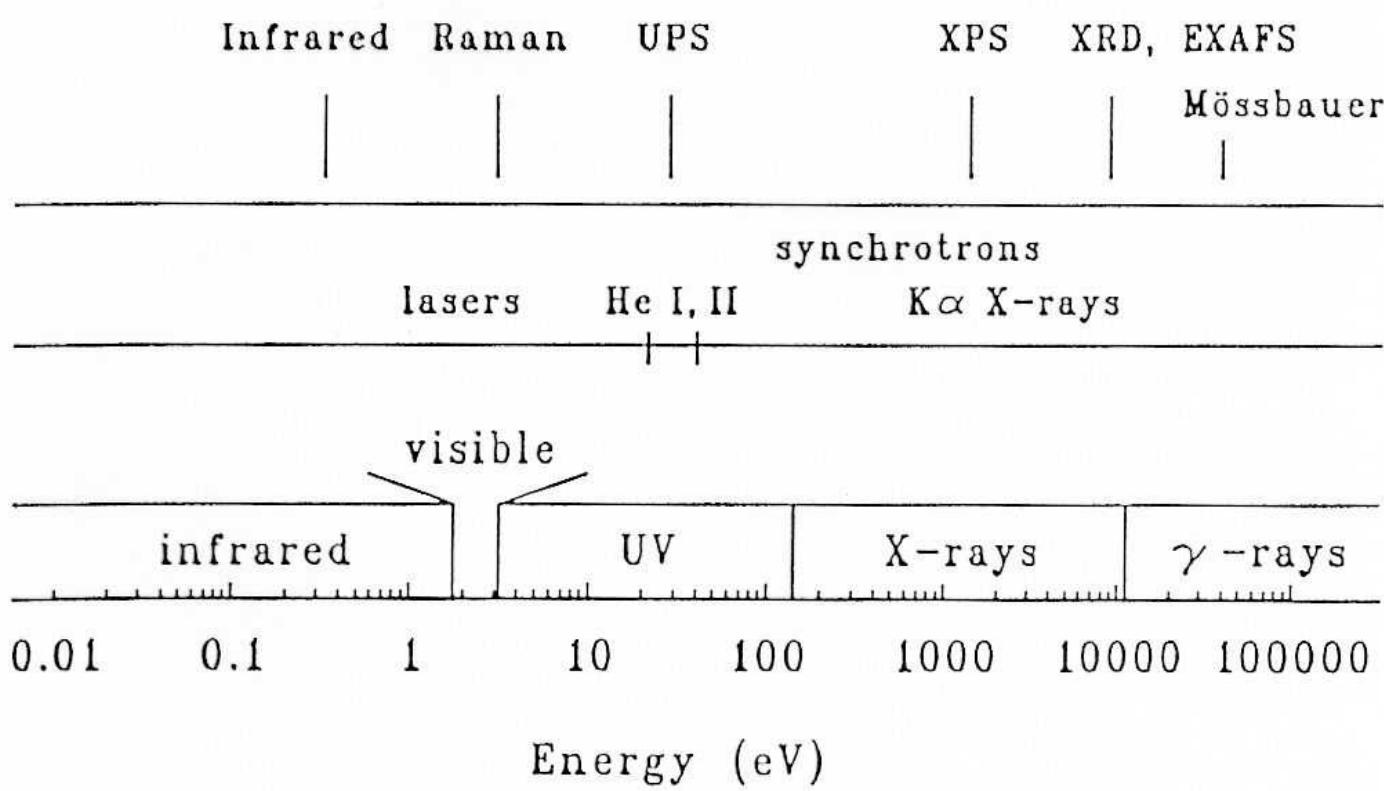


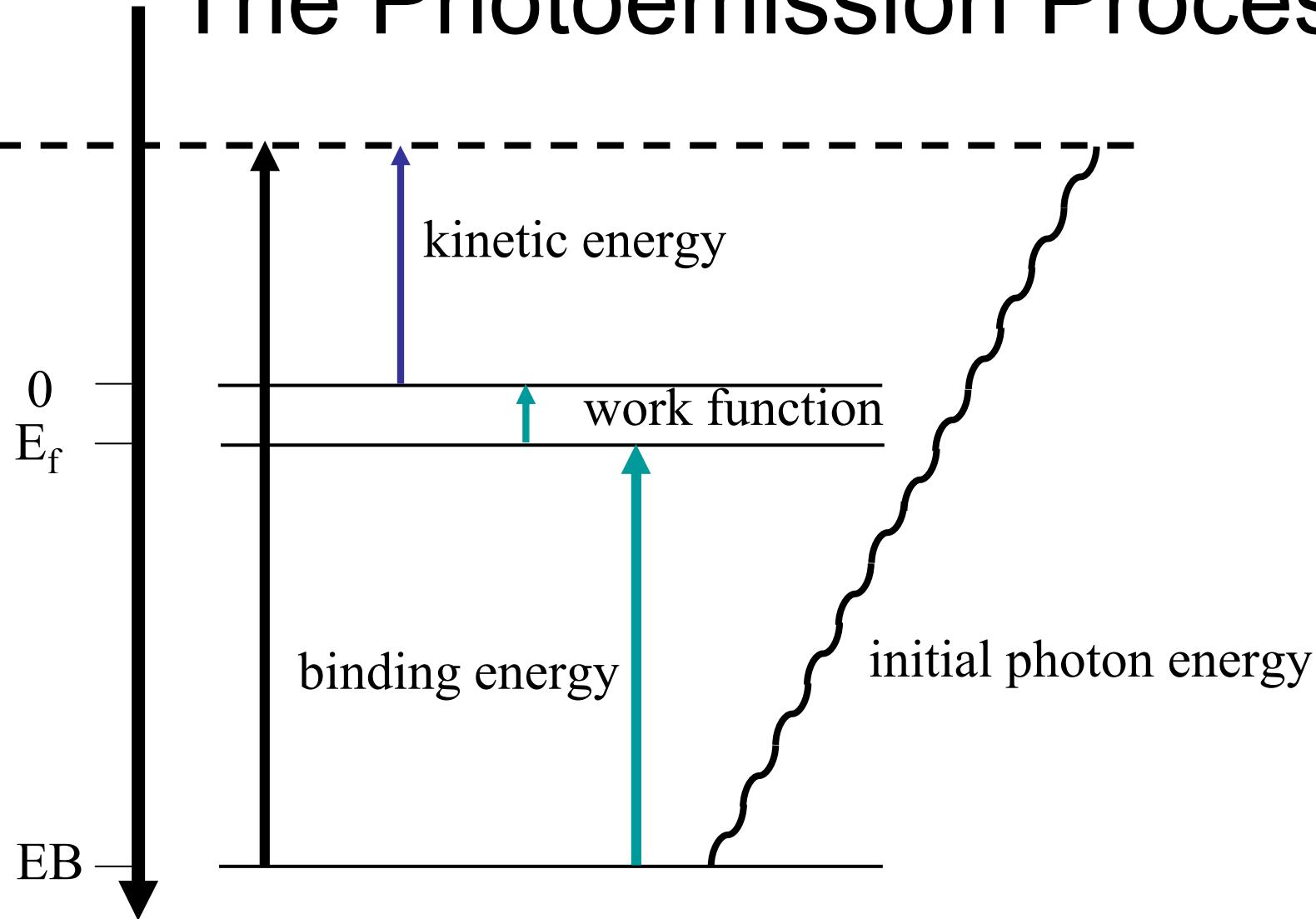


Energy Ranges

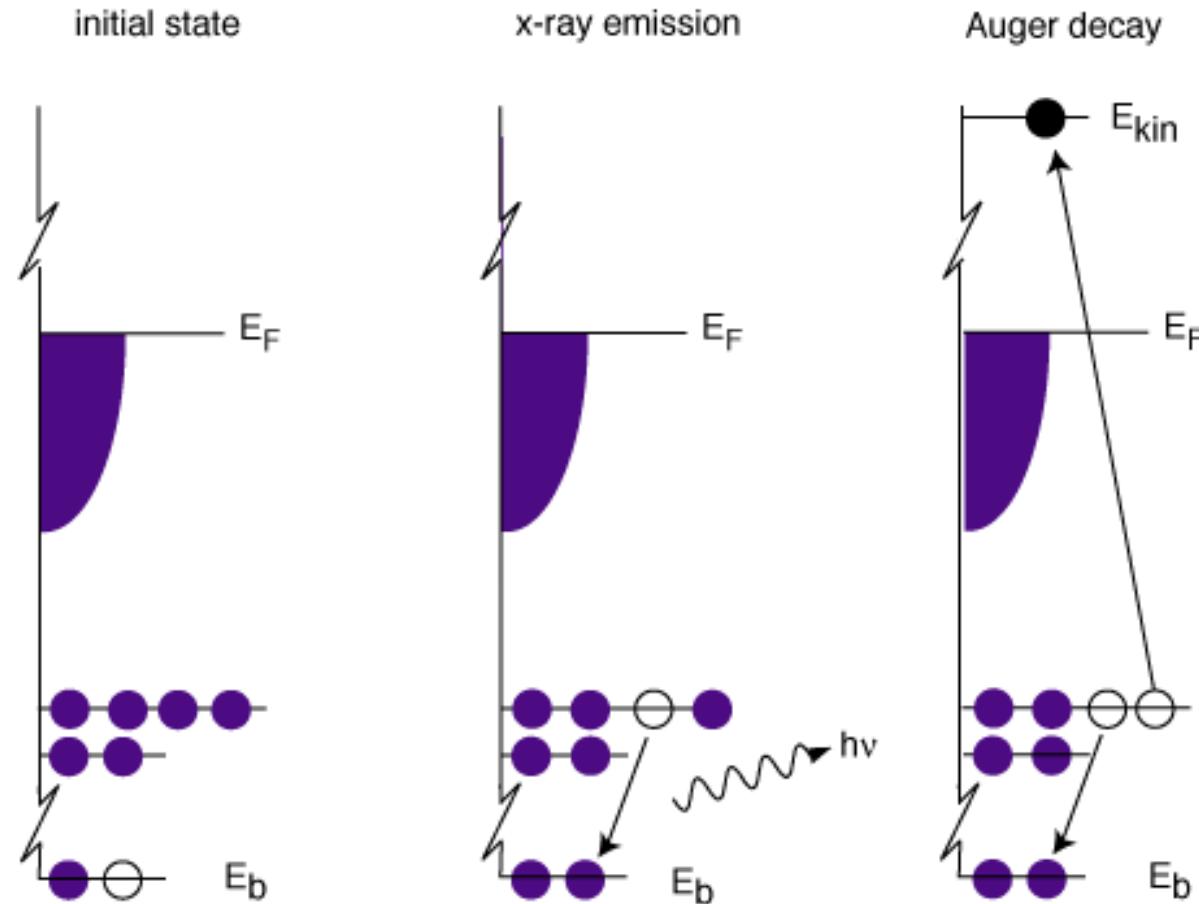


Energy

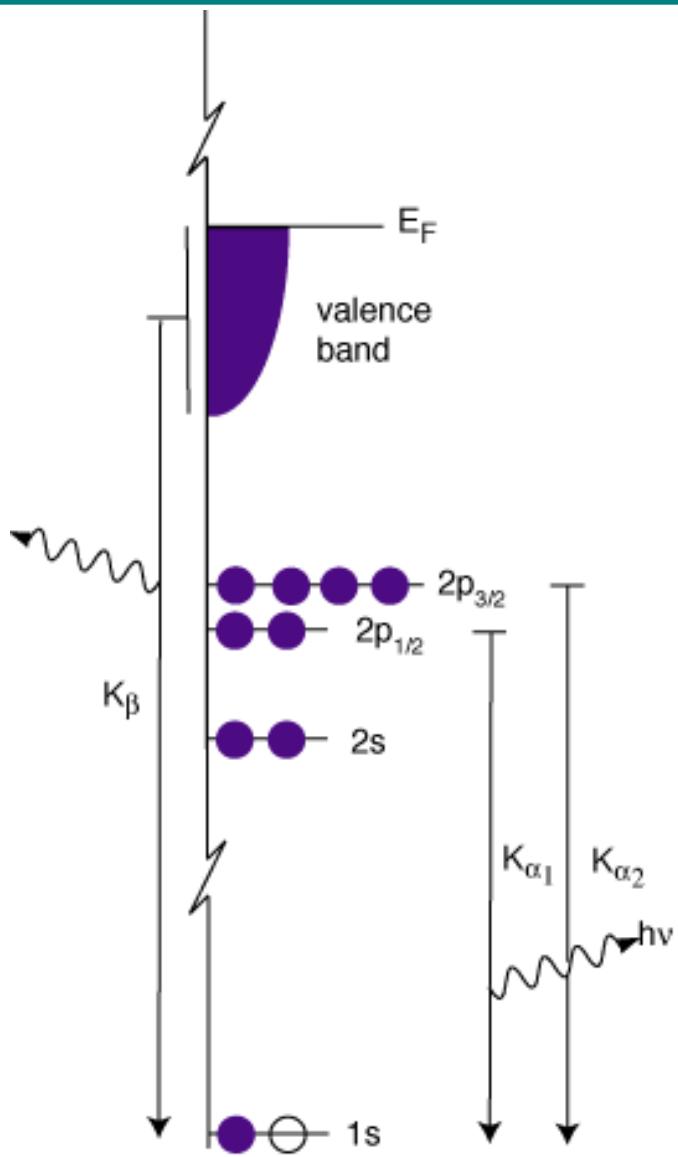
The Photoemission Process



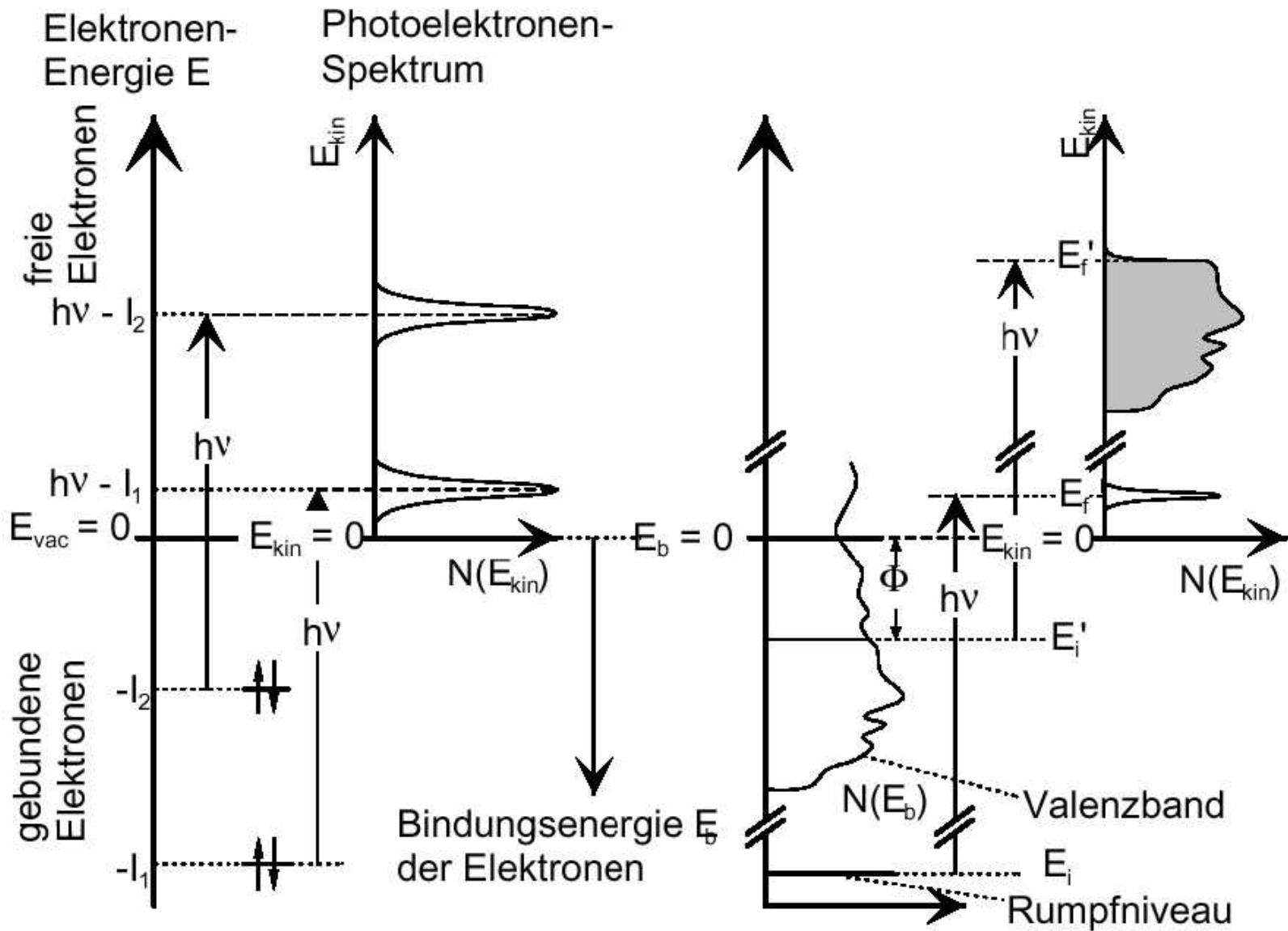
Decay of a core-hole by x-ray emission or the Auger process



