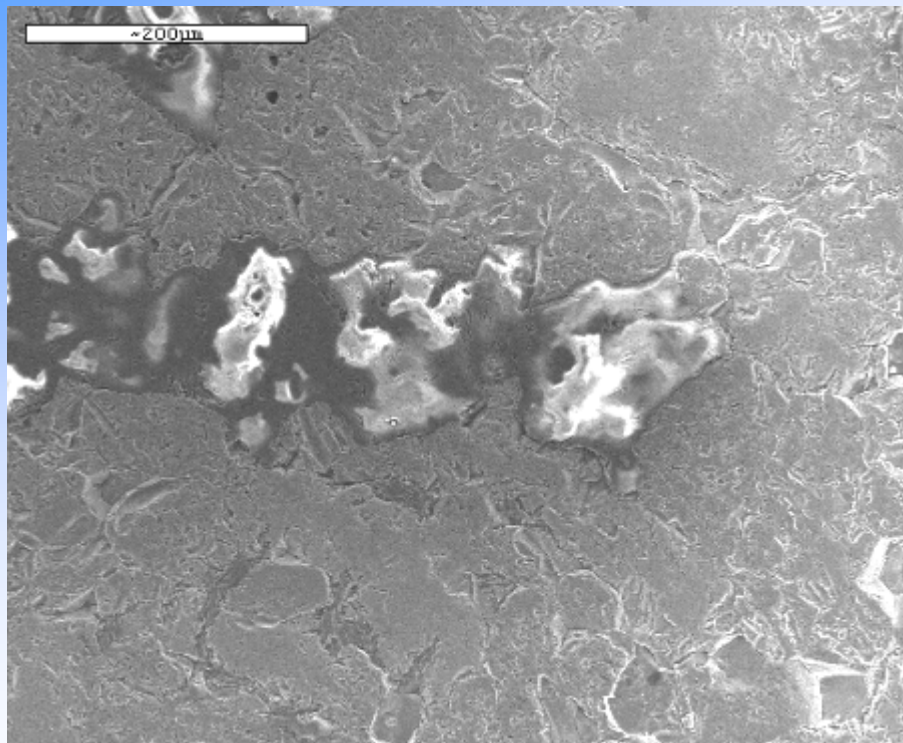
BSE image of BMW catalyst, bright particle being Pd.

SE image - BSE image



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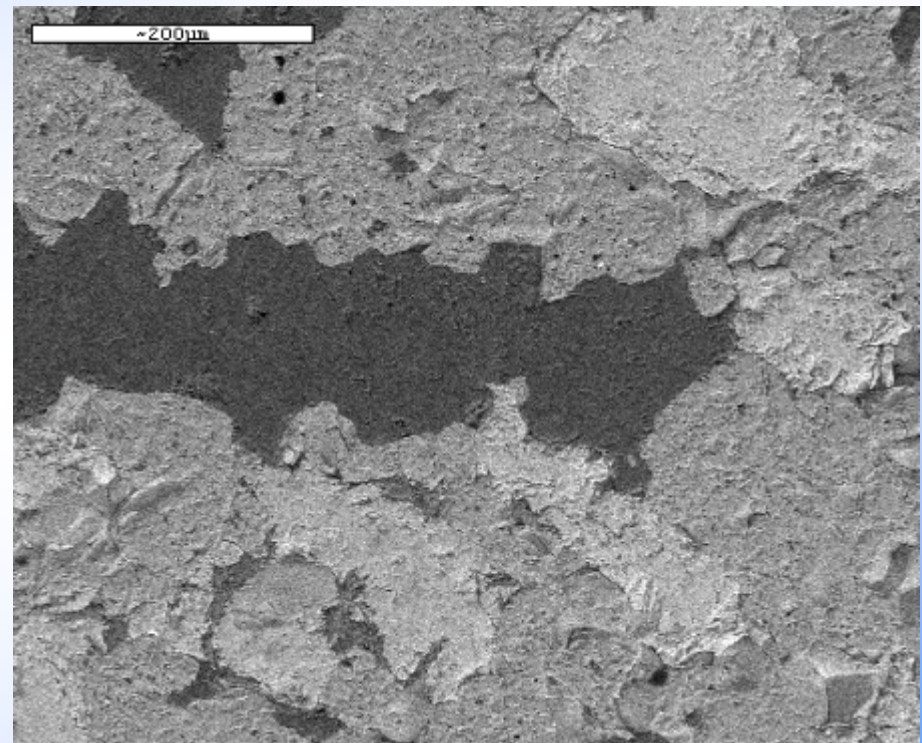
Mineral



020823

SE

HV15kV

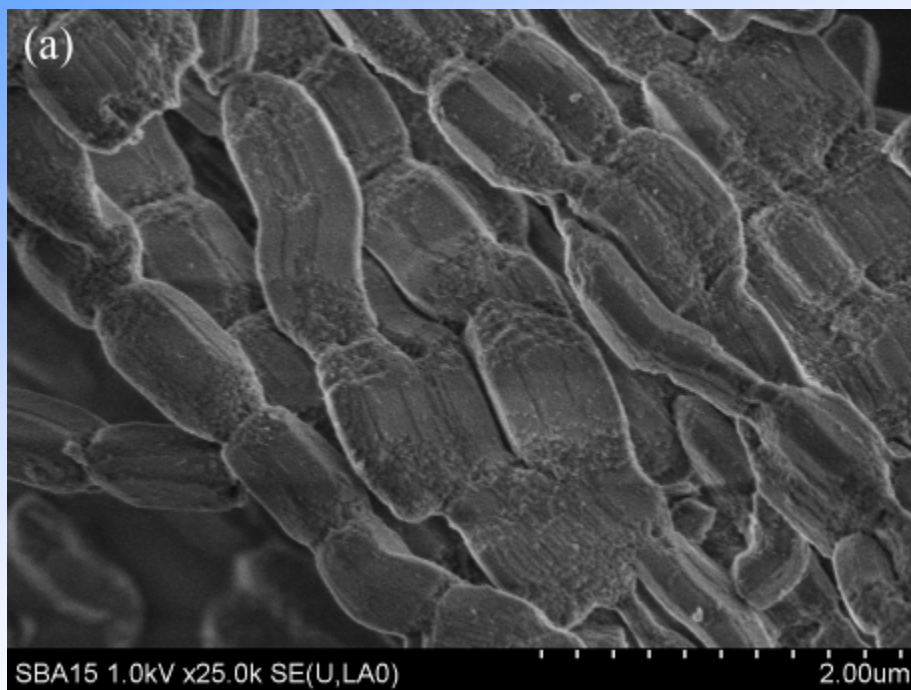


020824

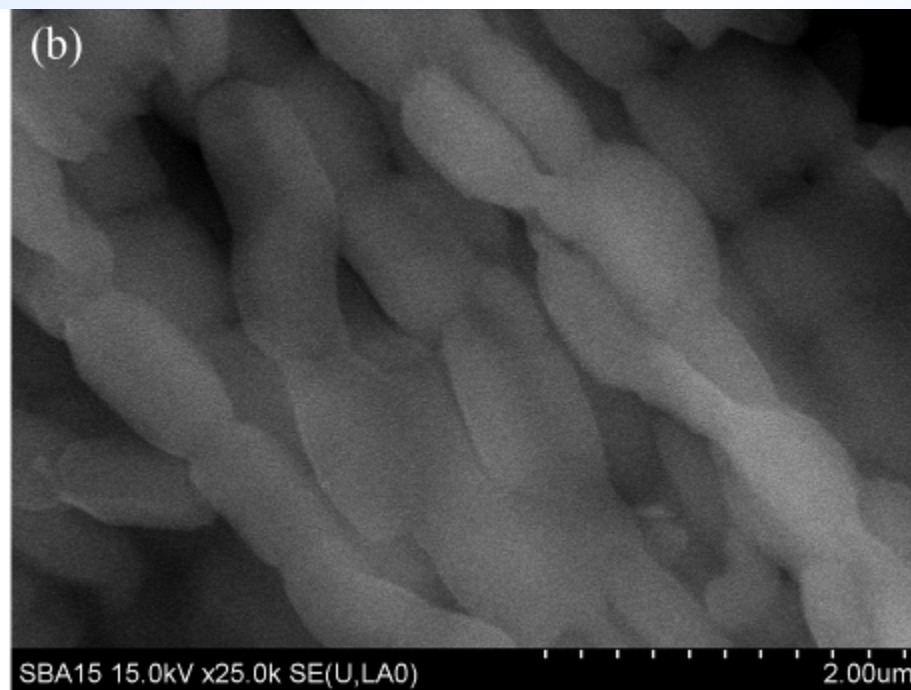
BSE

HV15kV

- SBA-15



1 kV



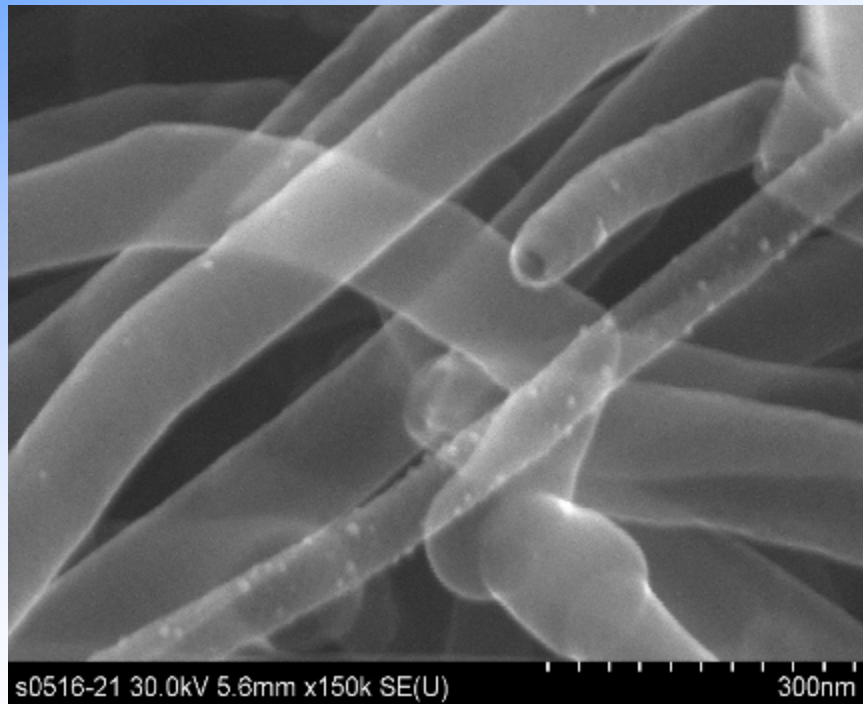
15 kV

Changing the high voltage of the SEM....