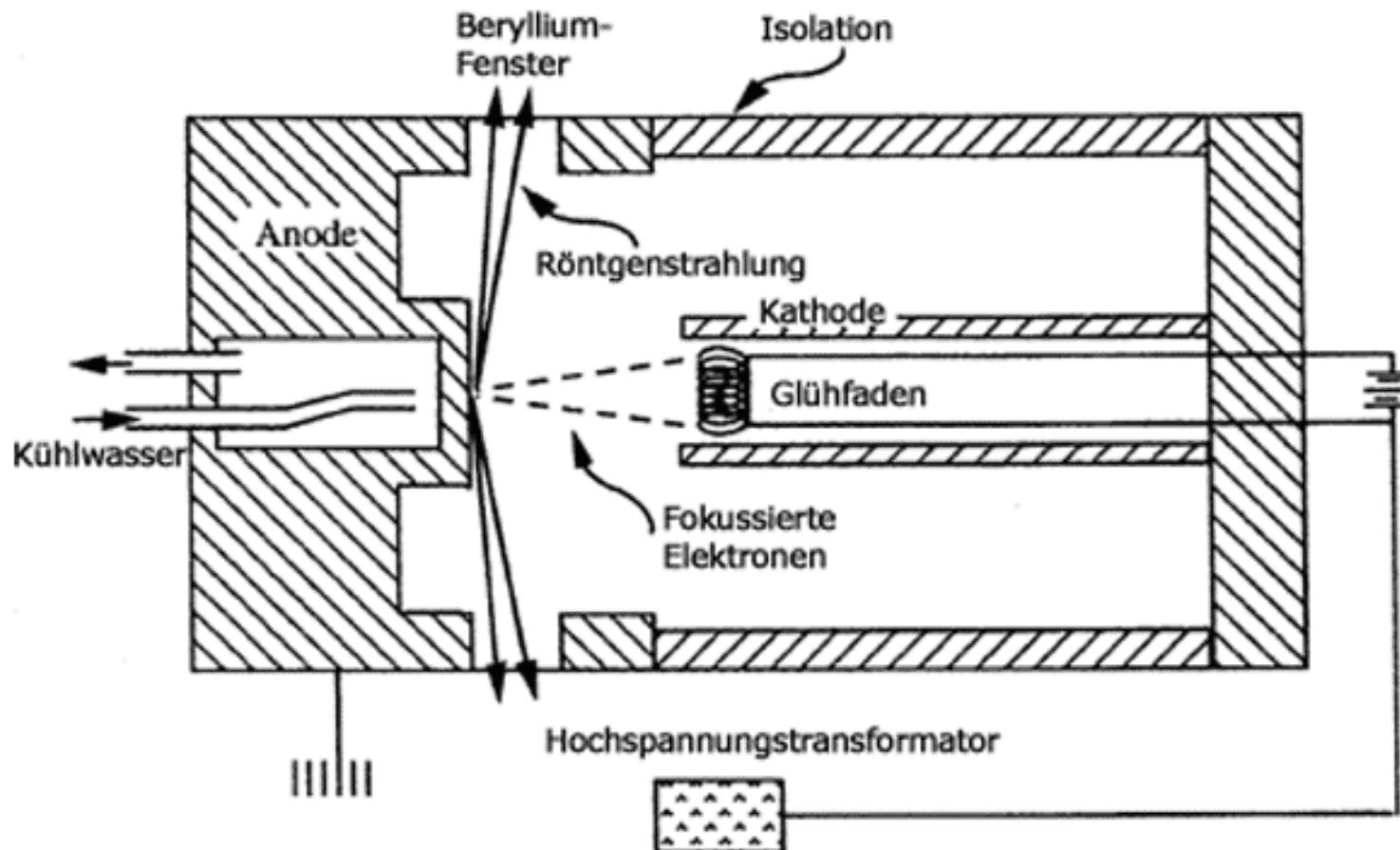
Nomenclature of the x-ray decays



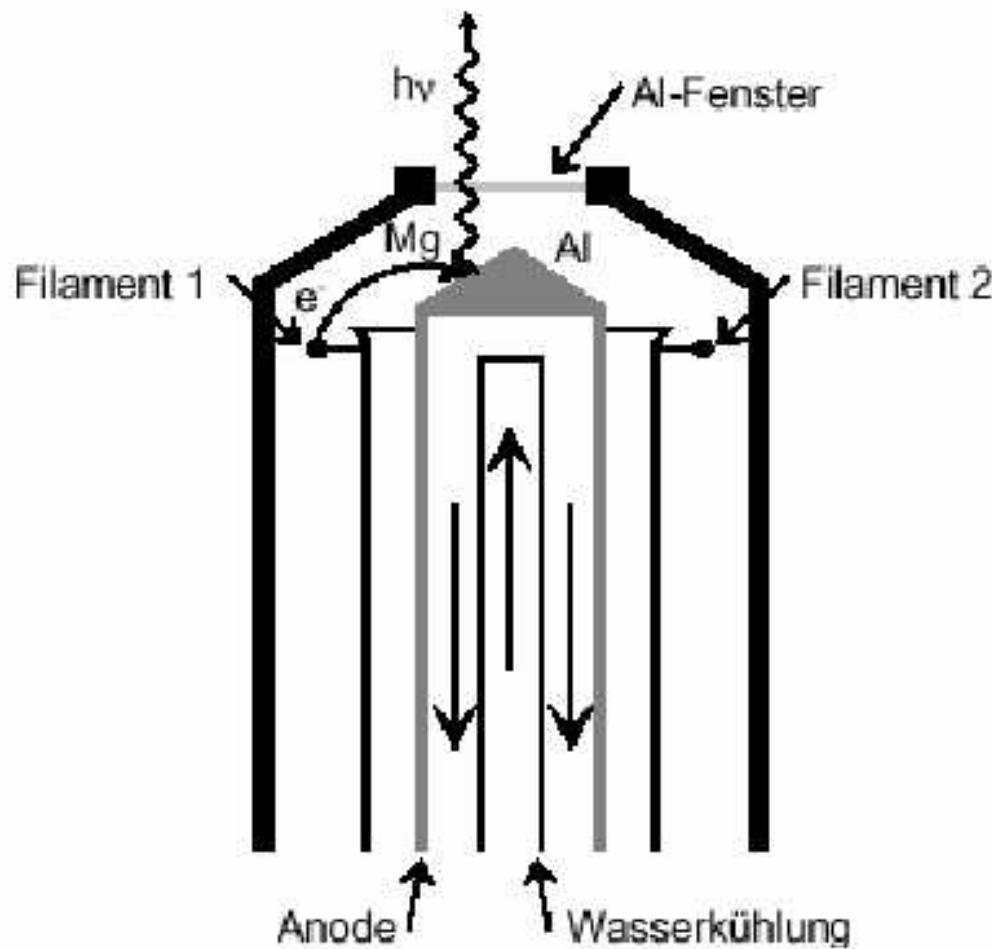
Idealised photoemission of an atom and a solid state



X-ray tube



X-ray twin anode



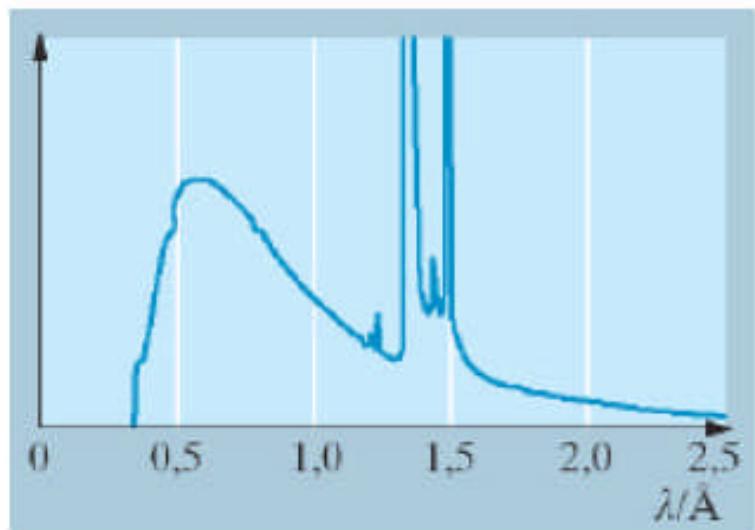
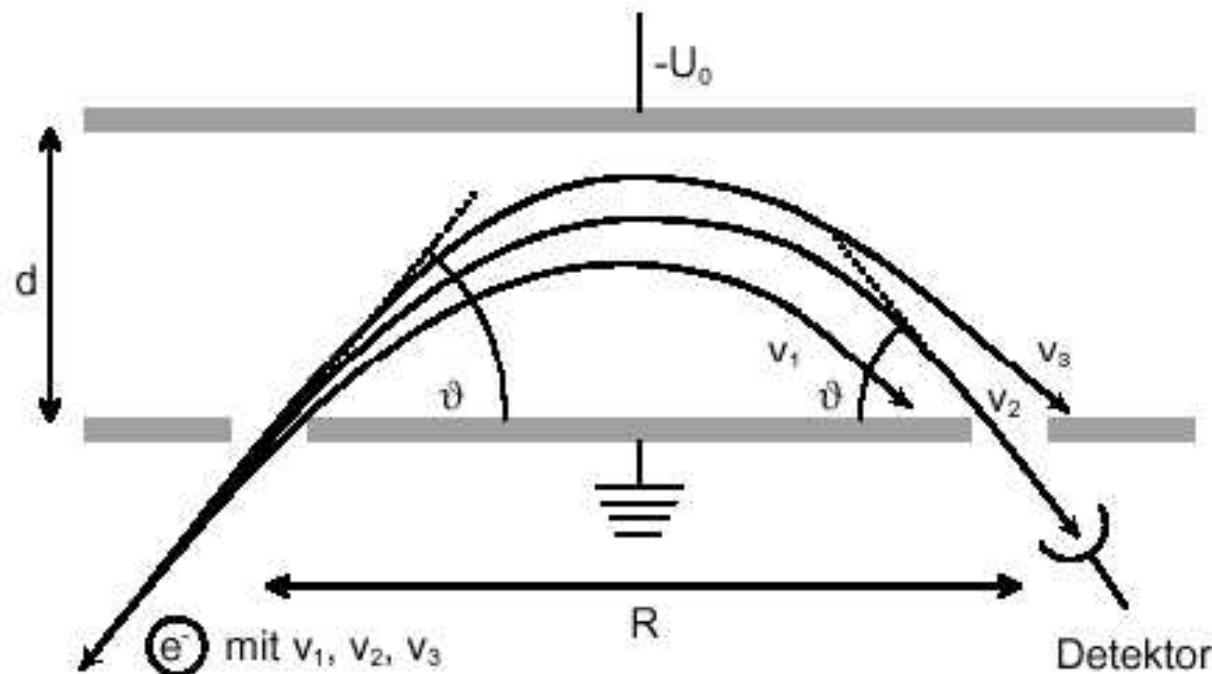
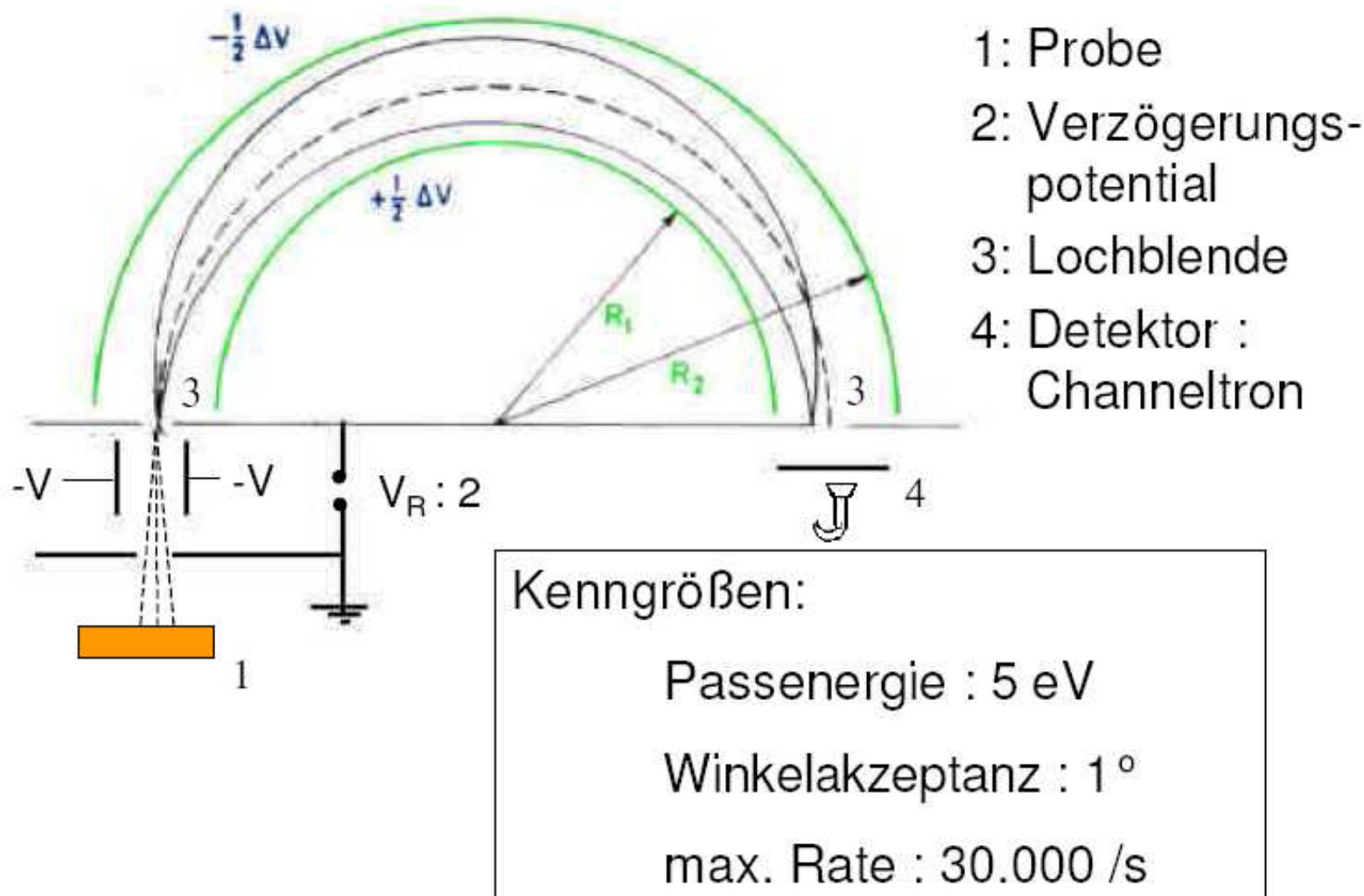


Abb. 8.1 Röntgen-Spektrum einer Kupfer-Anode mit Bremskontinuum und überlagerten Linien K_α und K_β des Kupfers. Röhrenspannung 38 kV. Aus Meschede: Gerthsen Physik, 22. Aufl., Abb. 15.21