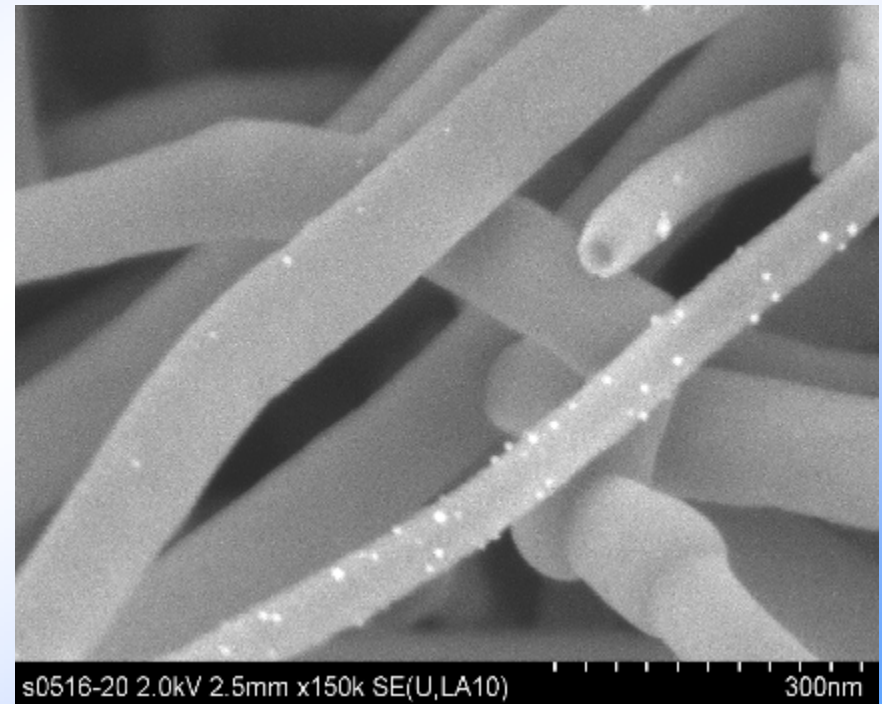


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SE, HV 30 kV



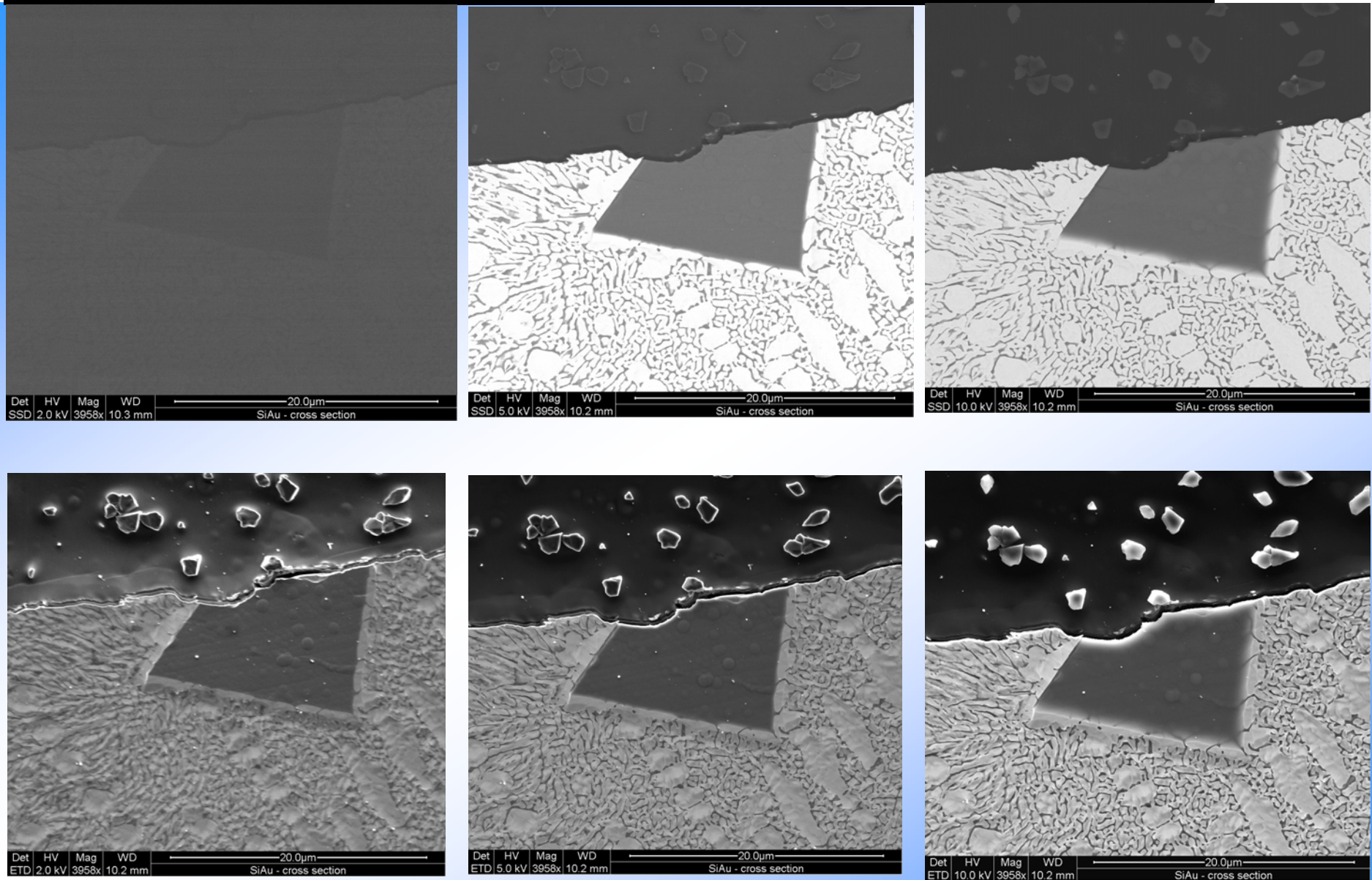
SE, HV 2 kV



Influence of acc voltage



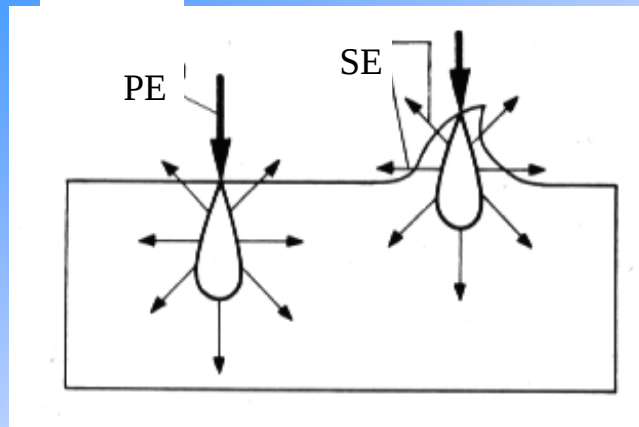
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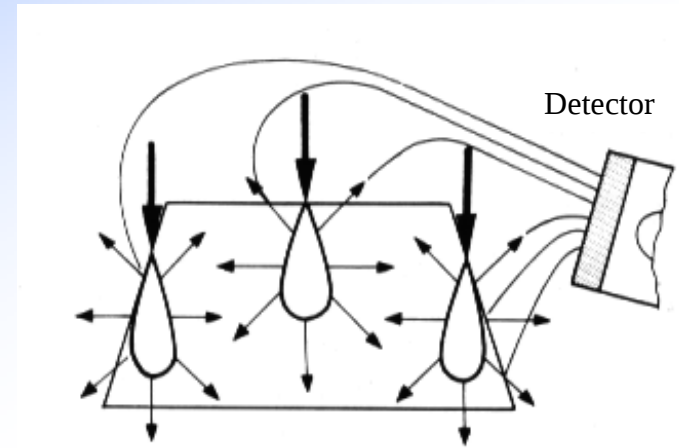
SEM: Contrast Mechanisms



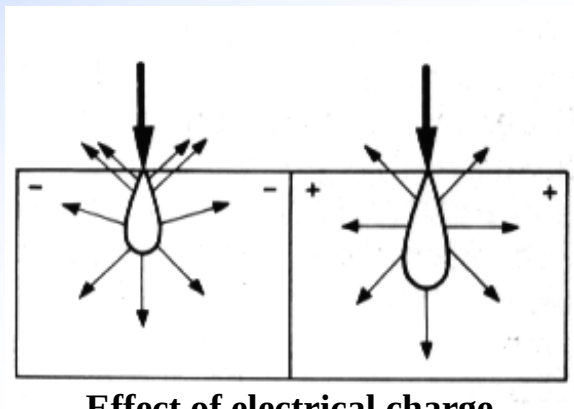
MAX-PLANCK-GESellschaft



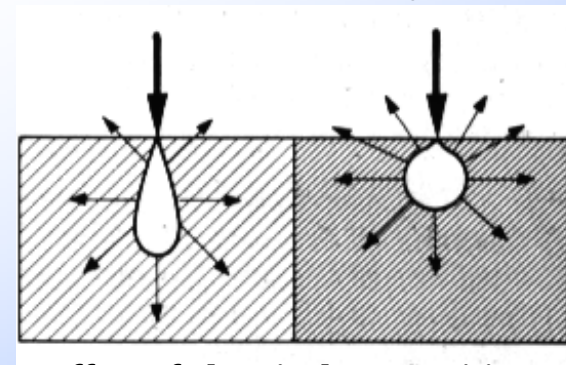
Effect of topography
spikes and edges are brighter than plane surfaces



Effect of position relative to the detector
a surface turned towards the detector is brighter than one turned away from it



Effect of electrical charge
negatively charged points are brighter than positively charged ones

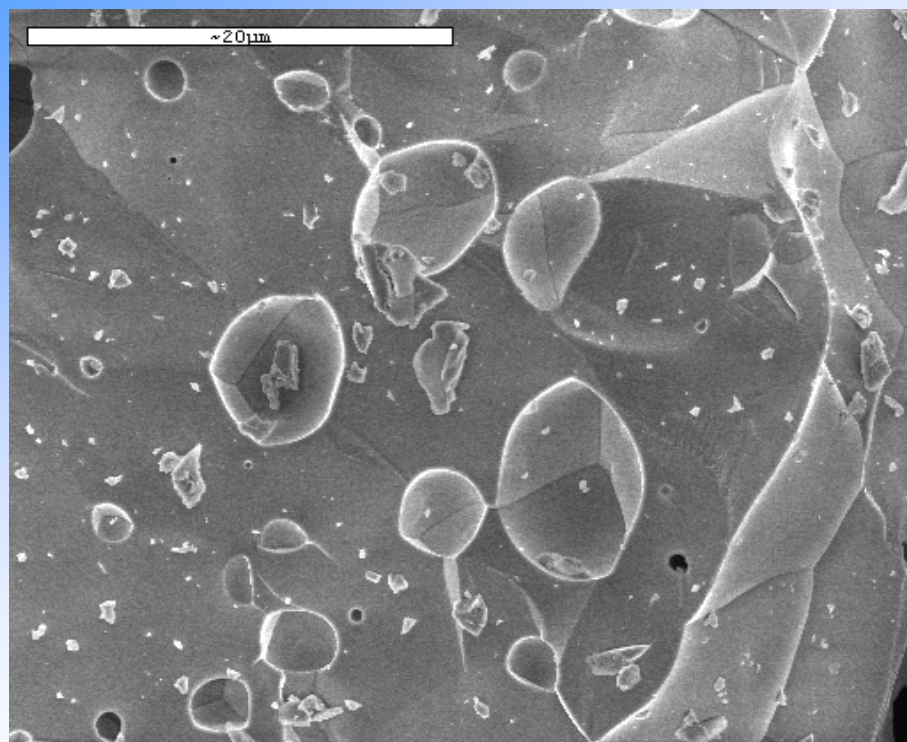


Effect of chemical composition
regions of heavy elements are brighter than those of light ones

SEM: Effect of topography



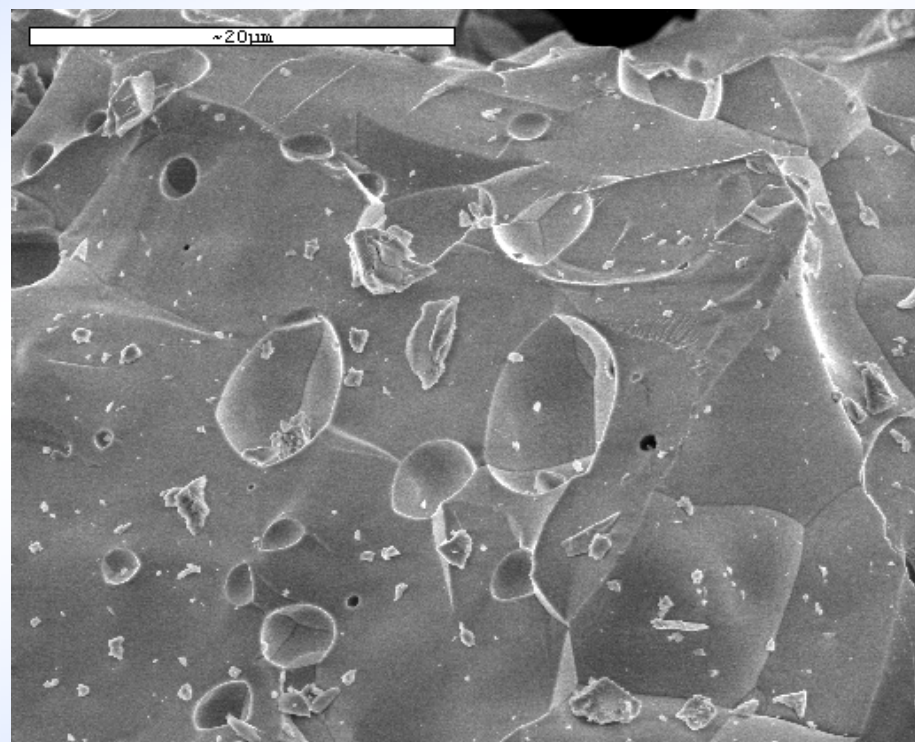
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020293

<0°

HV 10kV



020294

<45°

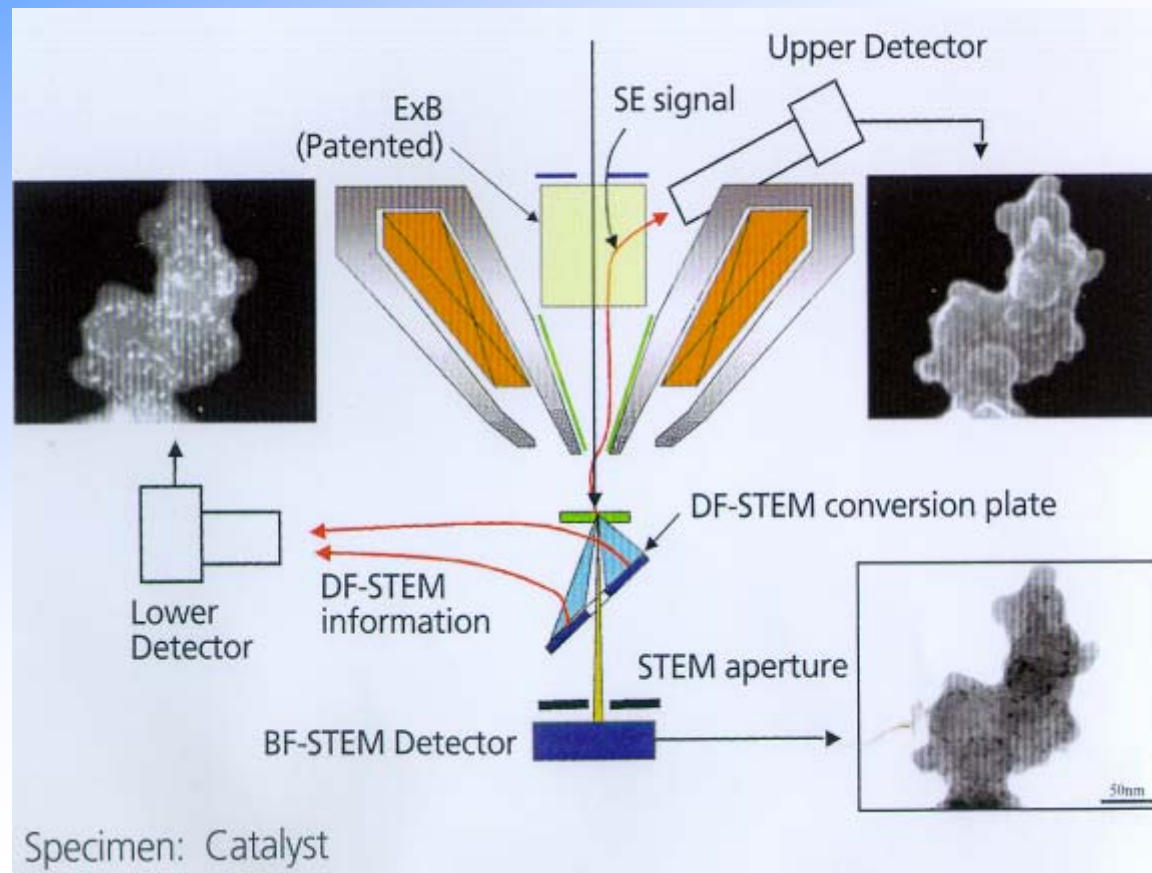
HV 10kV

Tilting

New SEM: scanning and transmission



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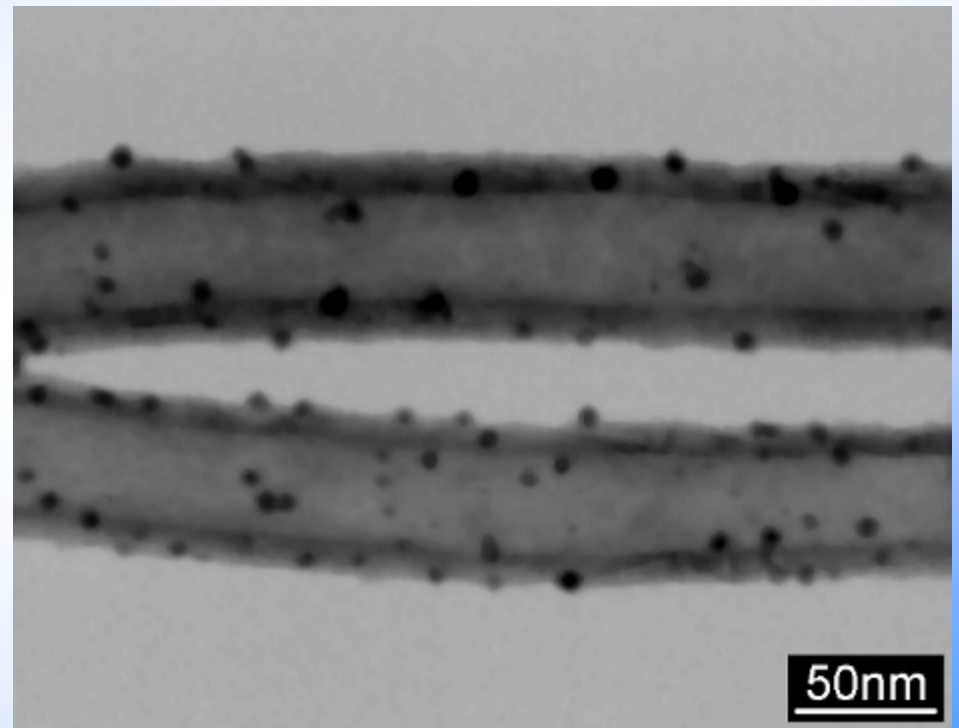
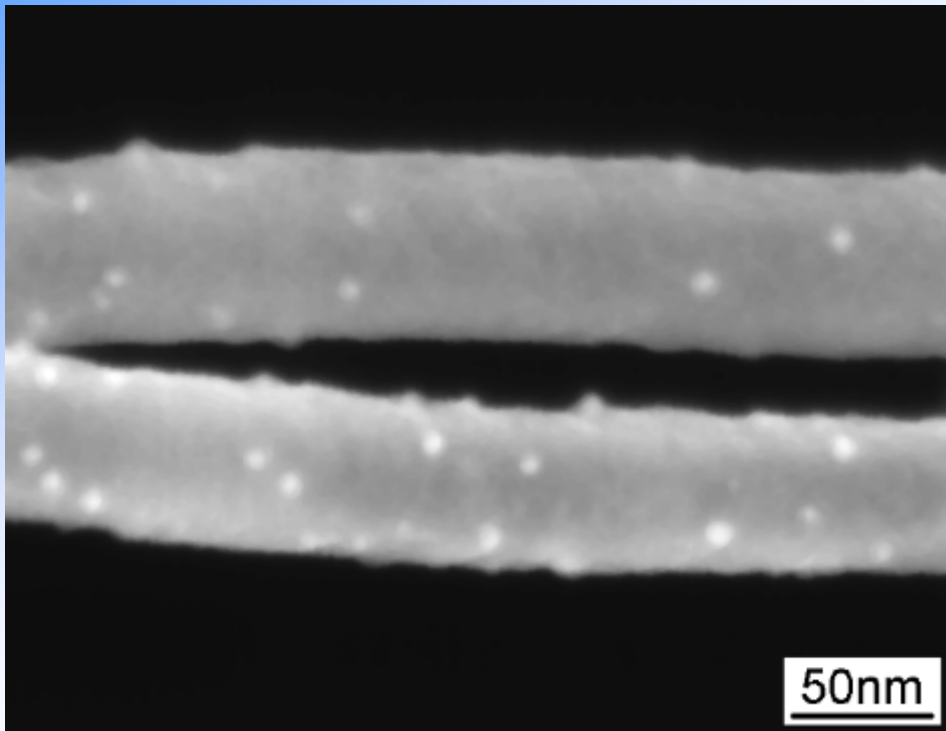