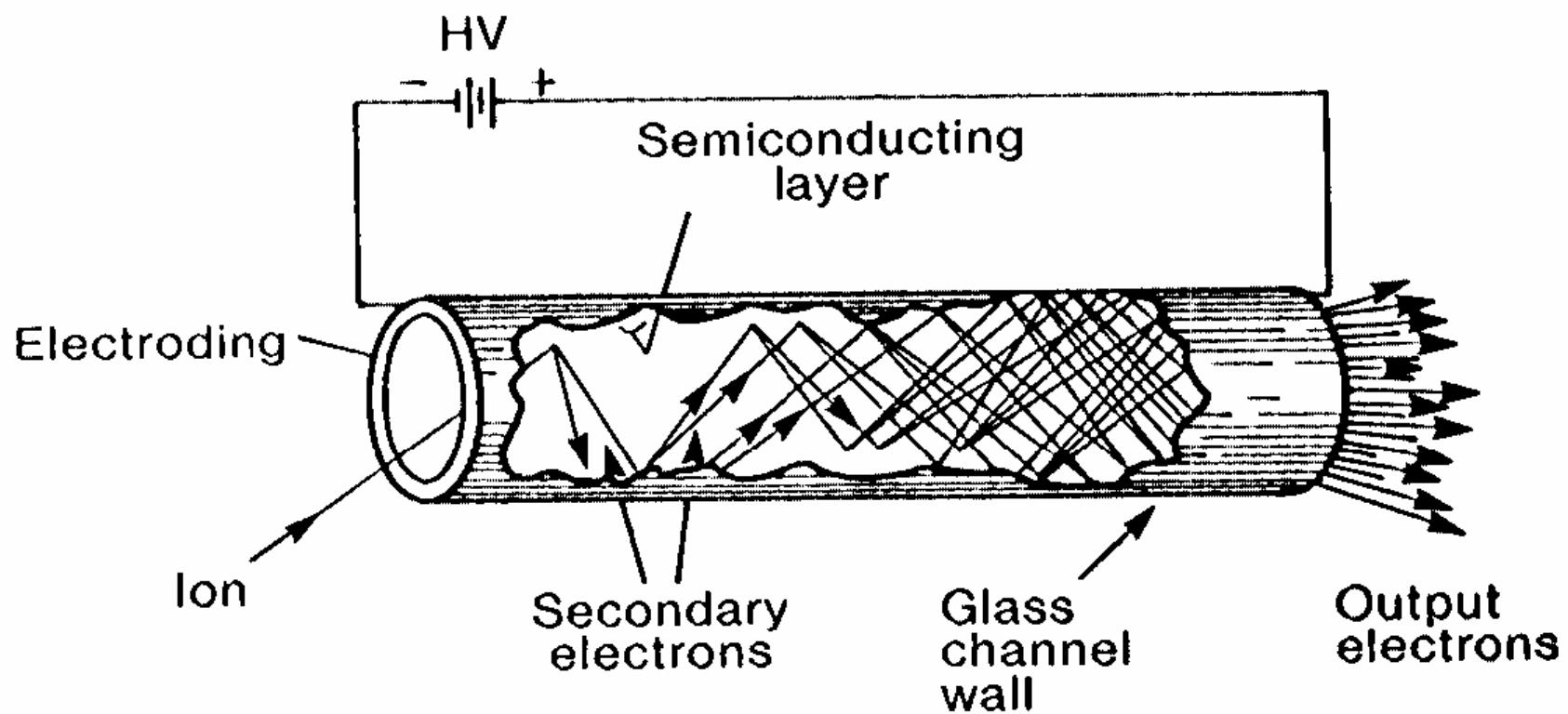
Parallel plate mirror analyser



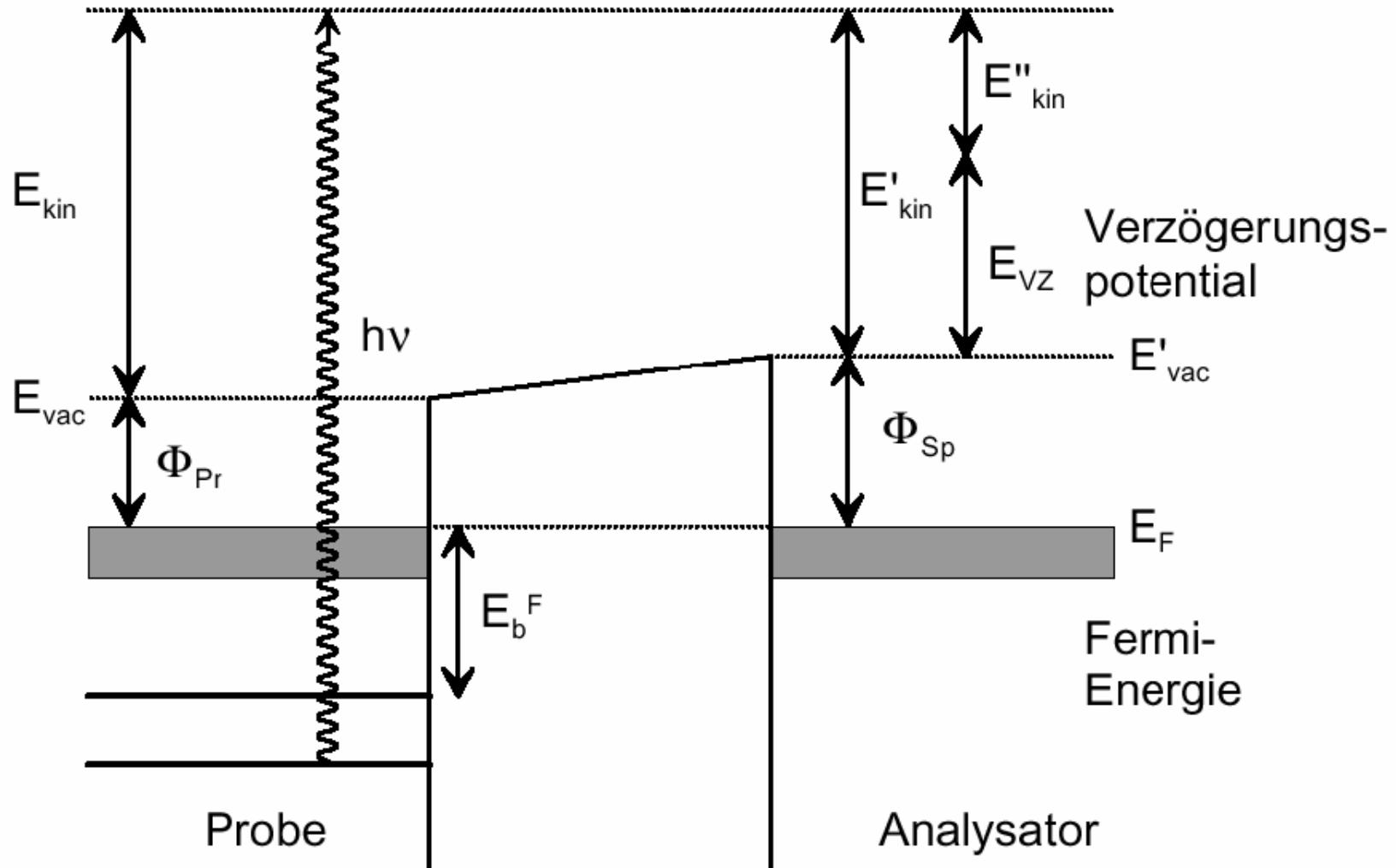
Halbkugelanalysator



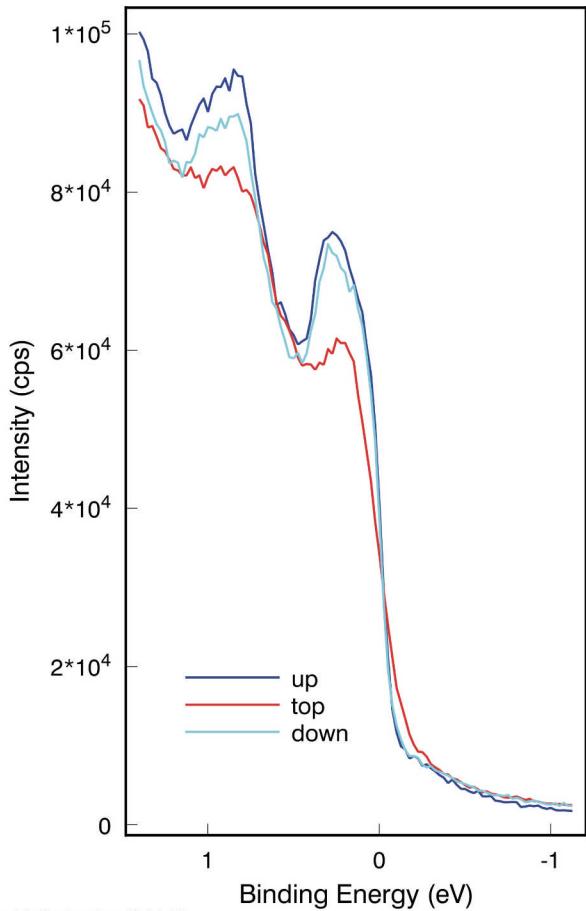
Detector: Channeltron



Energy Calibration

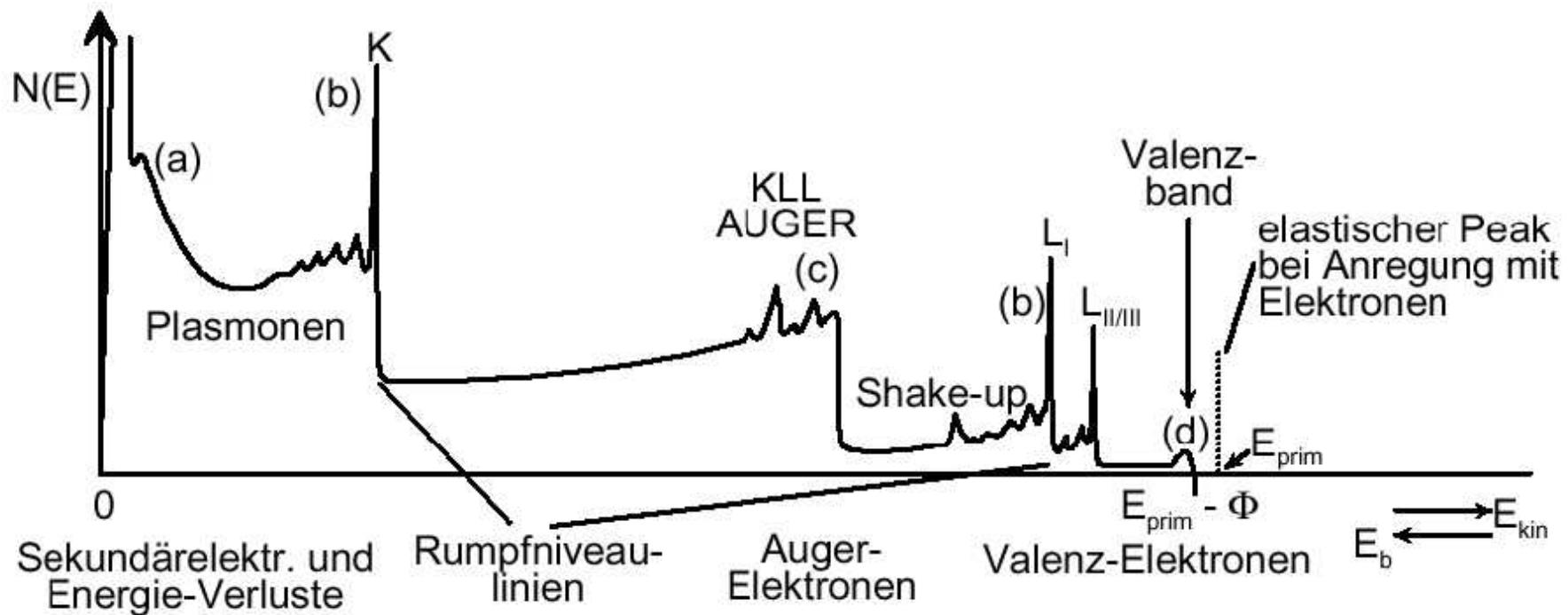


Fermi Edge

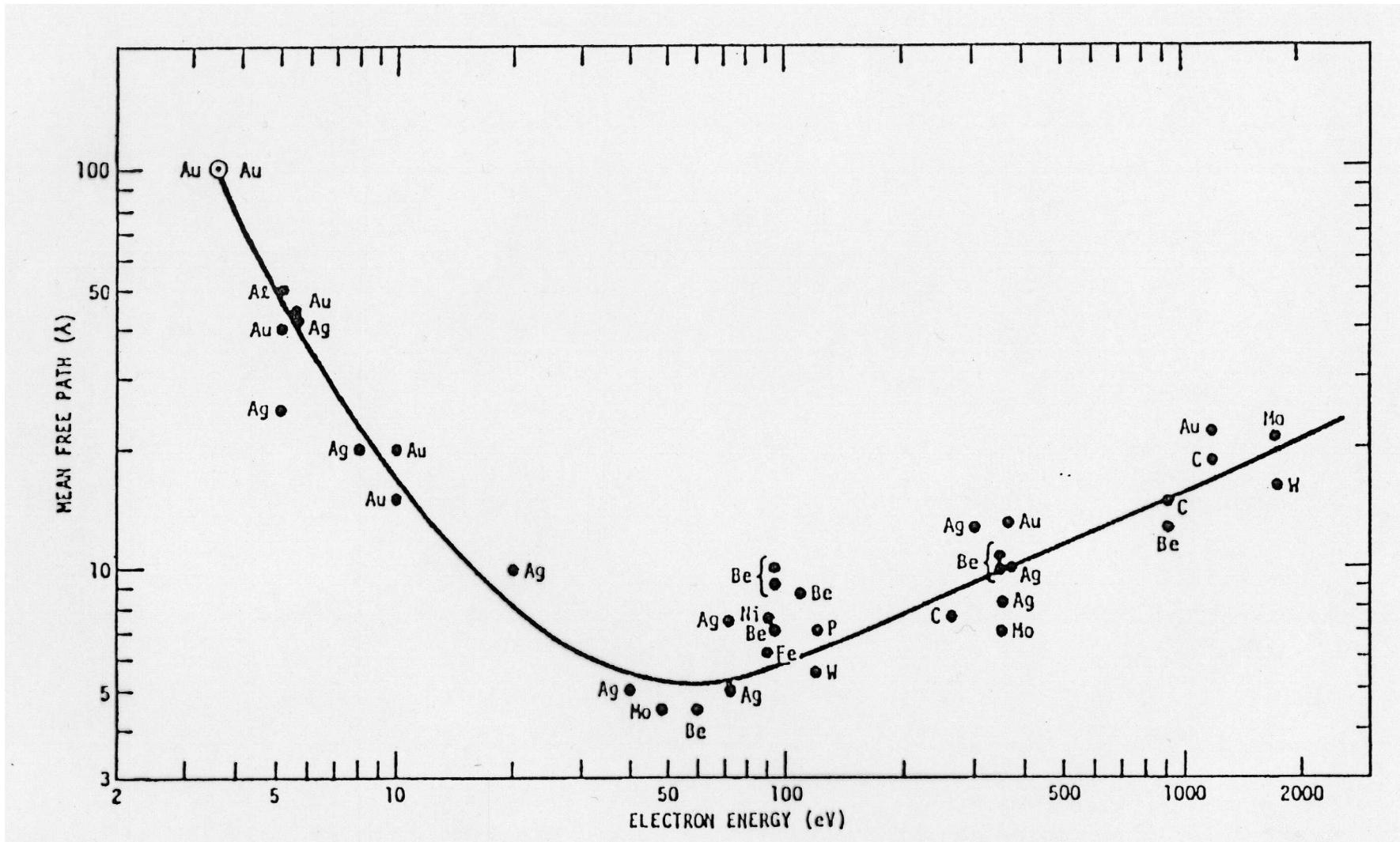


Thermal broadening of the Fermi energy due to the Boltzmann „tail“:
UPS of Cu at 450 K blue and 623 K red.