New SEM: scanning and transmission



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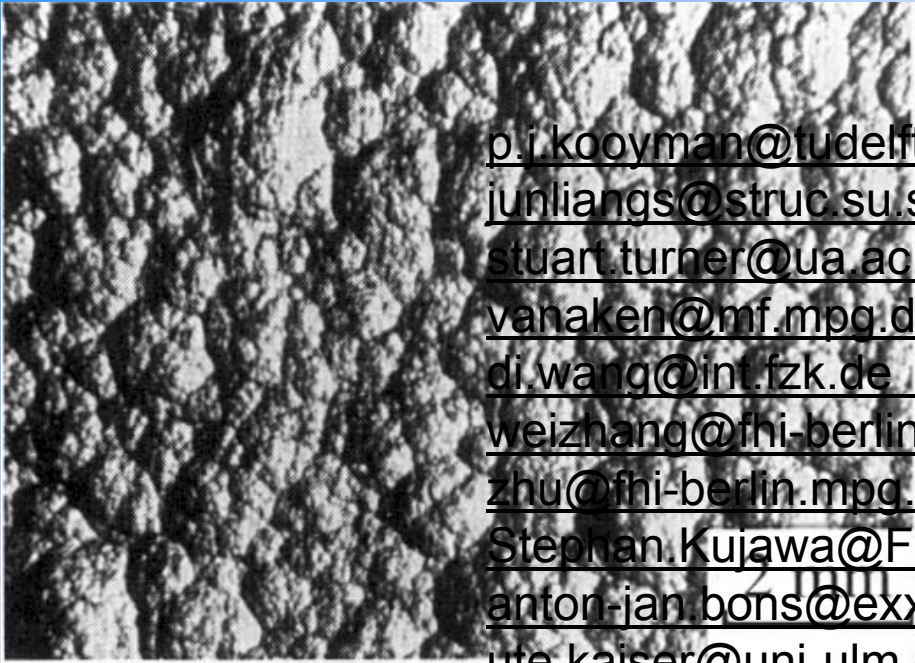
- Ni/CNTs



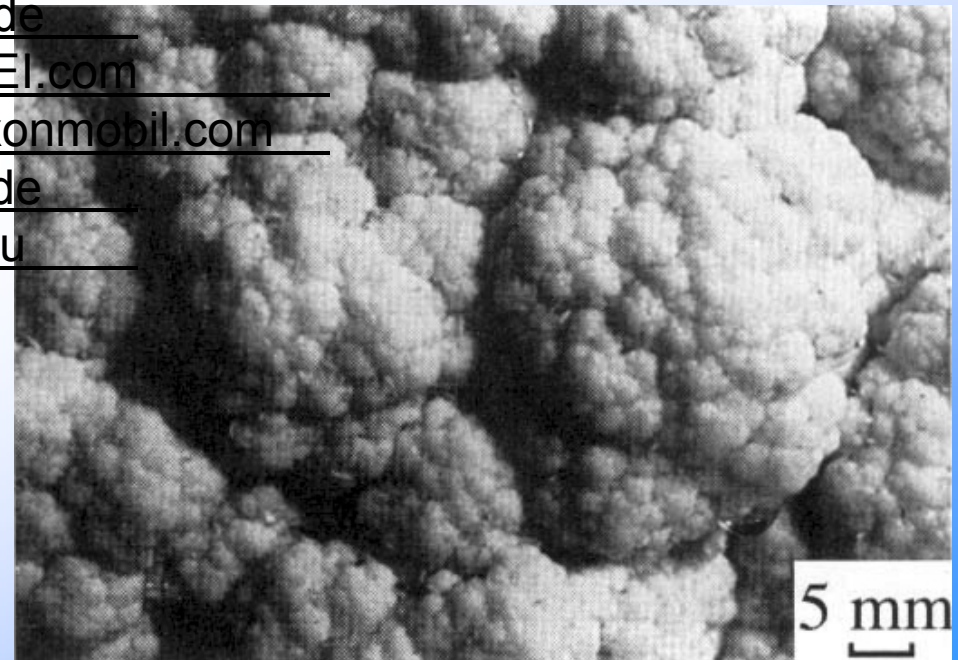
Chemical Composition



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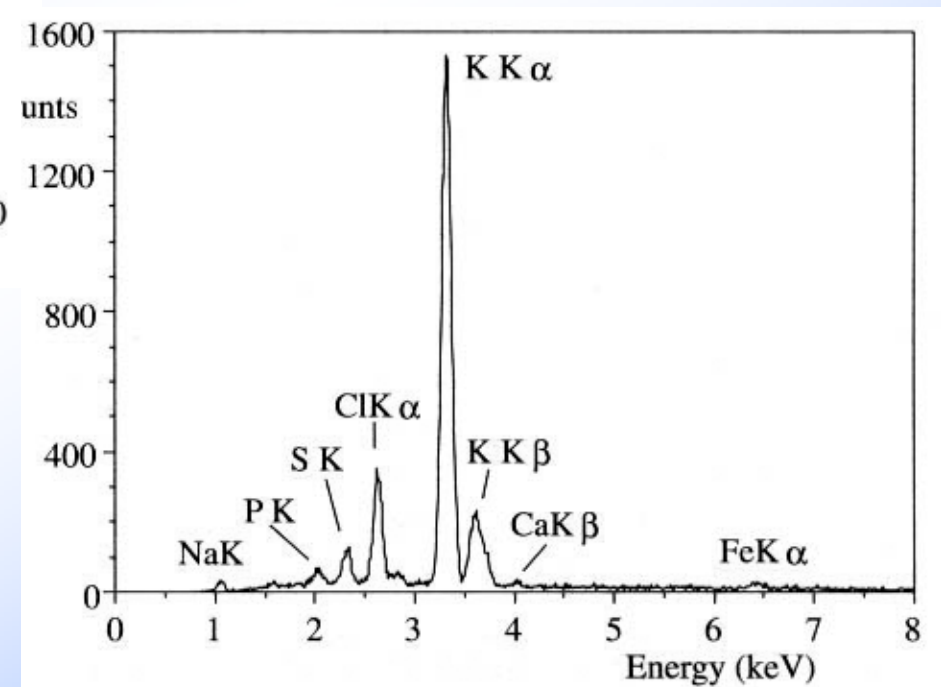
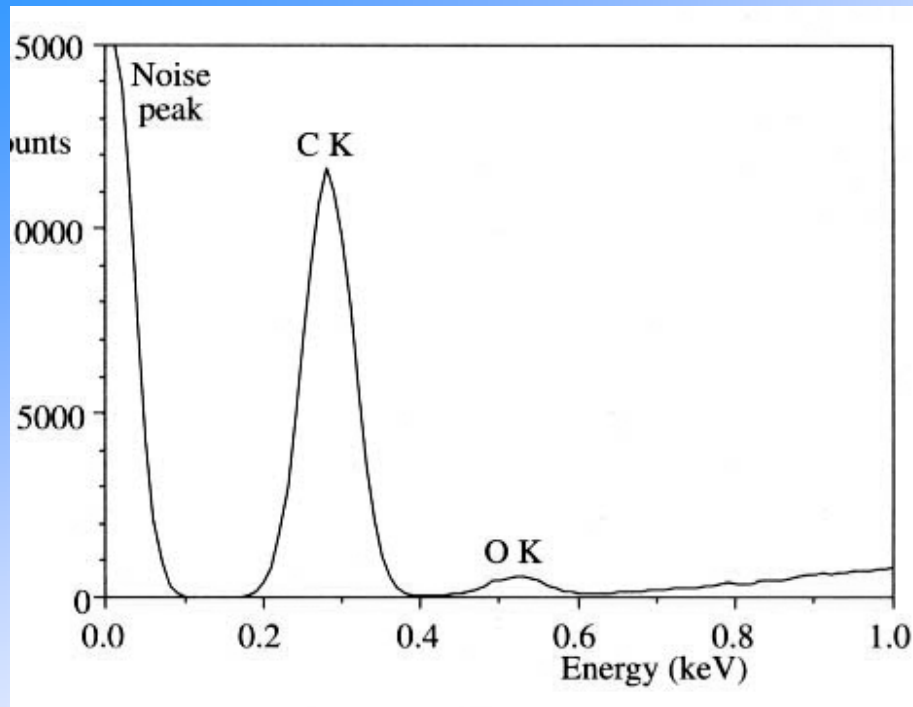
p.i.kooyman@tudelft.nl
junliangs@struc.su.se
stuart.turner@ua.ac.be
vanaken@mf.mpg.de
di.wang@int.fzk.de
weizhang@fhi-berlin.mpg.de
zhu@fhi-berlin.mpg.de
Stephan.Kujawa@FEI.com
anton-jan.bons@exxonmobil.com
ute.kaiser@uni-ulm.de
specht@berkeley.edu



Chemical Composition: EDX



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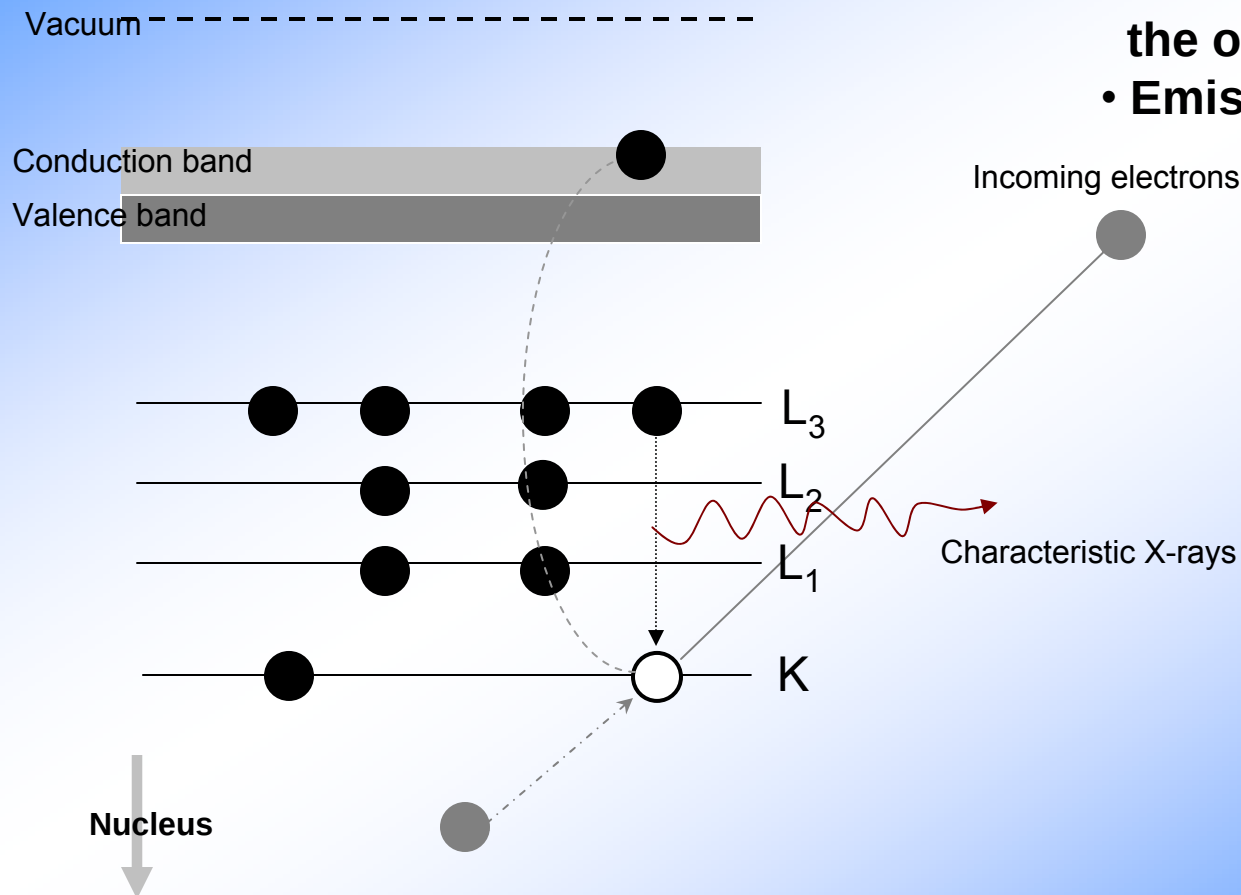
X-ray emission of atomic electrons



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Characteristic X-rays

- Ionization
- Filling in the missing electron with one from the outer shells.
- Emission of X-rays or Auger e^-

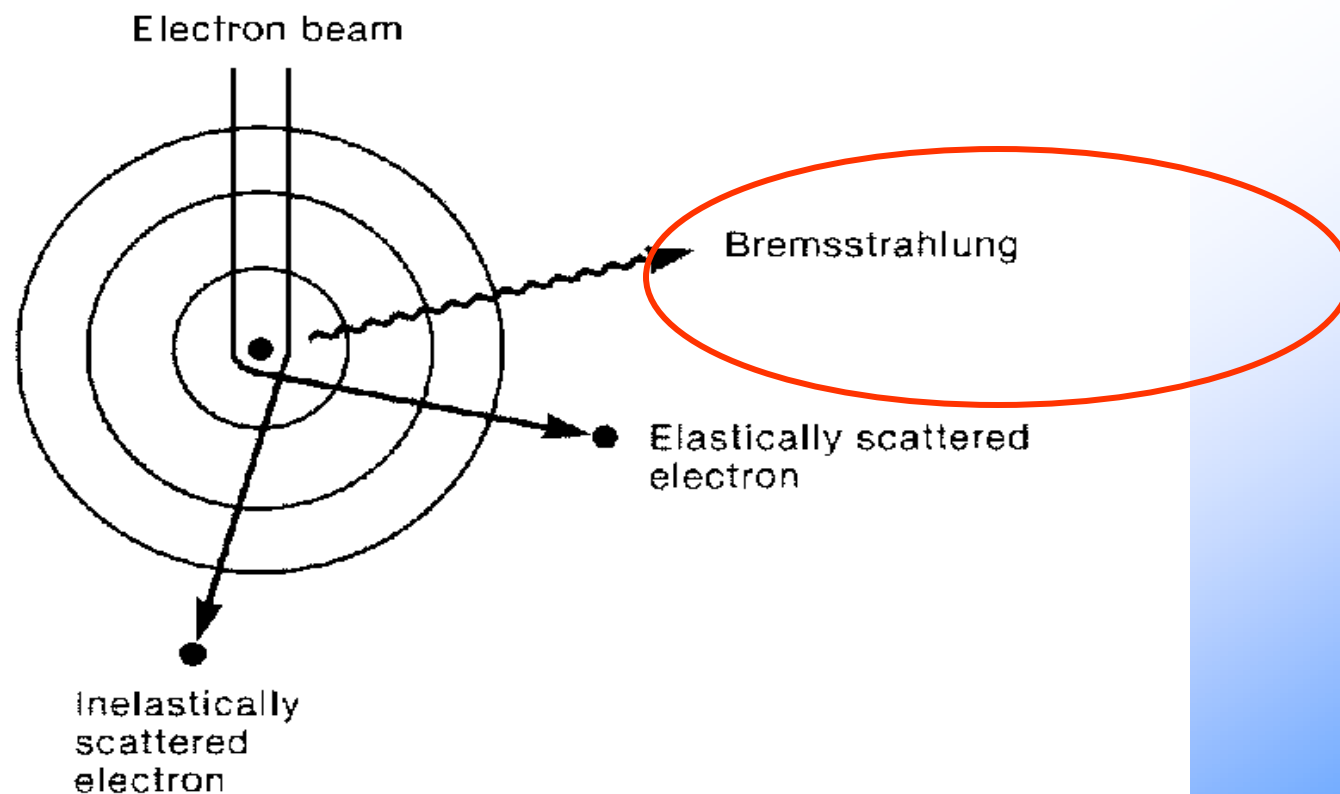


Inelastic scattering of electrons



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Bremsstrahlung





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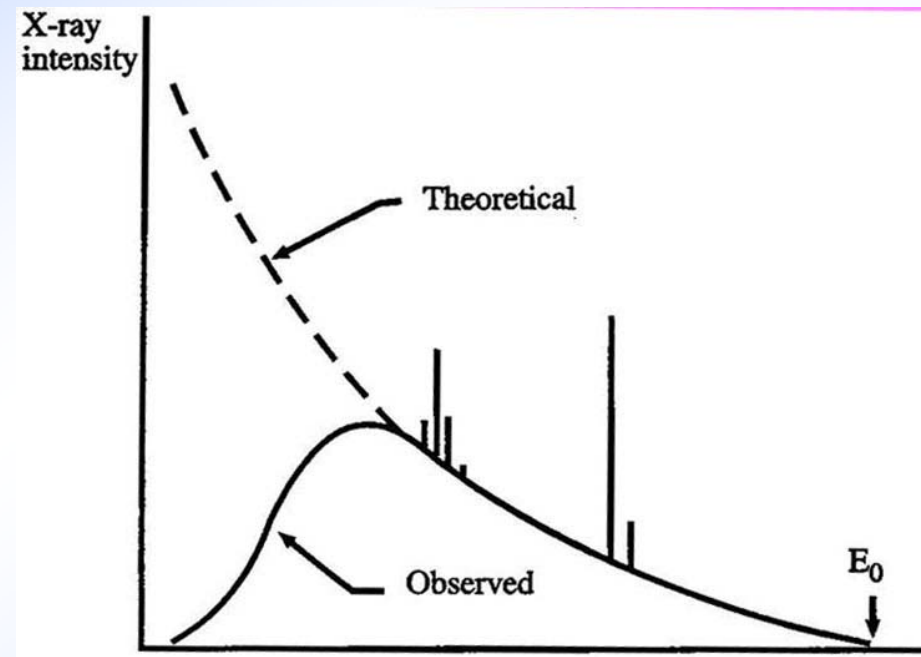
Inelastic scattering of electrons: X-ray emission

Bremsstrahlung X-rays

If an electron is decelerated by the Coulomb field of the nucleus while penetrating completely through the electron shell, it emits an X-ray.

$$N(E) = \frac{KZ(E_0 - E)}{E}$$

$N(E)$: number of bremsstrahlung photon of energy E , produced by electrons of Energy E_0 .