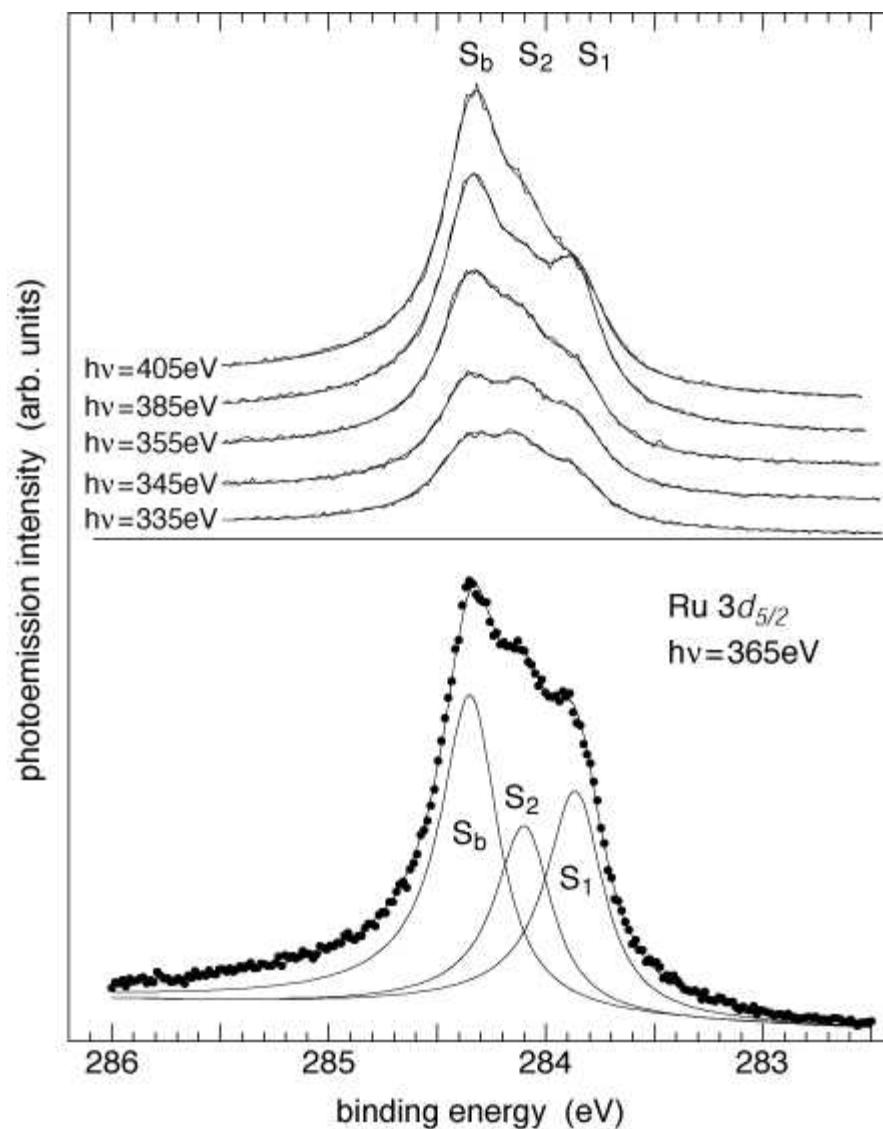
Typical XP spectrum



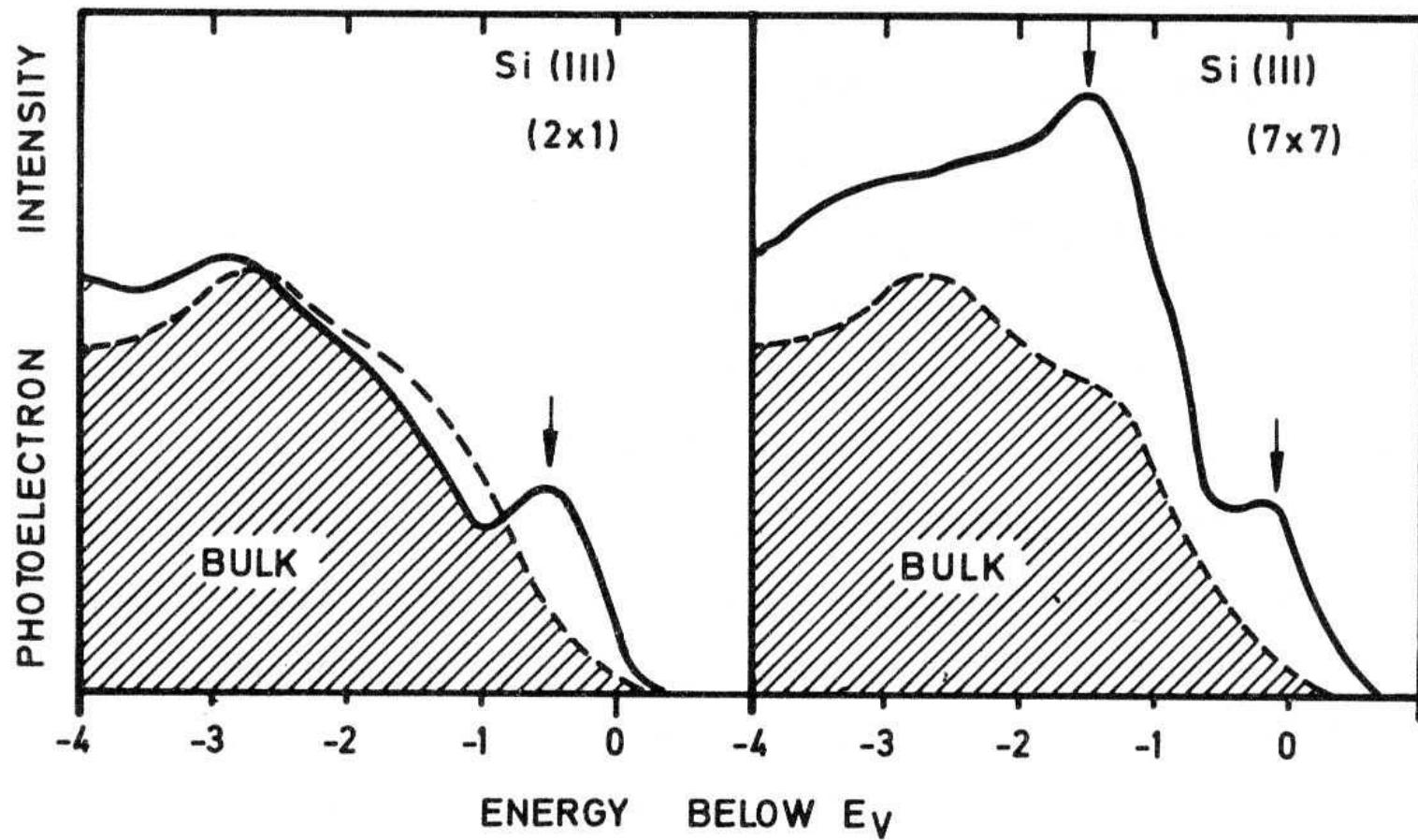
Mean free path



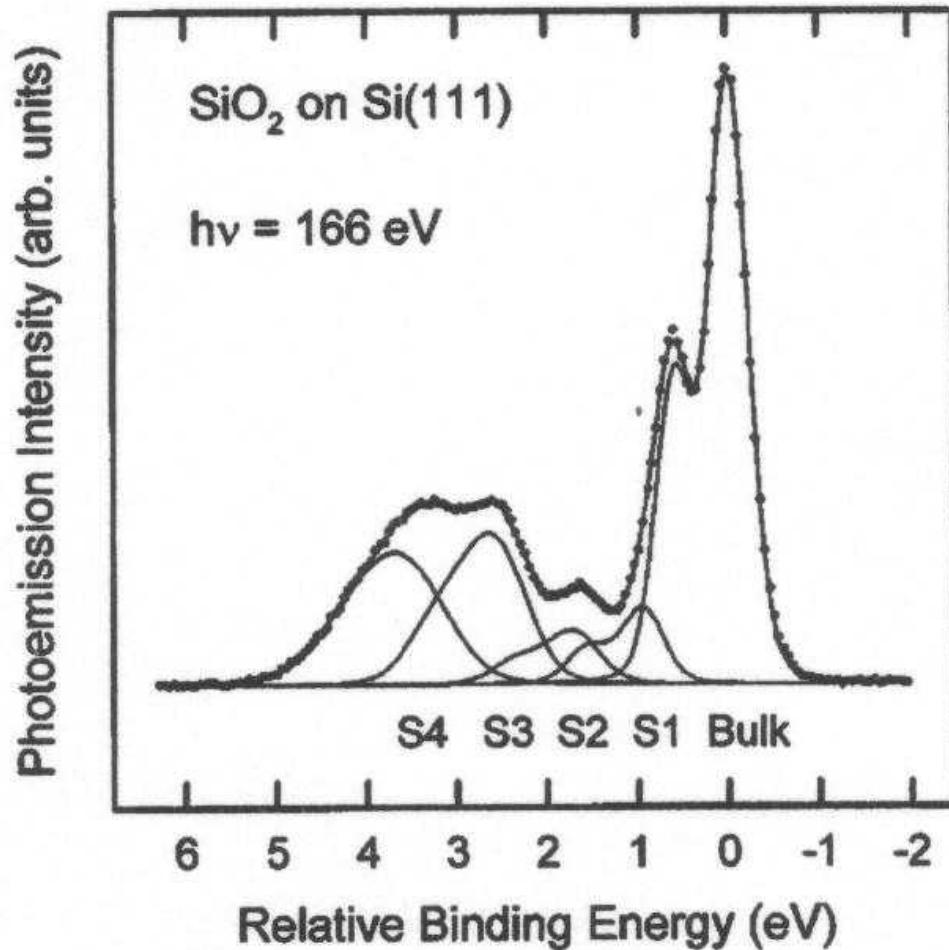
Ru $3d_{5/2}$ core level spectra



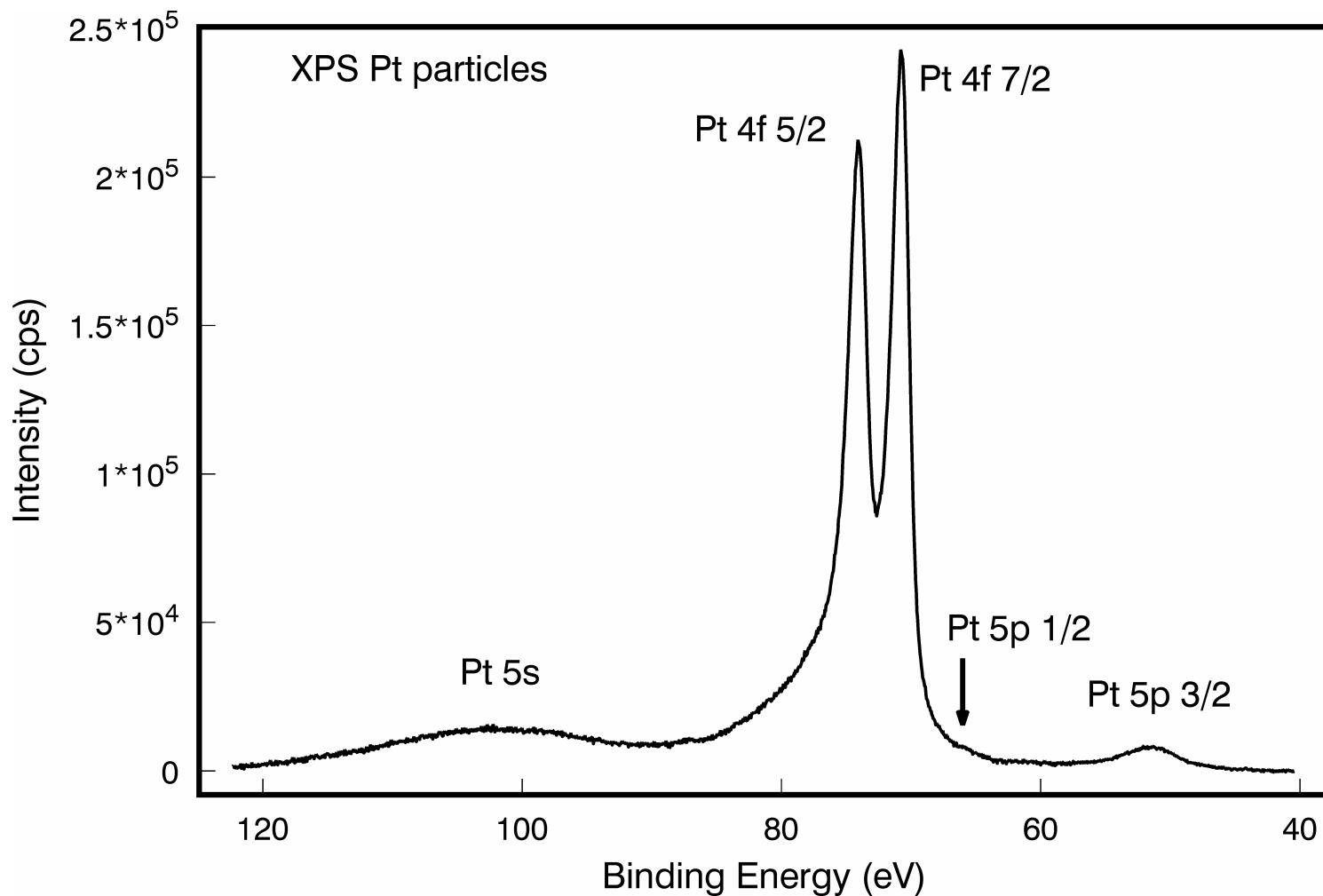
Surface Sensitivity (reconstruction of Si(111) surface)



High resolution Si2p photoelectron spectrum of $\text{SiO}_2/\text{Si}(111)$

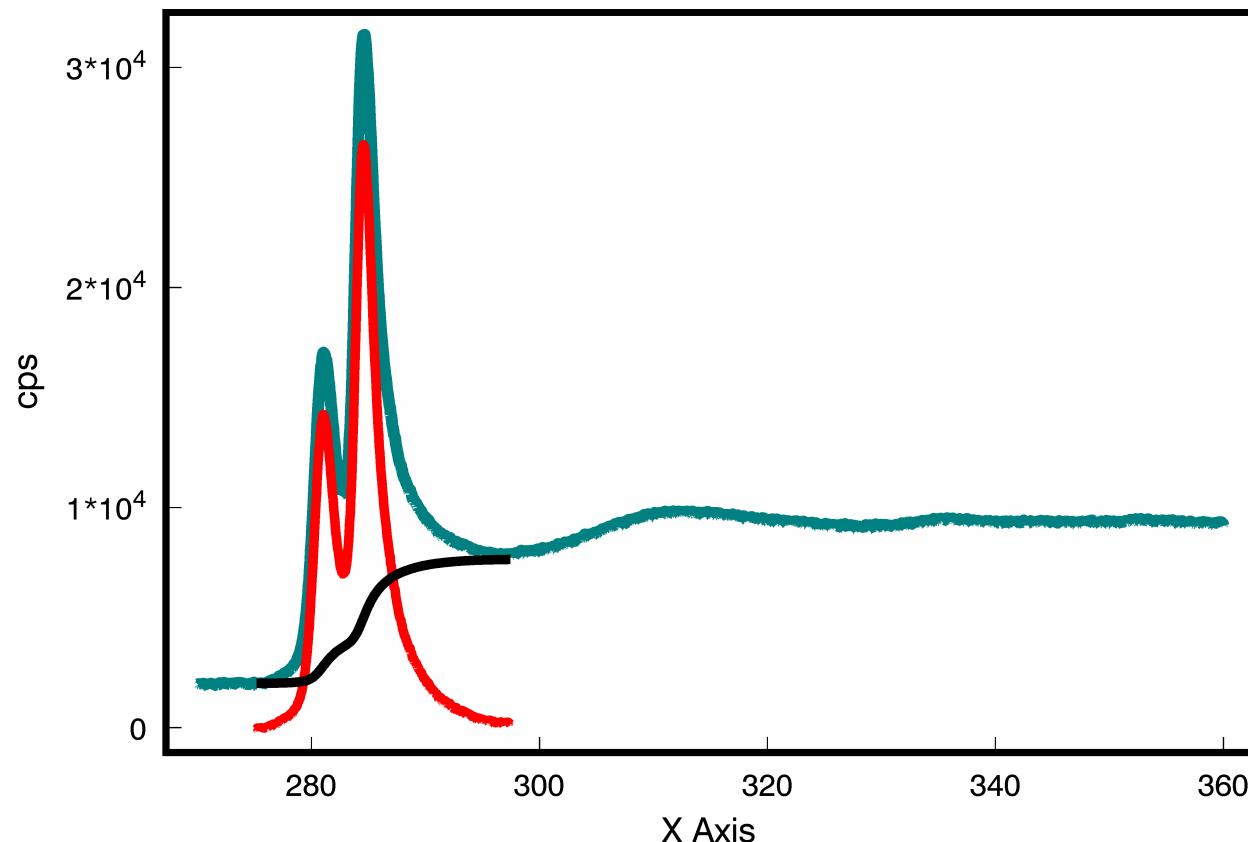


Pt 4f spectrum

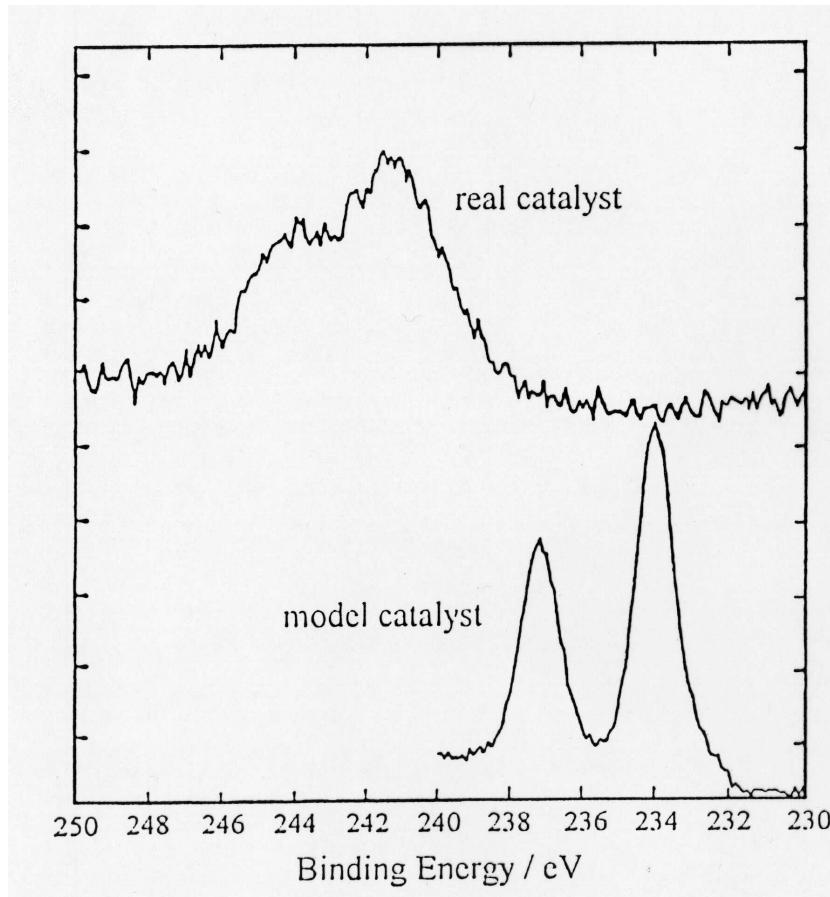


Background Subtraction

Ru metal on nanotube carbon



Line profile modification by charging



Mo oxide on silica

real catalyst is powder
sample after impregnation
and calcination.

Which parameters do influence the binding energy?

$$E_{kin} = h\nu - E_b - \Phi$$

$$E_b^{\text{eff}} = E_b + \Delta E_{\text{chem}} + \Delta E_{\text{Mad}} + \Delta E_r^{\text{int}} + \Delta E_r^{\text{ext}}$$