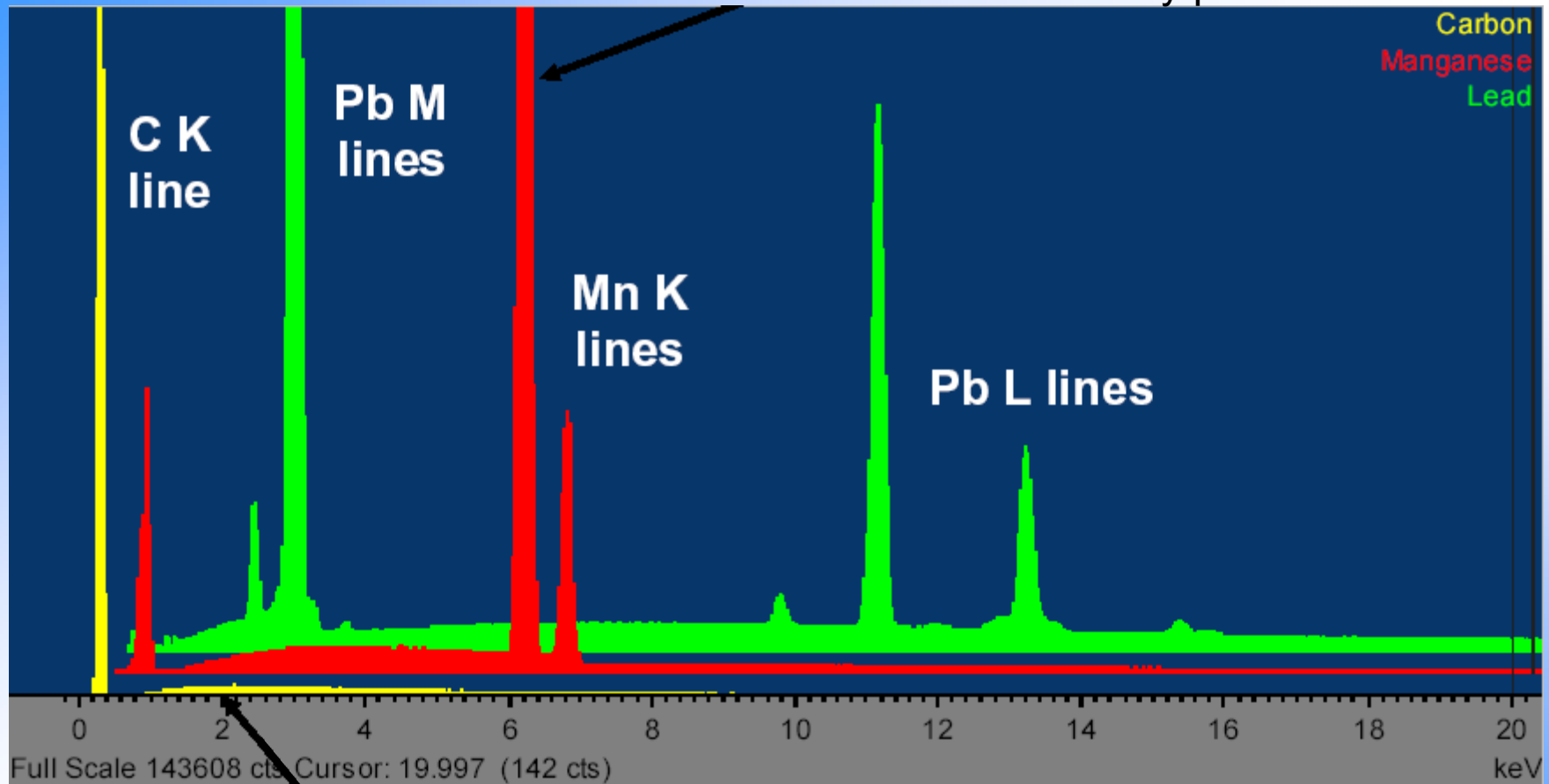
The bremsstrahlung X-ray intensity as a function of energy. The generated intensity increases rapidly at low energies but very low energy bremsstrahlung is absorbed in the specimen and the detector, so the observed intensity drops to zero. E_0 is the energy of the electrons that cause the X-ray emission.

The EDX Spectrum



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Characteristic X-ray peaks

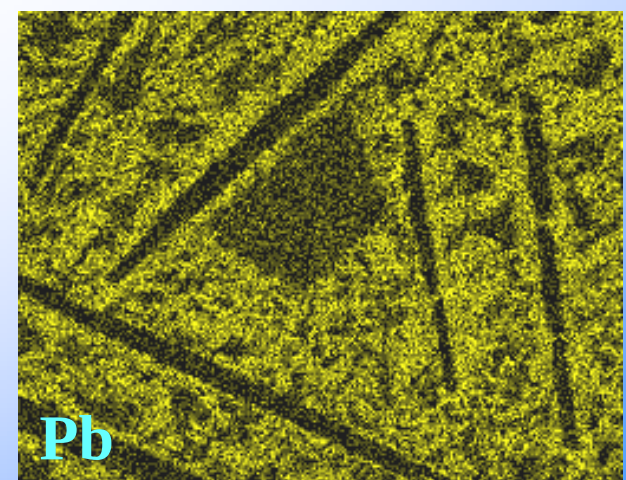
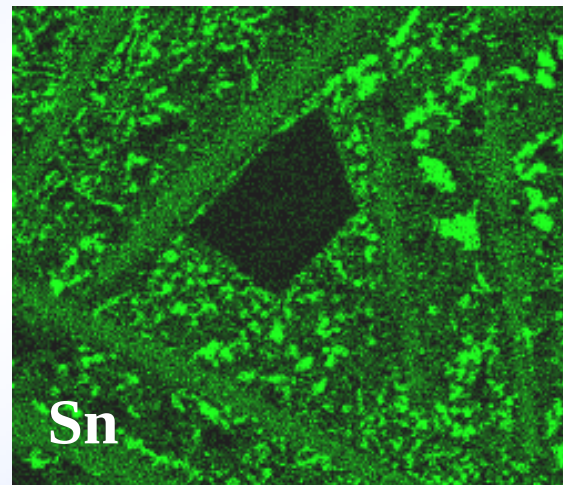
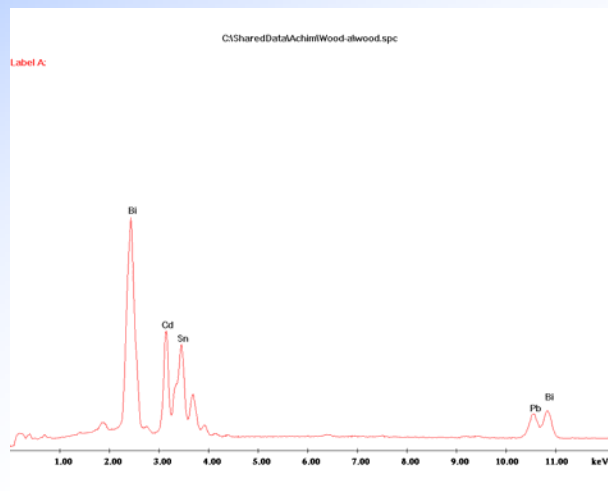
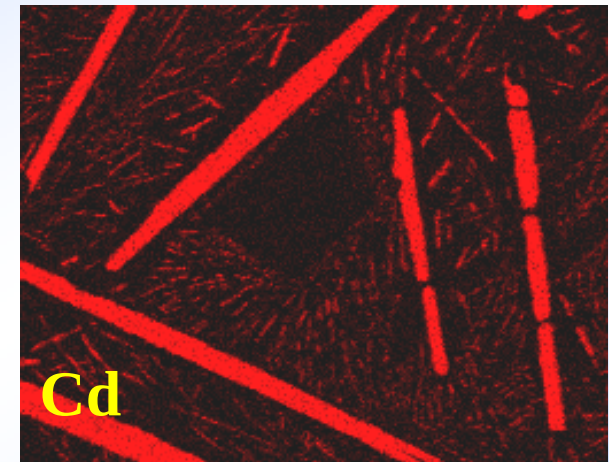
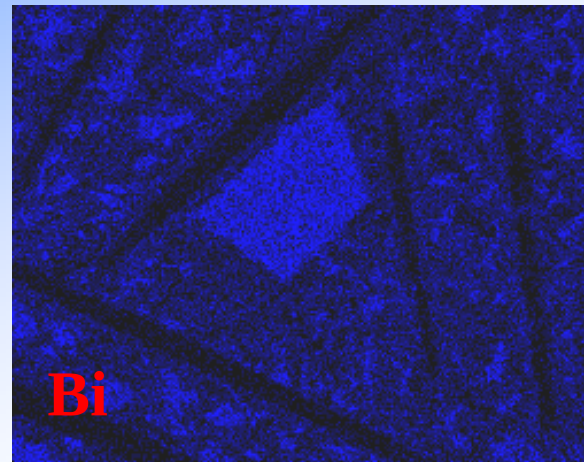
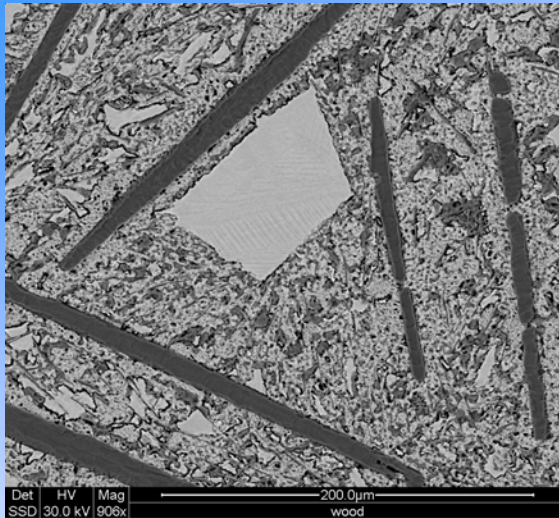


Continuous background (Bremsstrahlung)

Localization of the element distribution

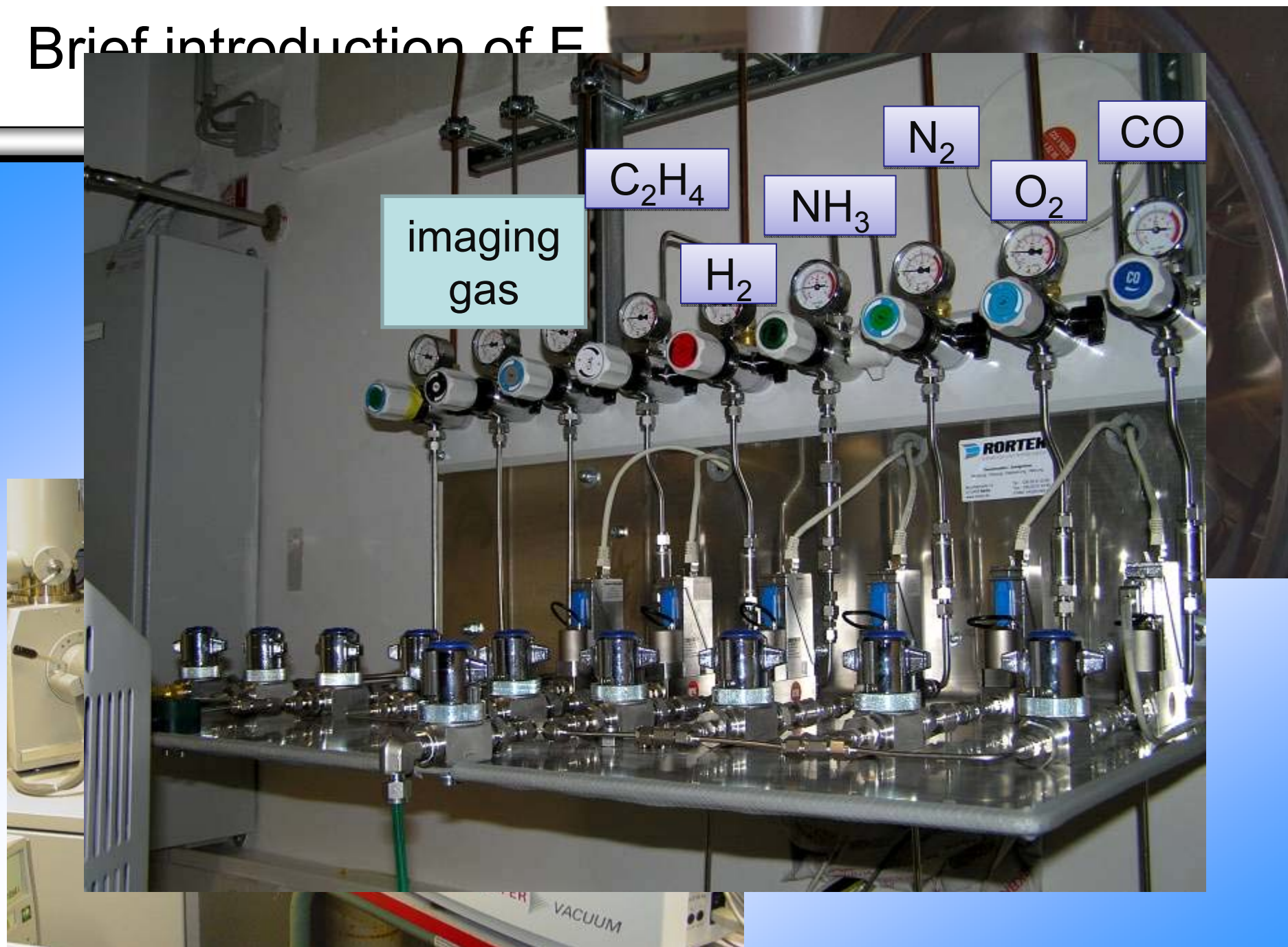


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Wood Alloy - Mapping

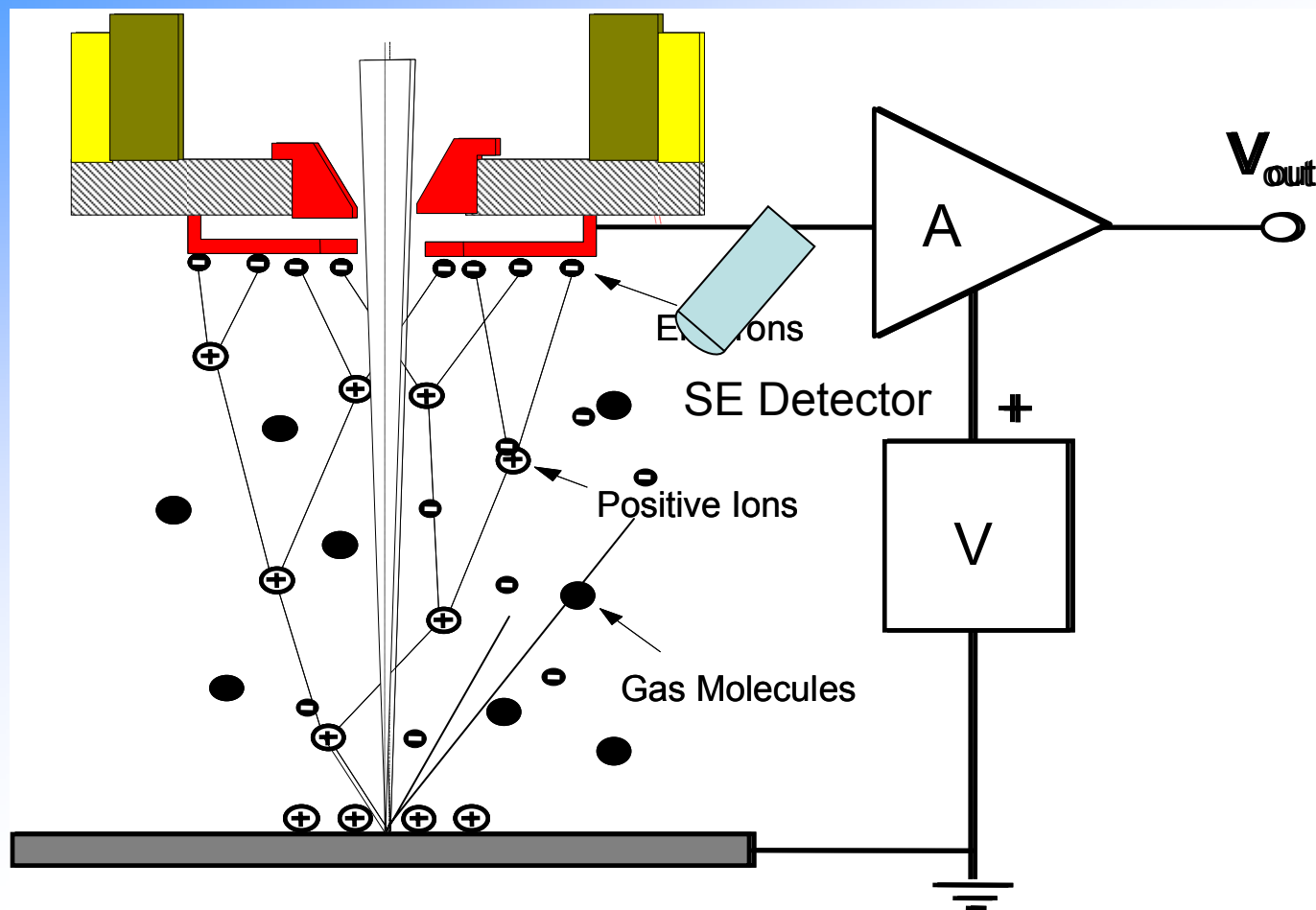
Brief introduction of E



Jan. 17-20, 2010

emcat2010

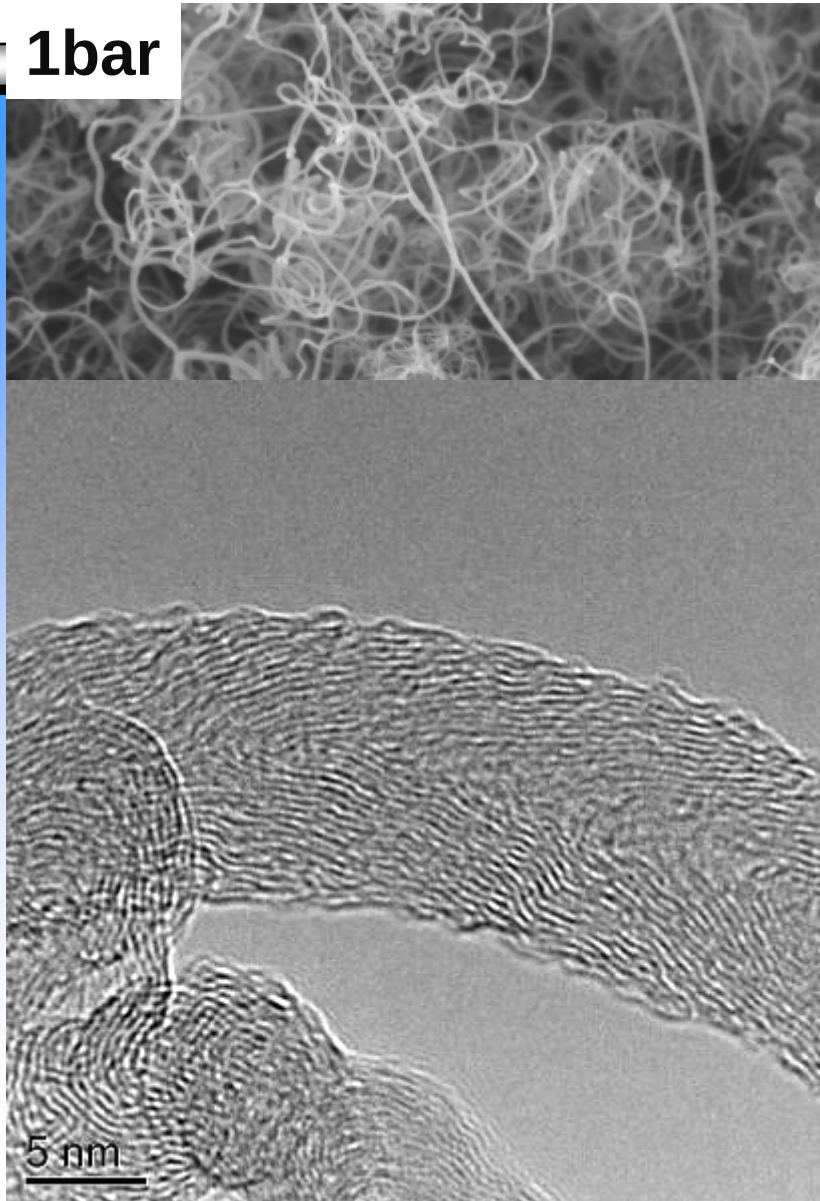
Brief introduction of image detector



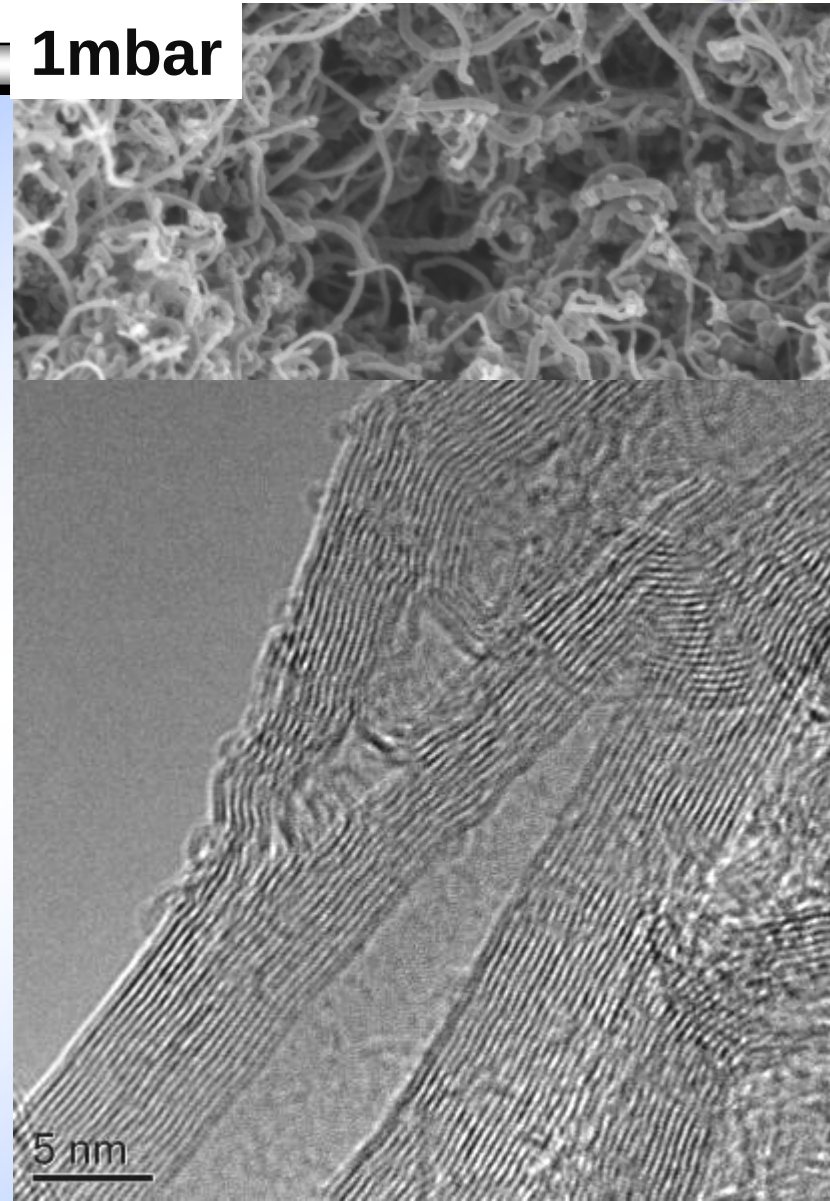
Schematic diagram of SE Detector
Schematic diagram of Gas Ionization Detector

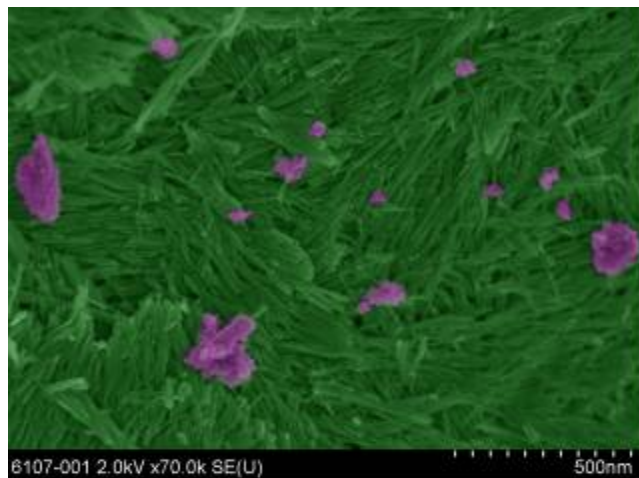
SEM and TEM results

1bar



1mbar

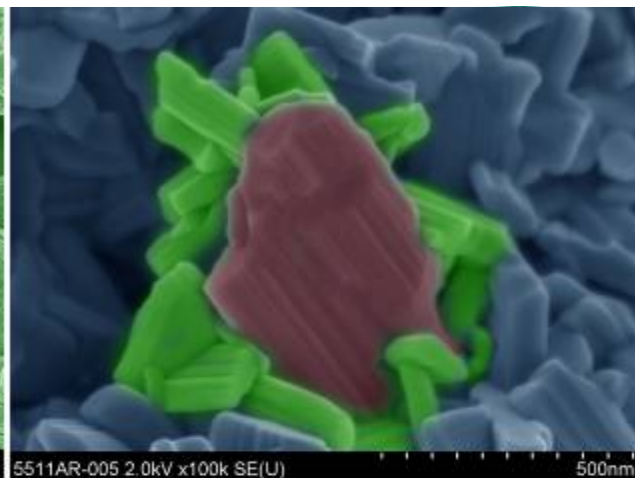