ΔE_{chem} : chemical shift

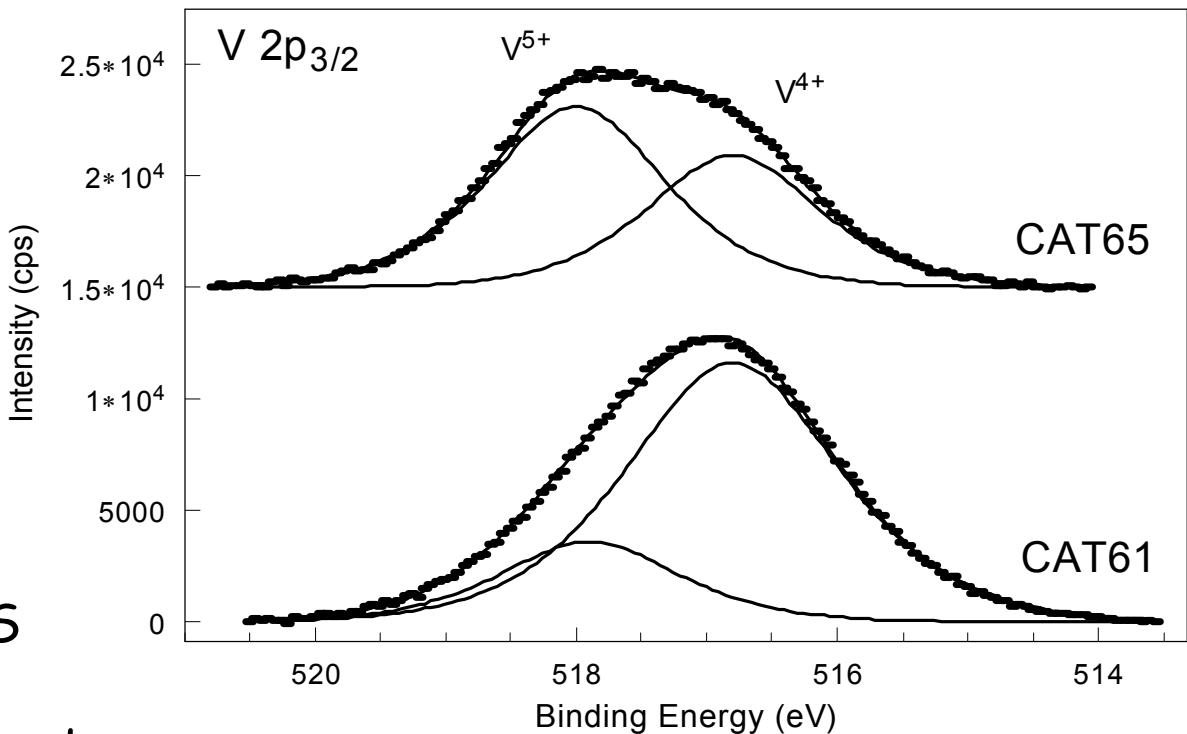
ΔE_{Mad} : Madelung Term

ΔE_r^{int} : intraatomic relaxation

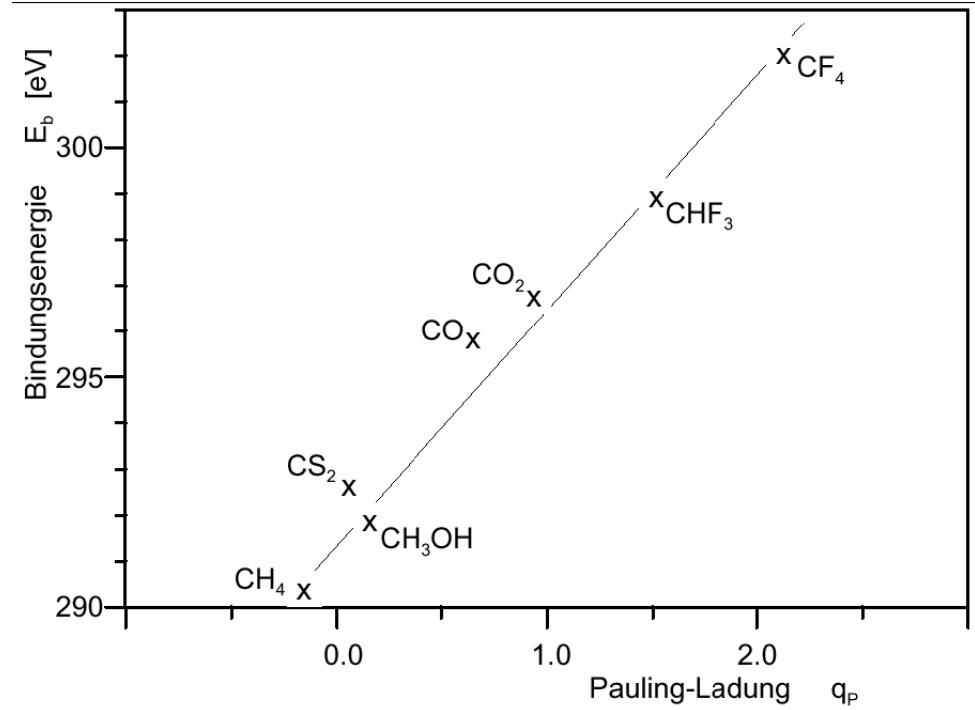
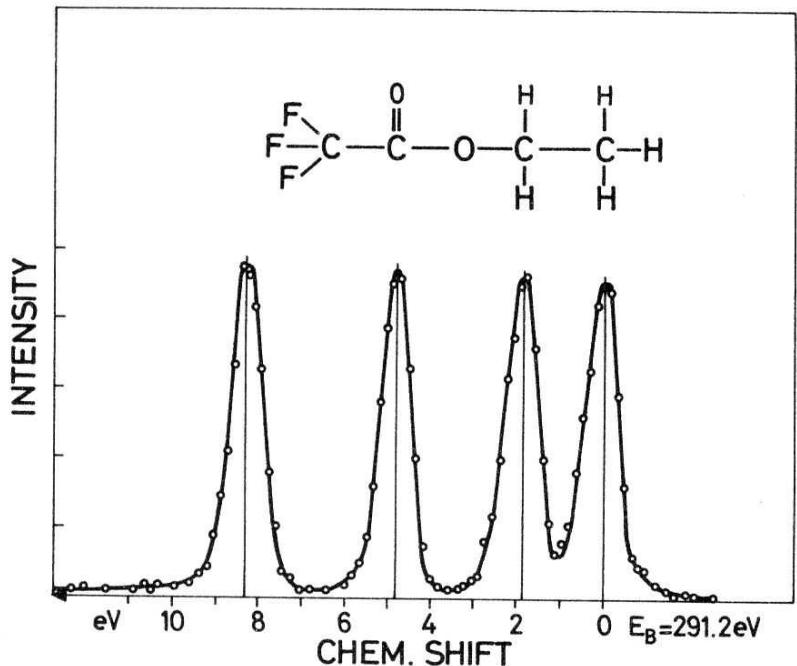
ΔE_r^{ext} : interatomic relaxation

Oxidation state by XPS

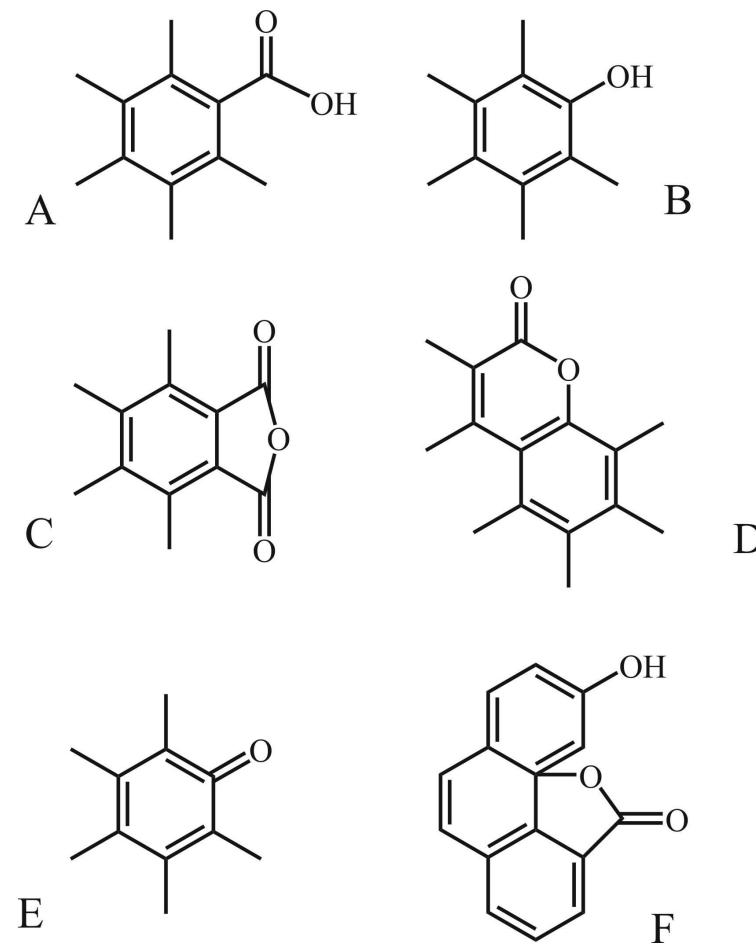
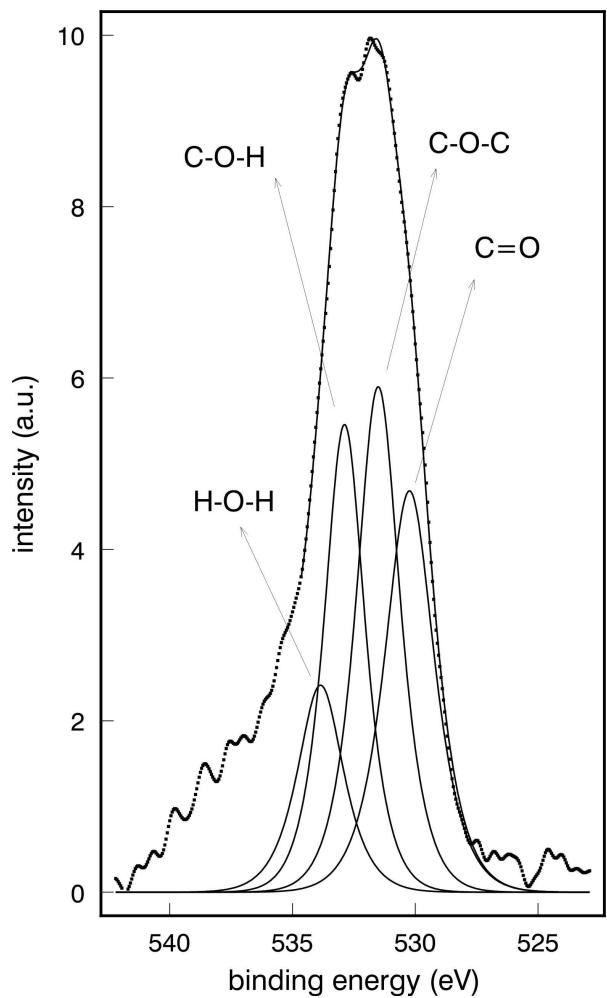
conventional XPS
after catalytic
operation in attached
prep chamber: data at
300 K



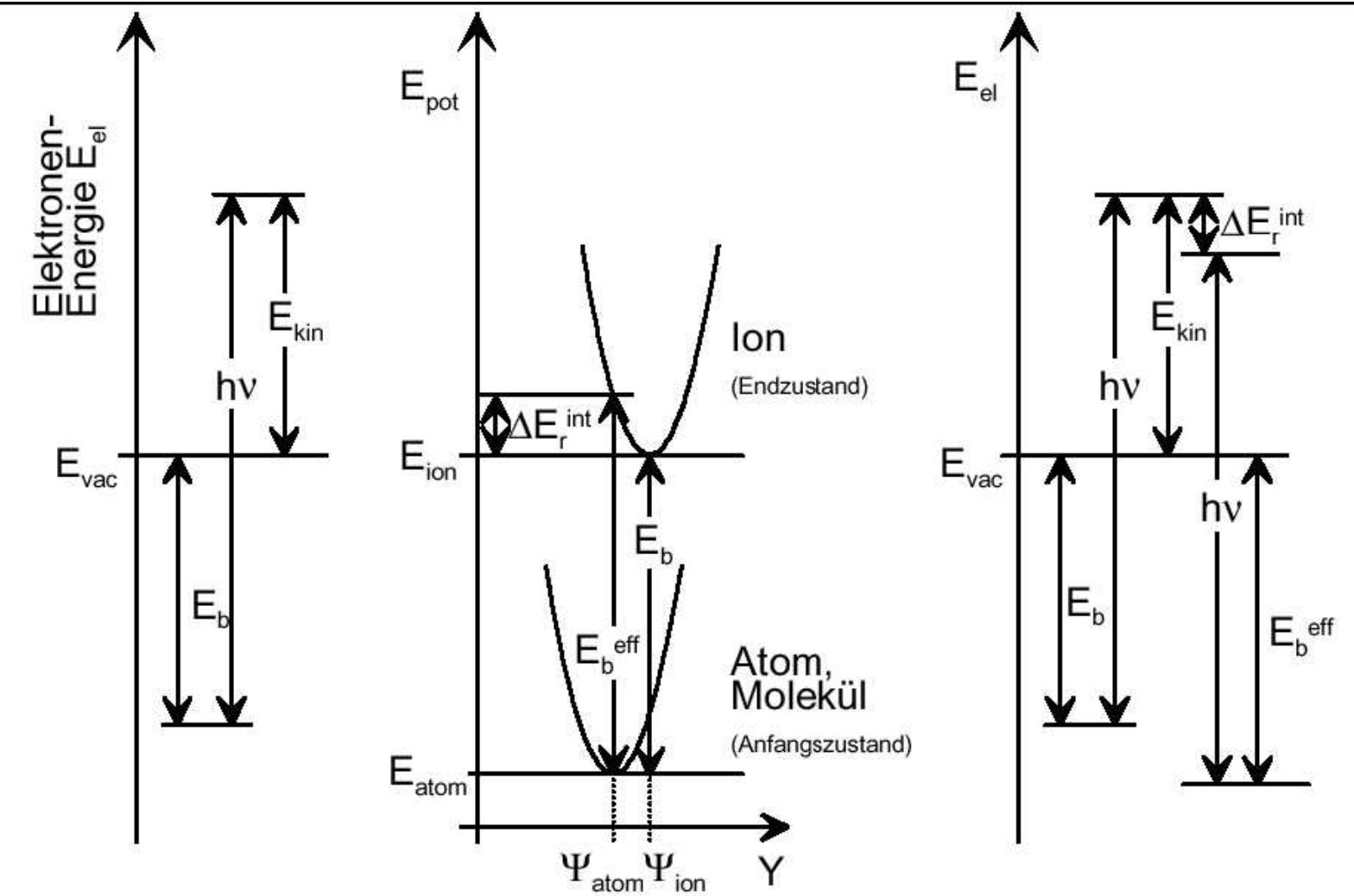
Chemical Shift



A simple line: oxygen 1s



Relaxation Effects

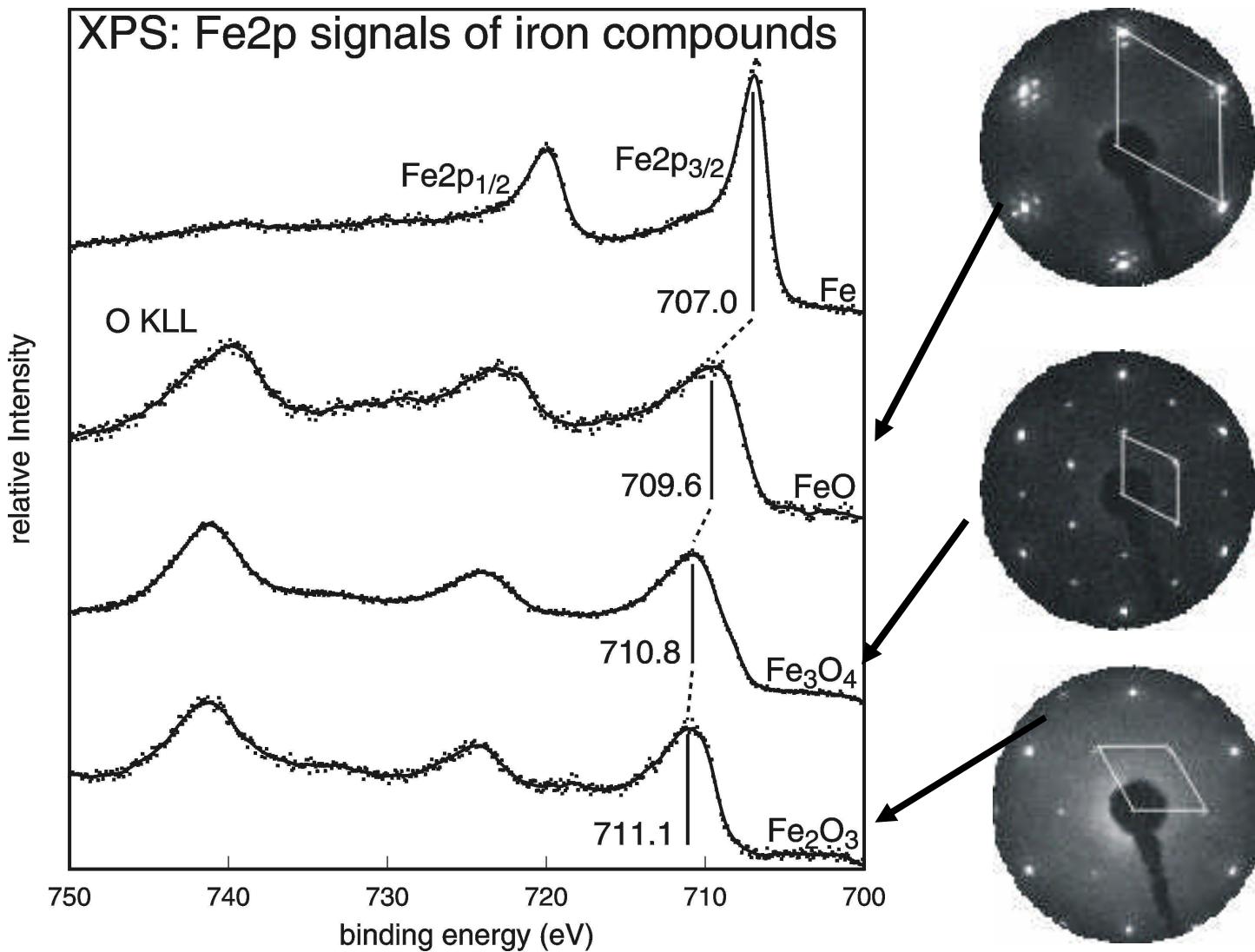


Quantitative Analysis of Intensity

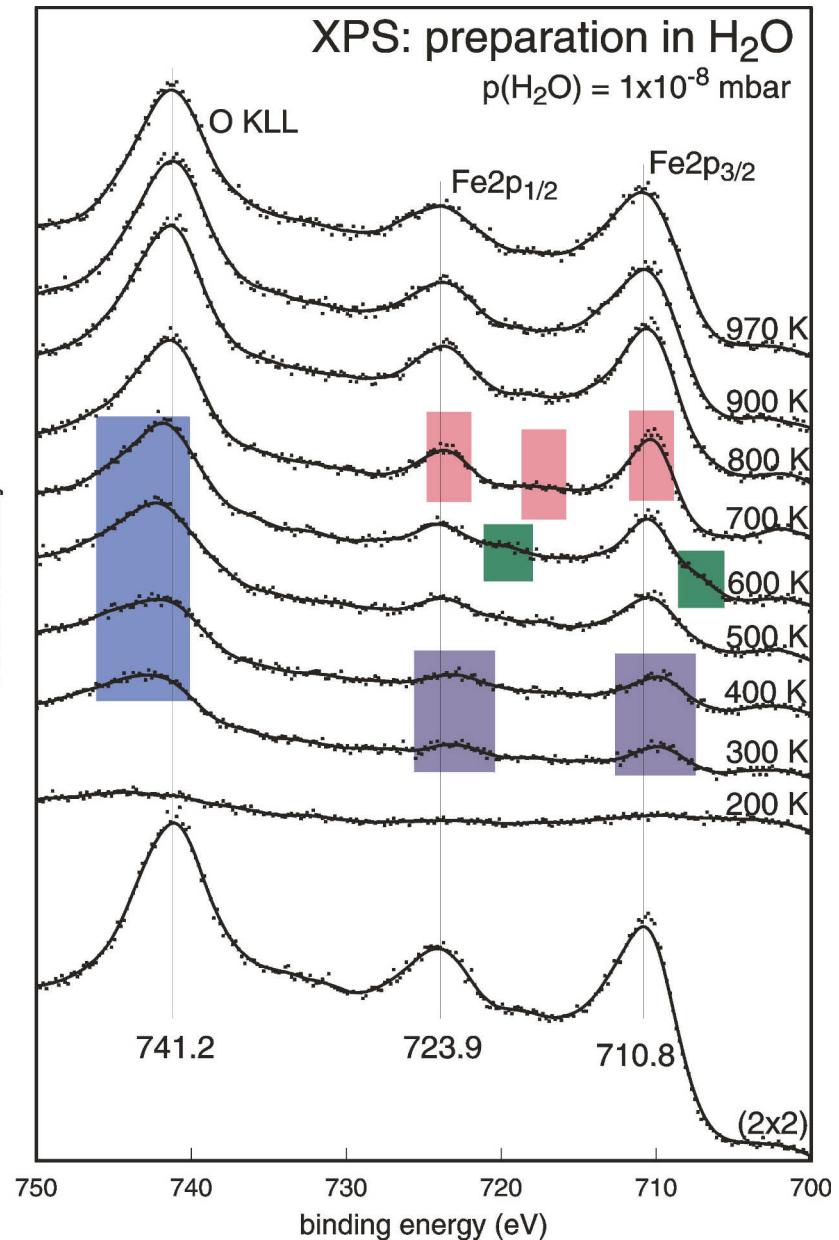
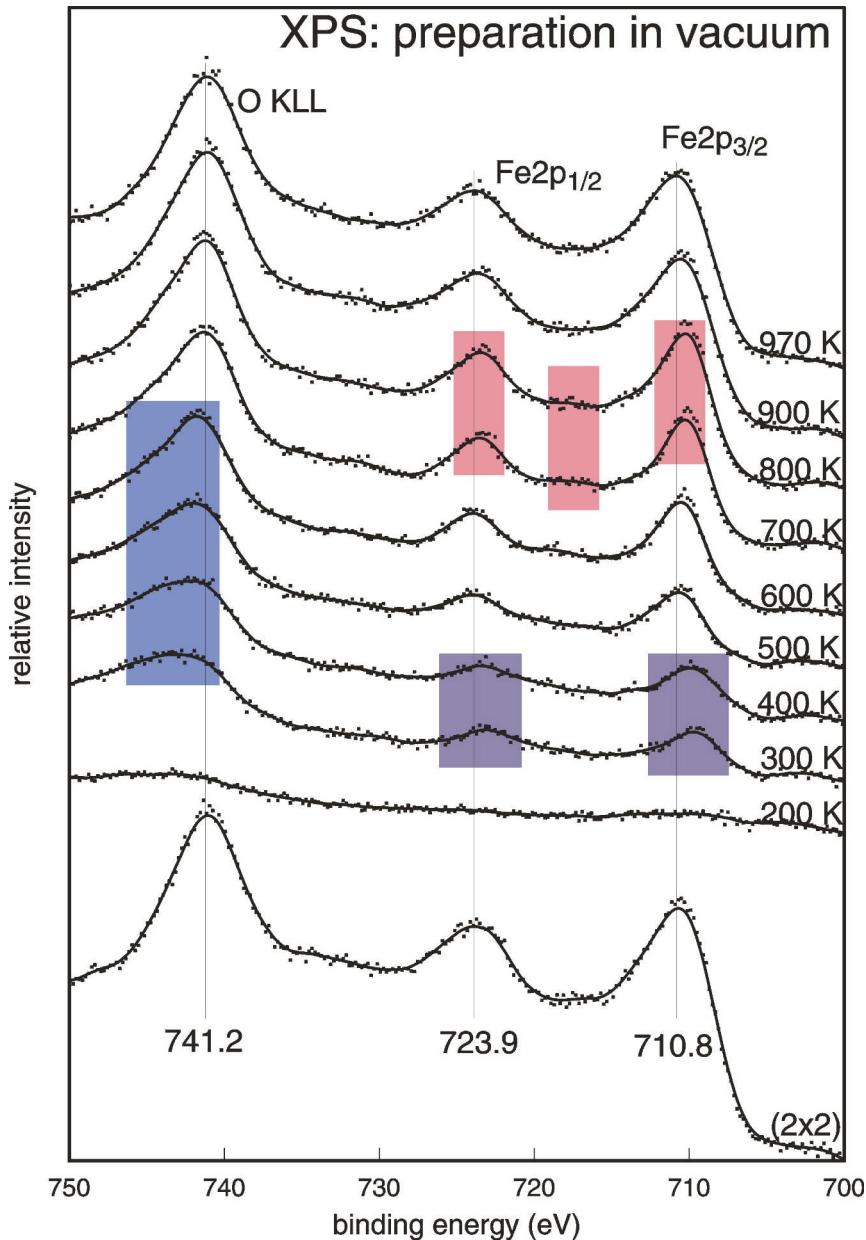
Homogeneous sample lateral and in depth

$I = f(\text{instrument})$ (transmission function of the analyser)
+ $f(\text{electron-photon interaction})$ (cross sections or reference samples)
+ $f(\text{electron-electron interaction})$ (mean free path)
+ $f(\text{atomic abundance})$

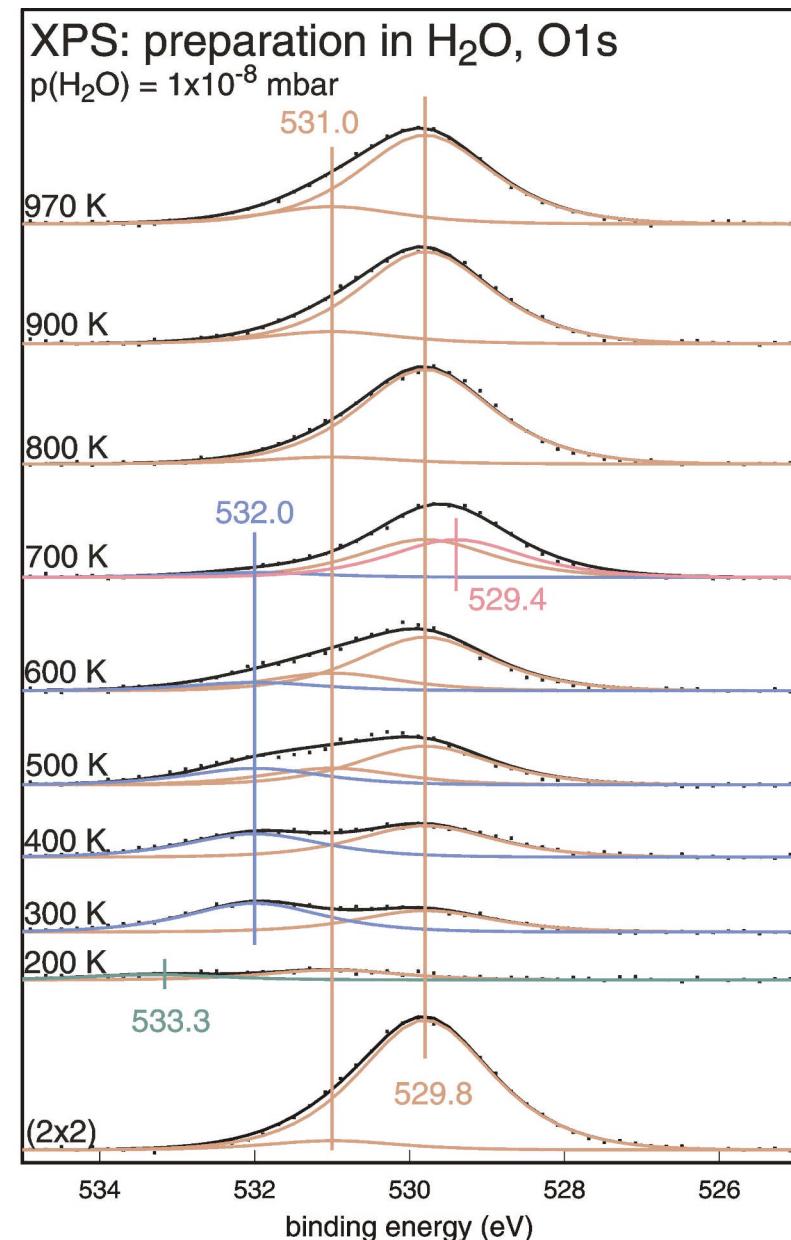
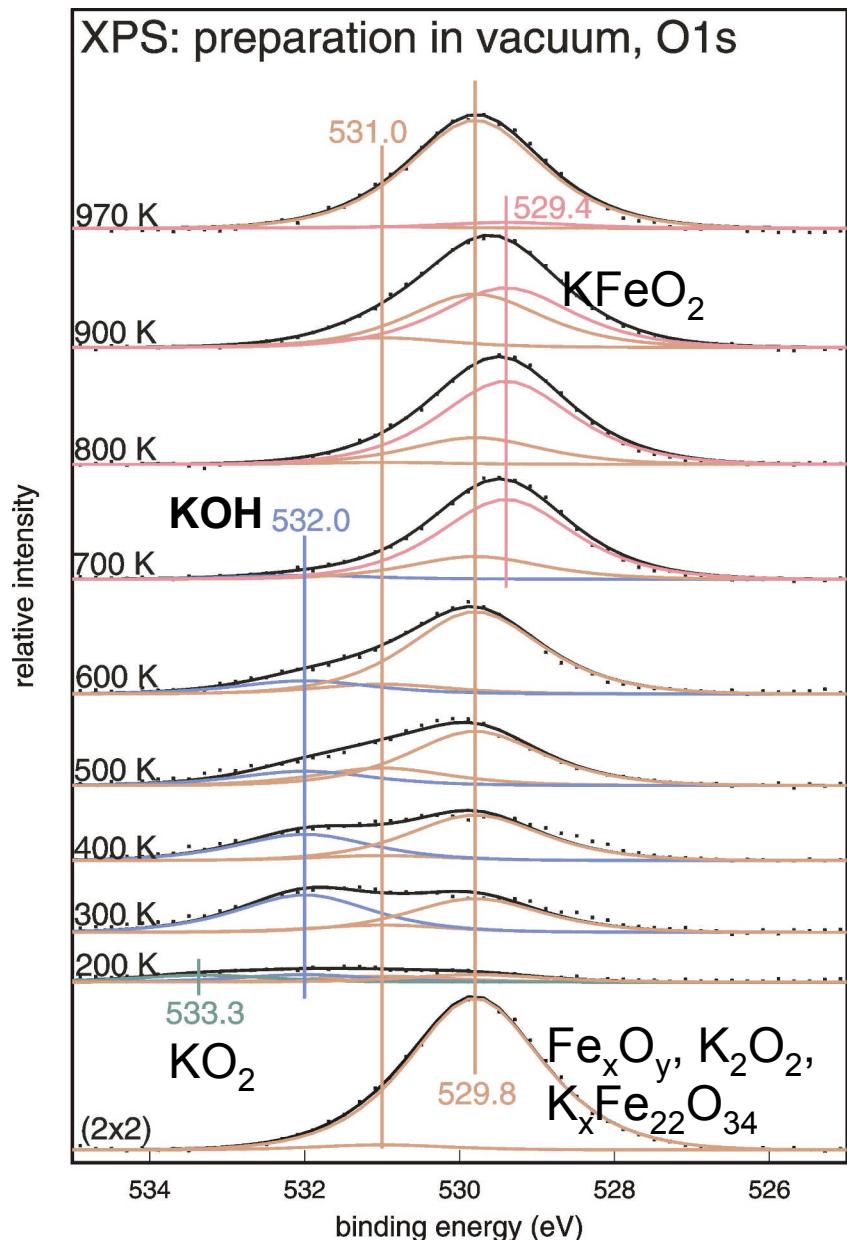
XPS - Referenzspektren der Oxide



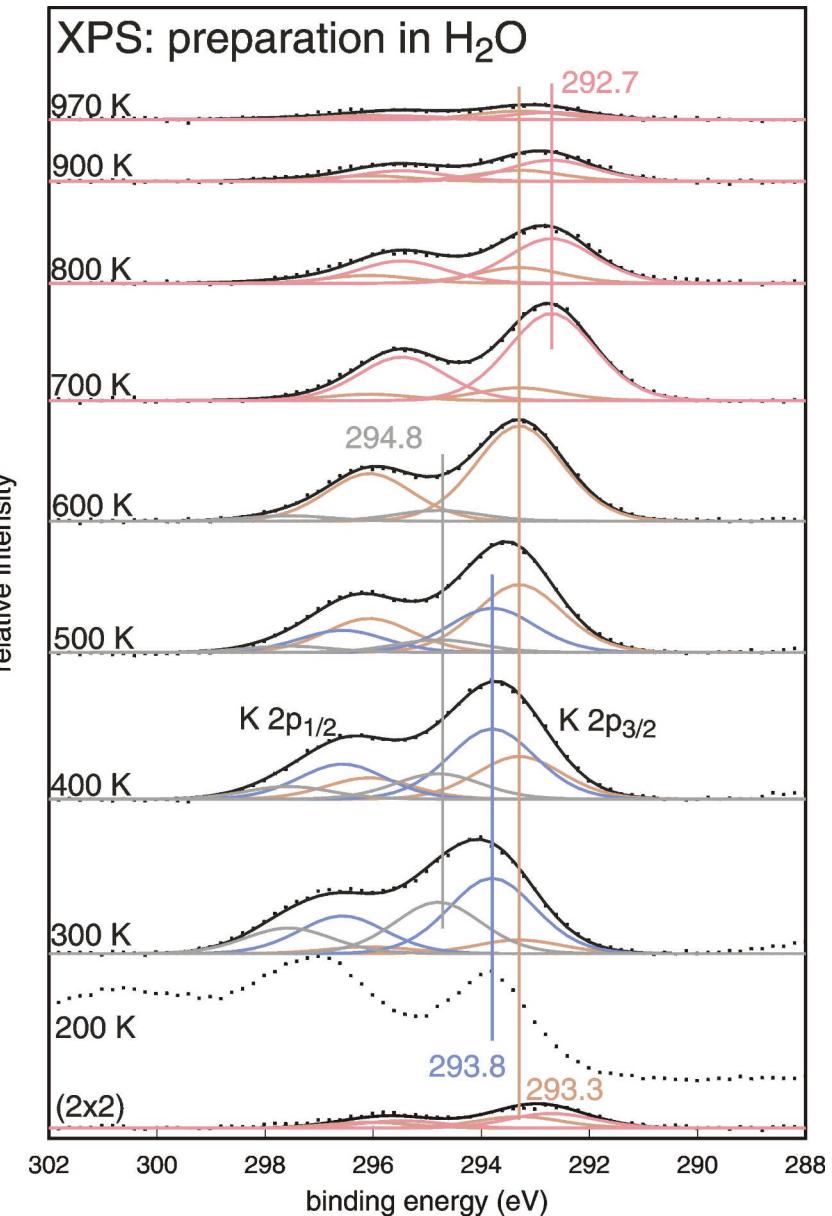
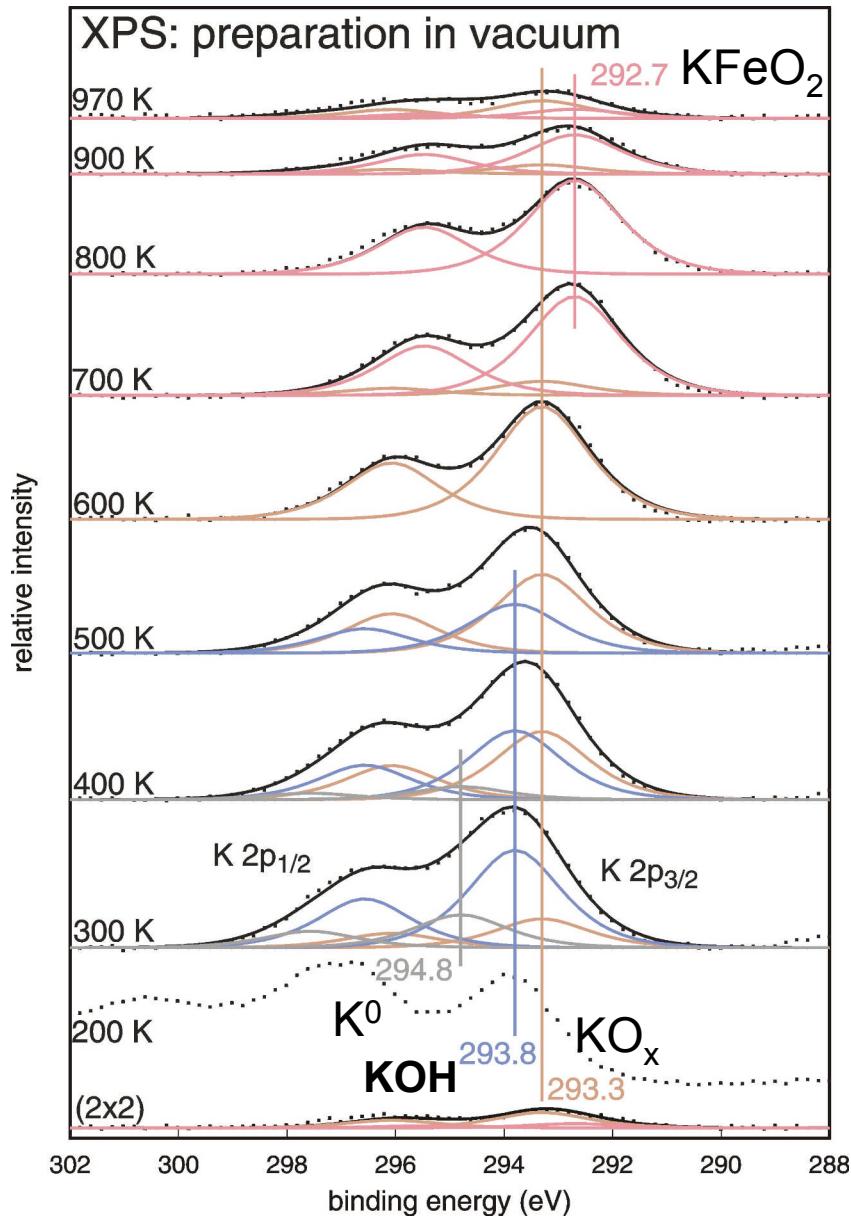
Präparationszyklus - Fe 2p Spektren