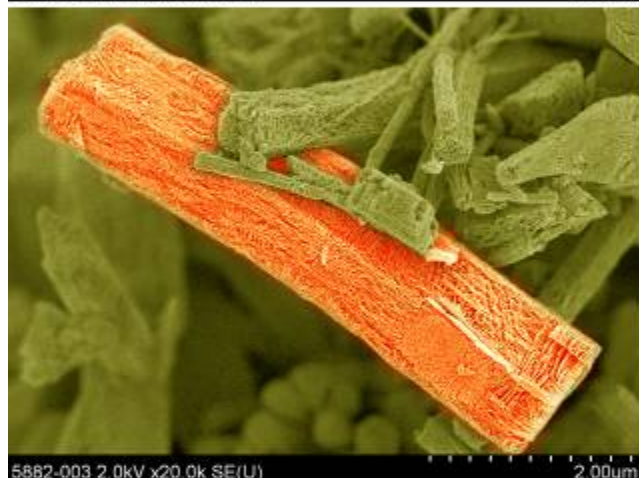
6107-001 2.0kV x70.0k SE(U)



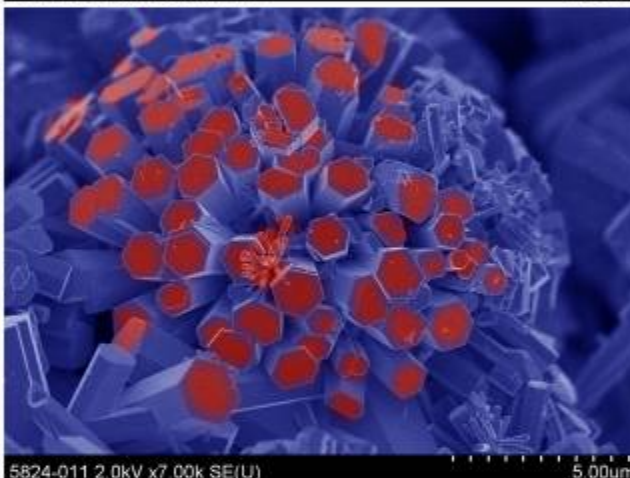
6021-006 2.0kV x15.0k SE(U)



5511AR-005 2.0kV x100k SE(U)



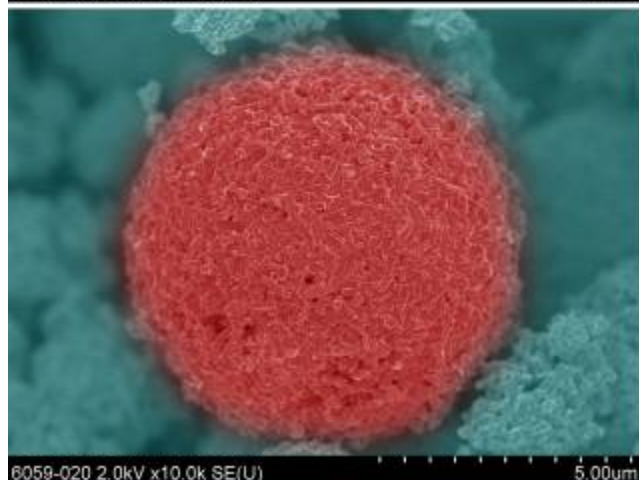
5882-003 2.0kV x20.0k SE(U)



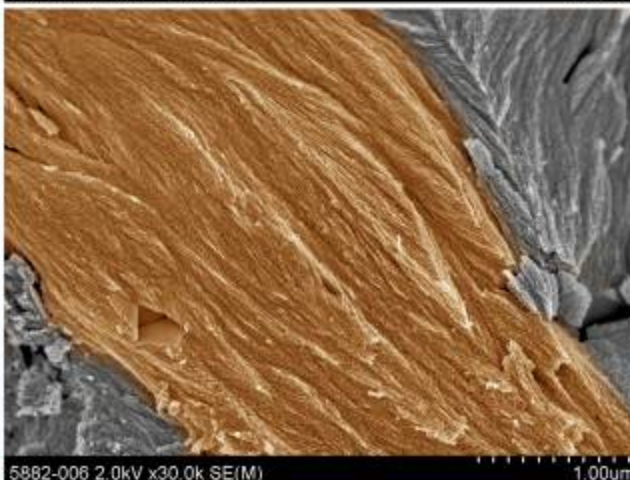
5824-011 2.0kV x7.00k SE(U)



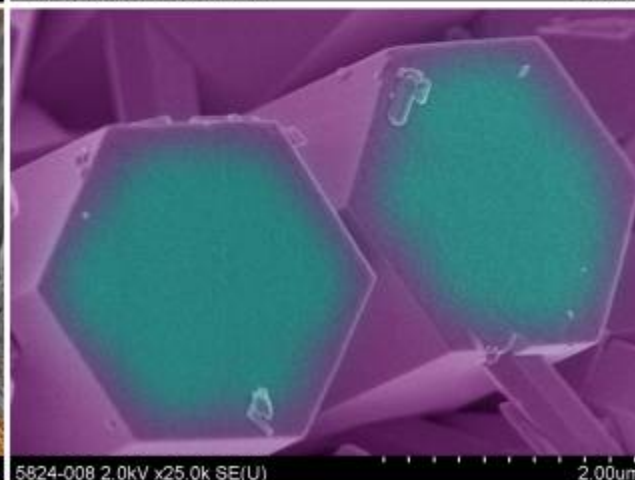
6057-003 2.0kV x20.0k SE(U)



6059-020 2.0kV x10.0k SE(U)



5882-006 2.0kV x30.0k SE(M)



5824-008 2.0kV x25.0k SE(U)



MAX-PLANCK-GESELLSCHAFT



Scheme of Scanning Electron Microscope



MAX-PLANCK-GESellschaft