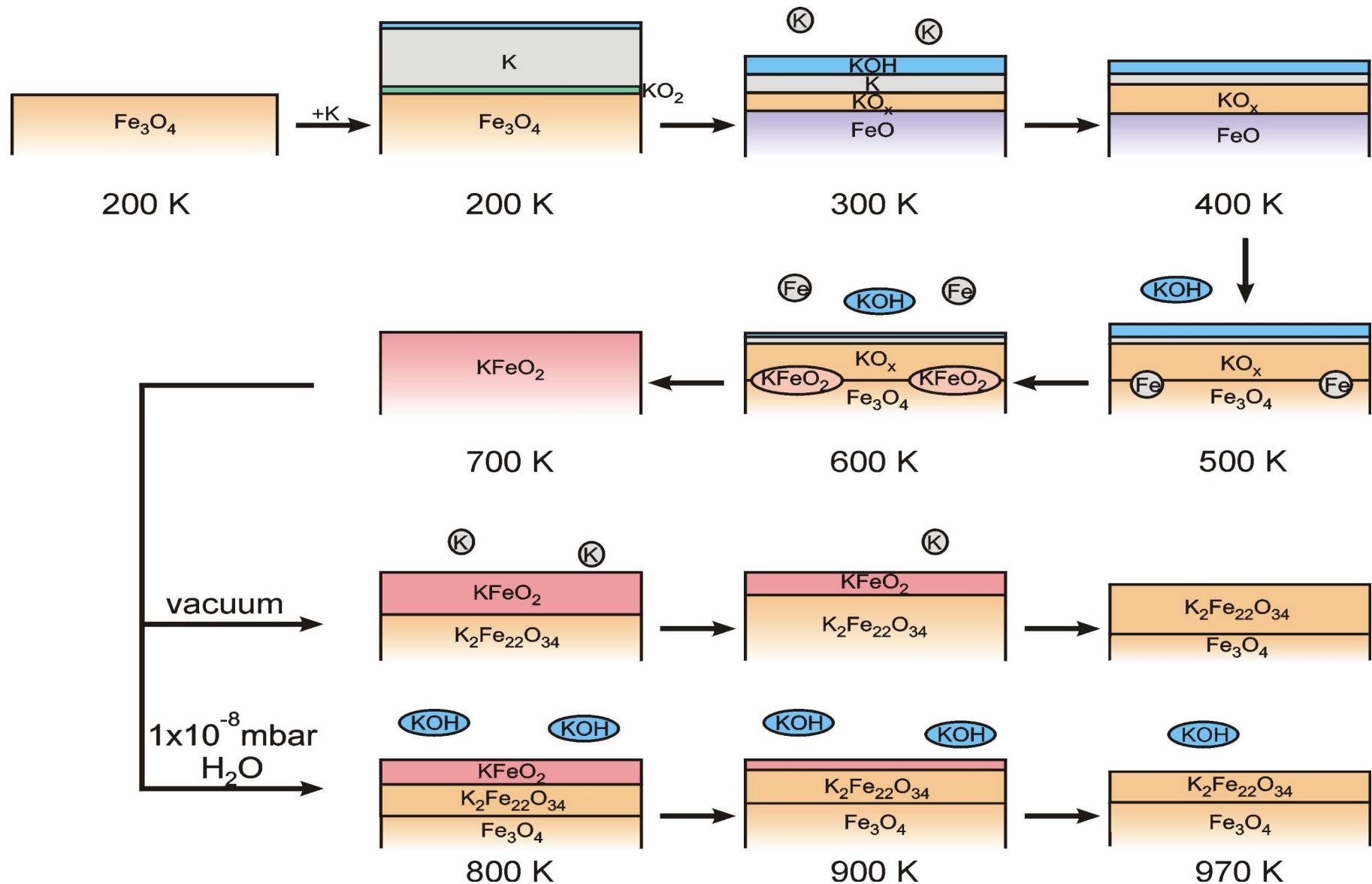
Präparationszyklus - O 1s Spektren



Präparationszyklus - K 2p Spektren



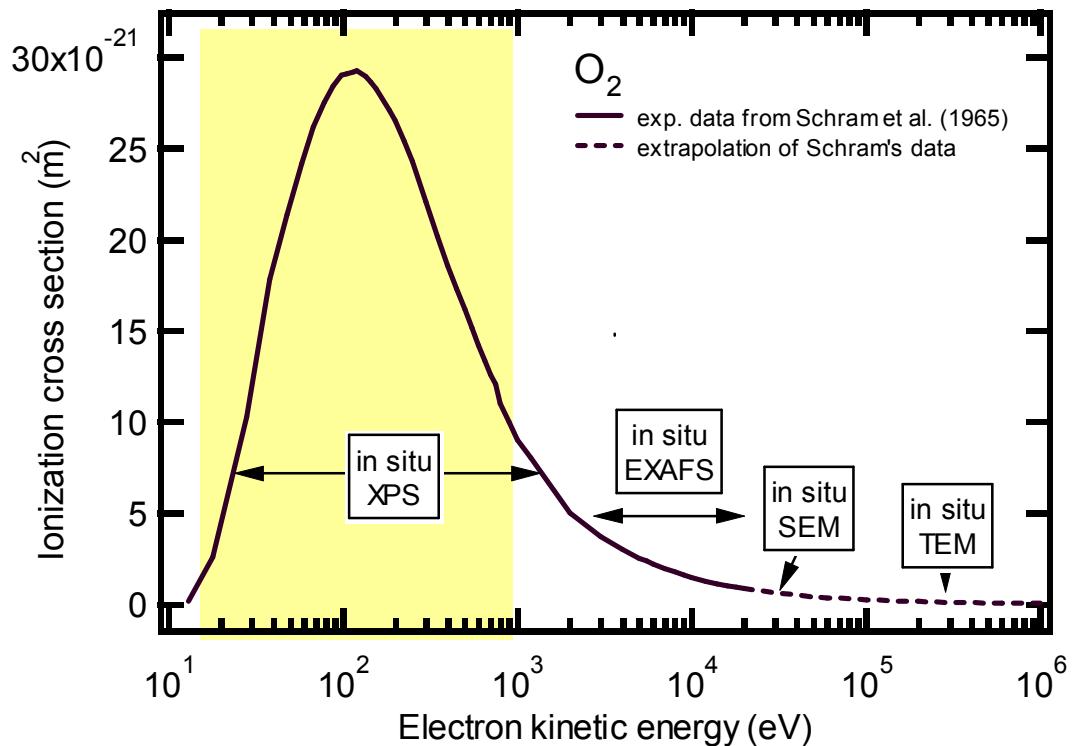
Wachstum



In situ XPS: obstacles

Fundamental limit:

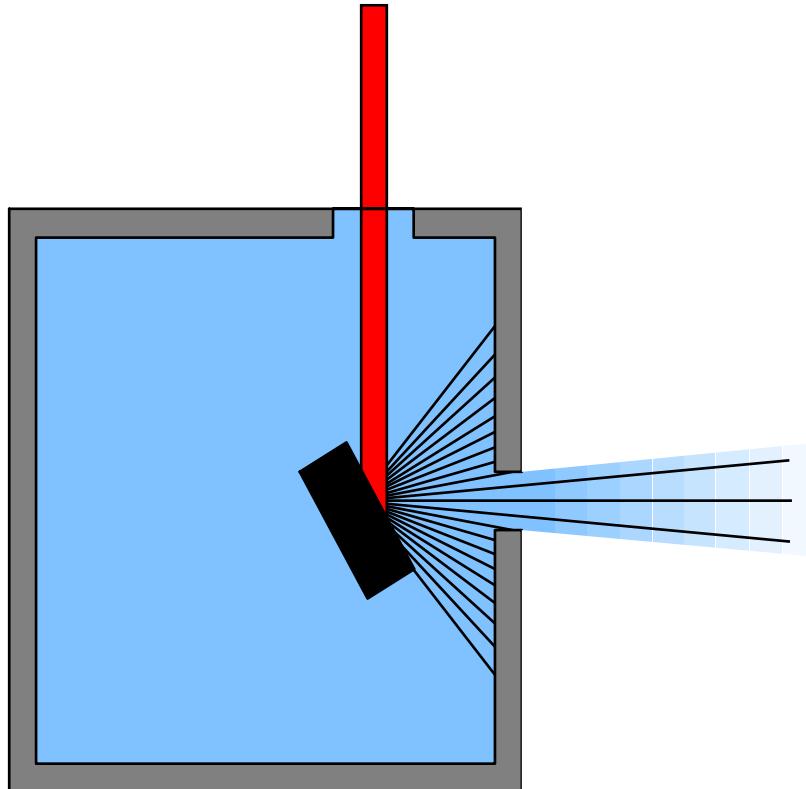
elastic and inelastic
scattering of electrons
in the gas phase



Technical issues:

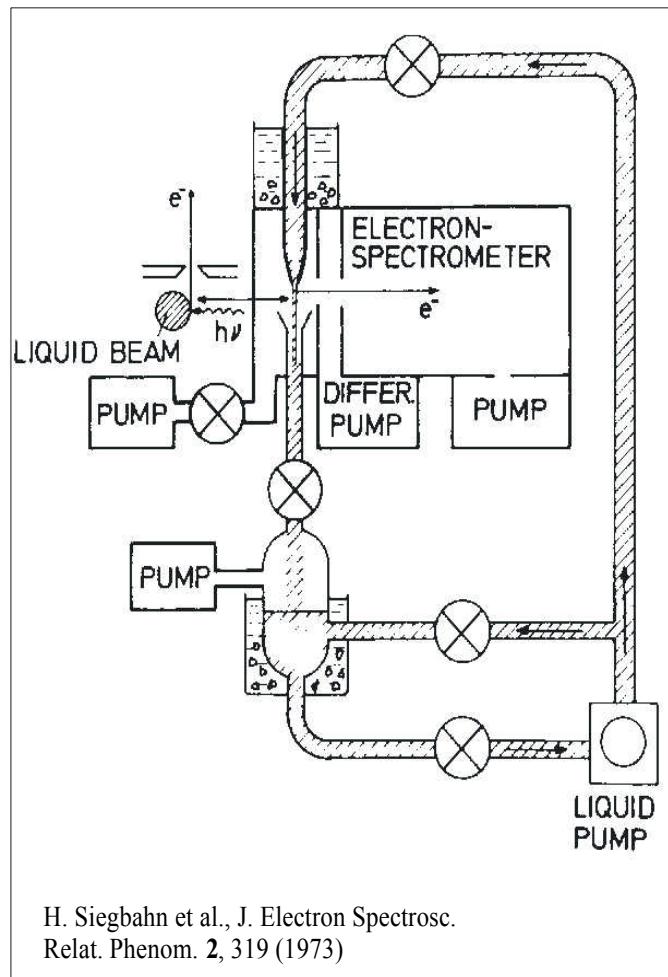
- Differential pumping to keep analyzer in high vacuum
- Sample preparation and control in a flow reactor

In situ XPS: basic concept

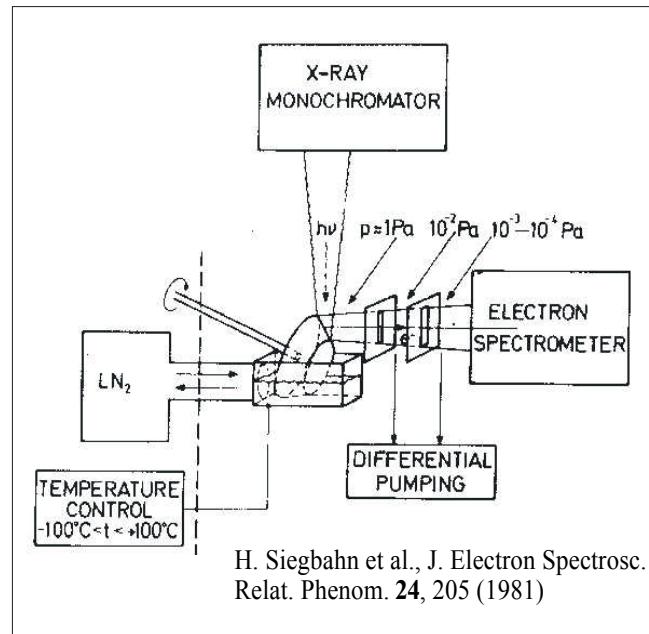


- Photons enter through a window
- Electrons and a gas jet escape through an aperture to vacuum

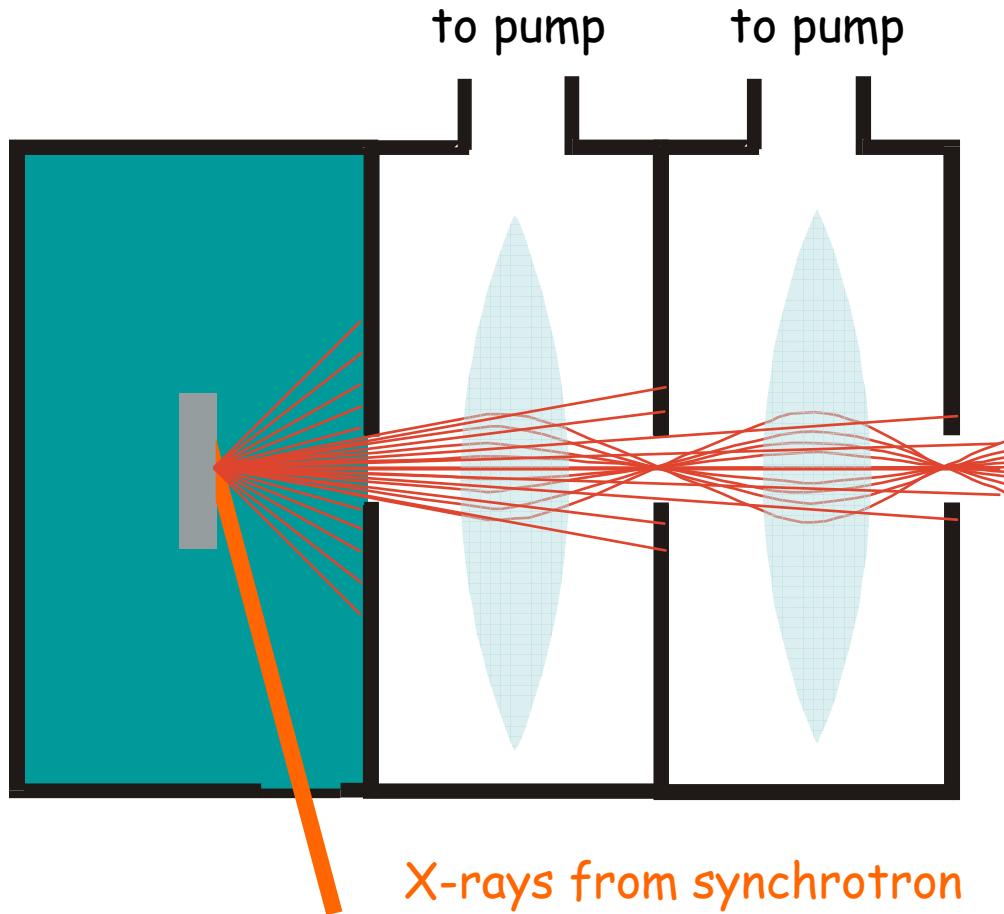
In situ XPS instruments: previous designs



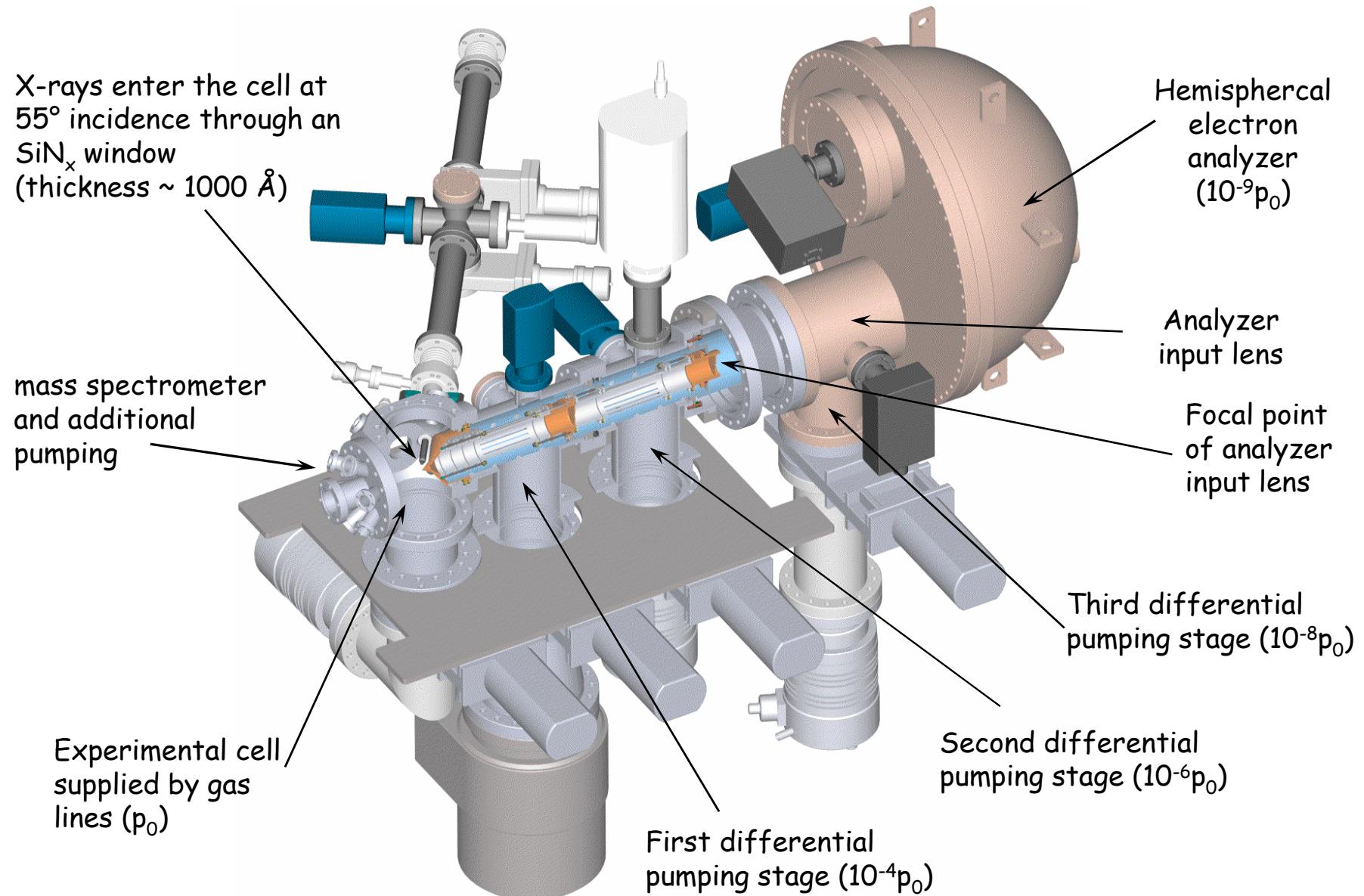
- H. Siegbahn et al. (1973-)
- M.W. Roberts et al. (1979)
- M. Faubel et al. (1987)
- M. Grunze et al. (1988)
- P. Oelhafen (1995)



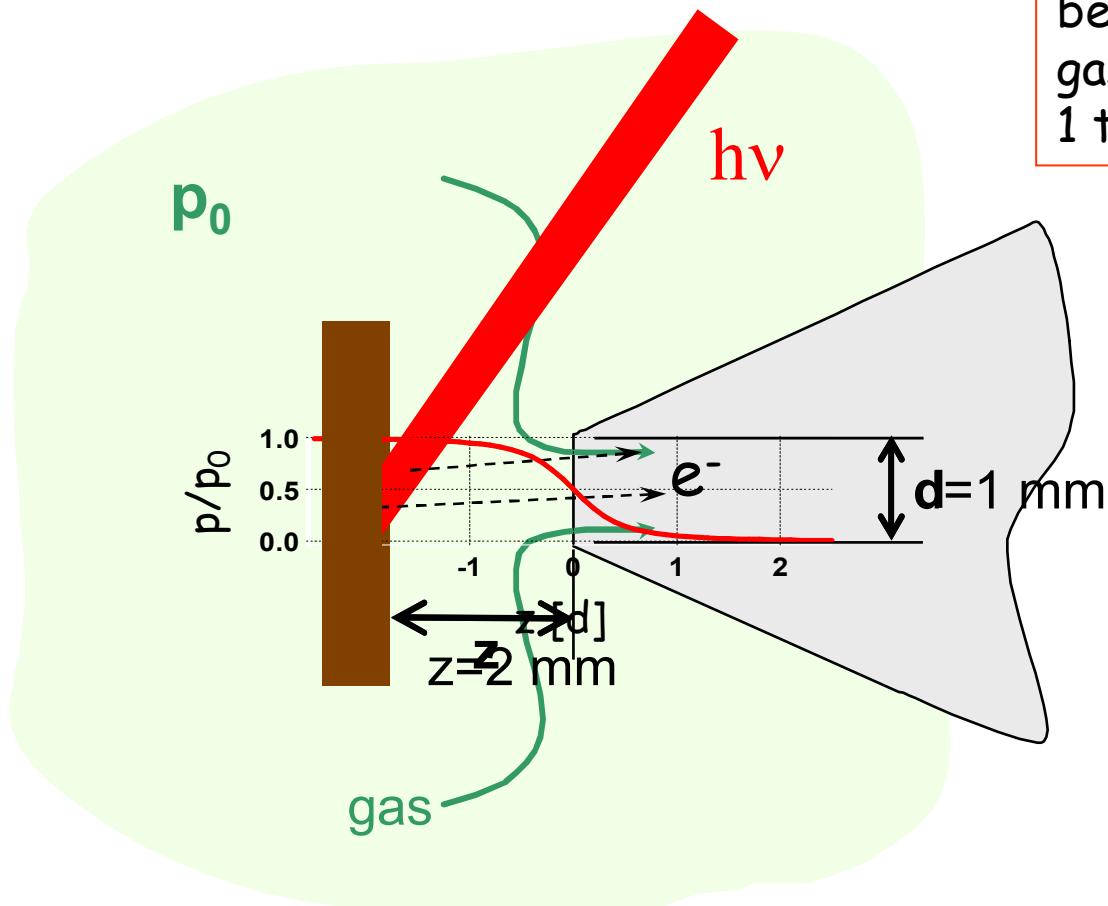
In situ XPS using differentially pumped electrostatic lenses



In situ XPS system

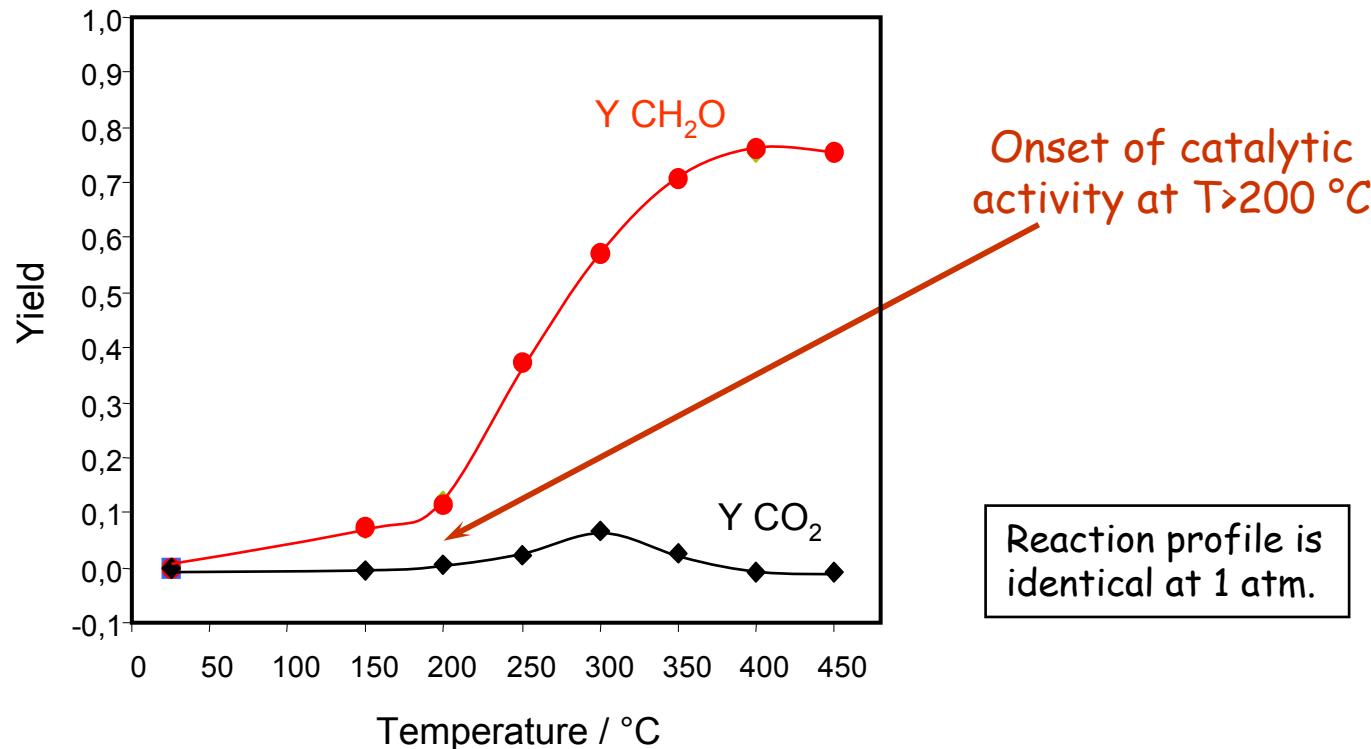
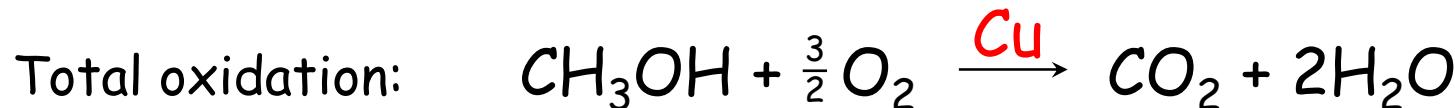
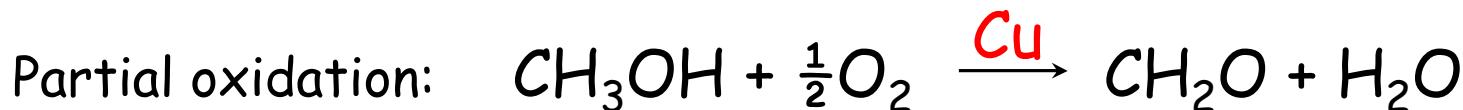


Close-up of sample-first aperture region



Gas phase composition can be measured by XPS.
gas phase signal:
1 torr·mm ~ a few monolayers

Application of in situ XPS to catalysis: methanol oxidation on Cu

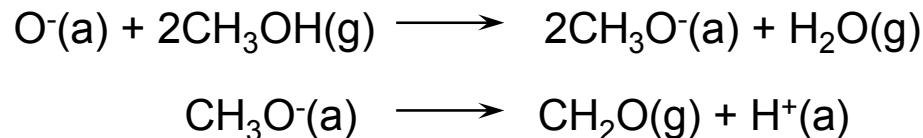


What is the state of the surface under reaction conditions?

Partial oxidation of methanol

UHV XPS

I.E. Wachs & R.J. Madix, *Surf. Sci.* 76, 531 (1978); A. F. Carley et al., *Catal. Lett.* 37, 79 (1996).



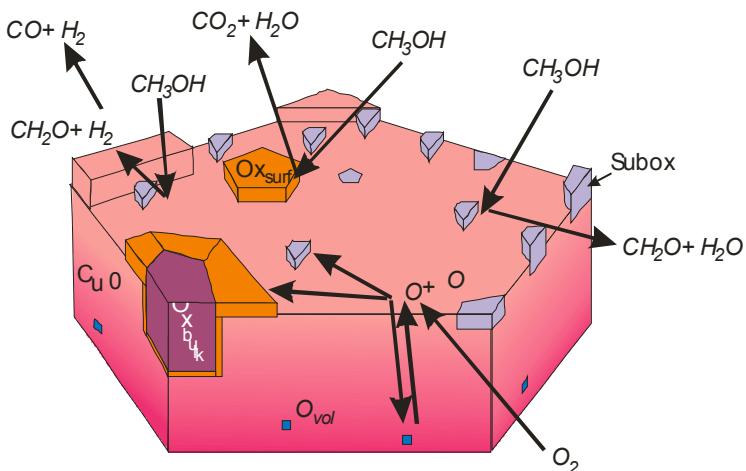
In situ NEXAFS

A. Knop-Gericke et al., *Topics Catal.* 15, 27 (2001).

$\text{CH}_3\text{OH} + \text{O}_2 \sim 0.5 \text{ mbar}$

suboxide phase:

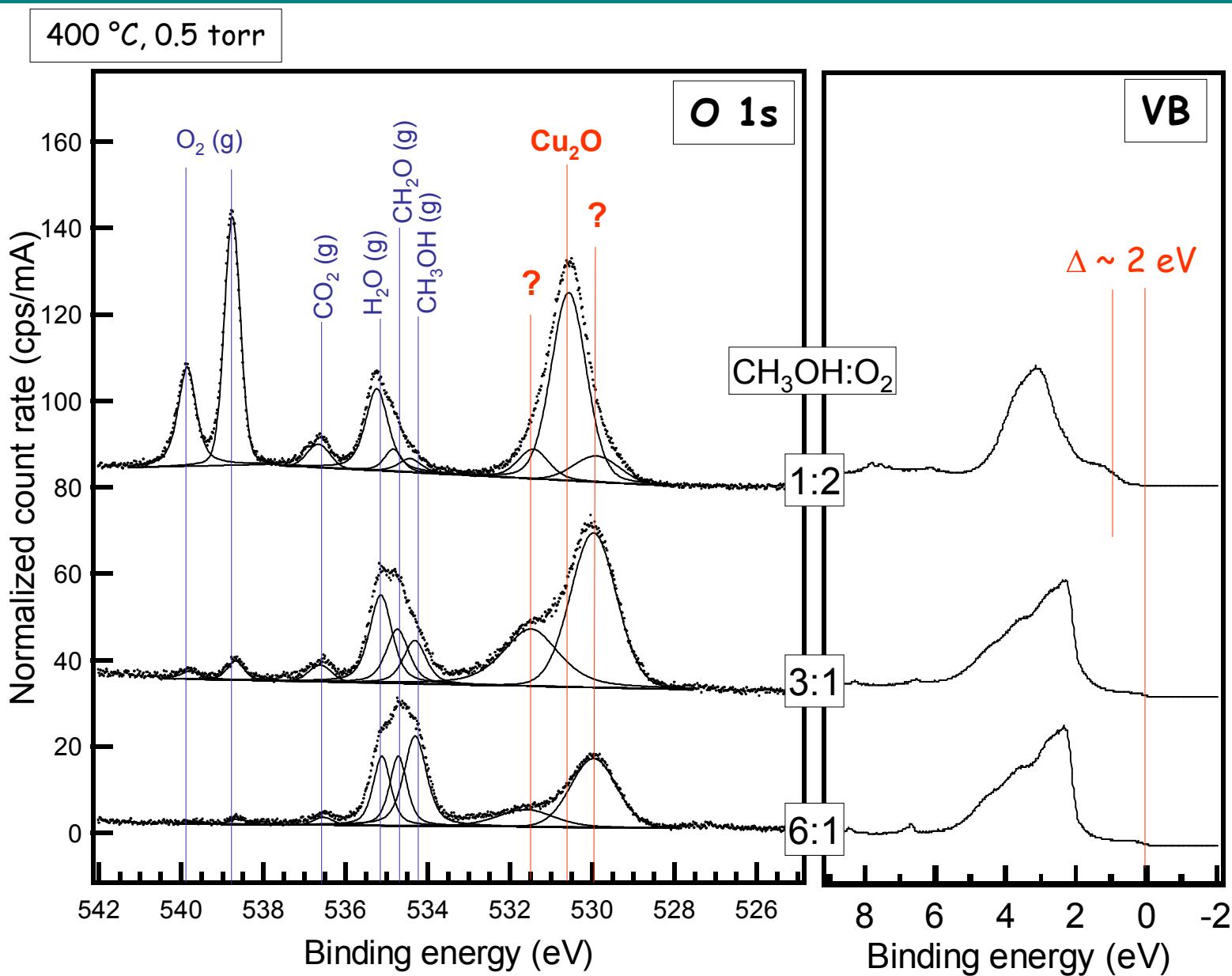
- only present in situ



Questions for in situ XPS:

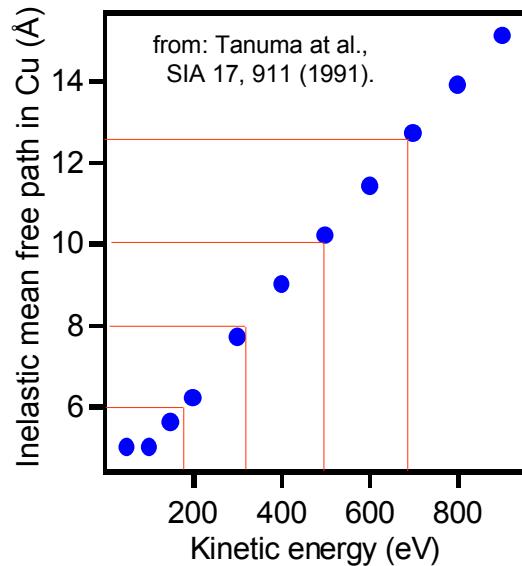
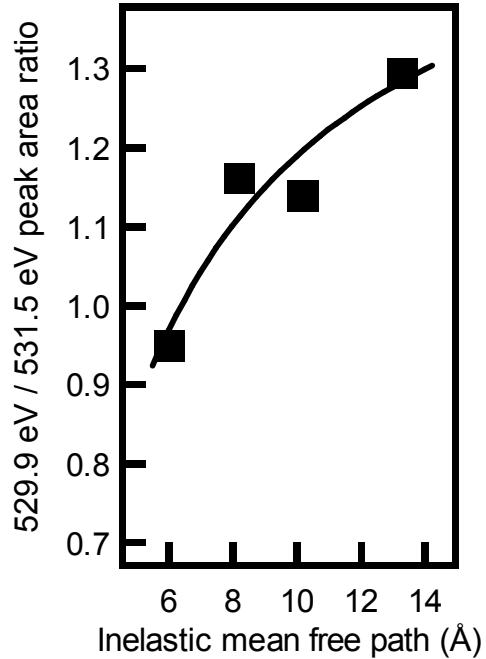
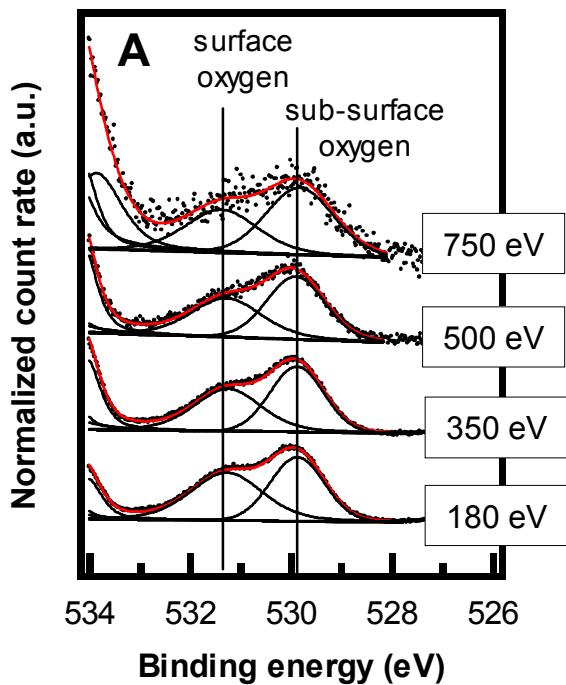
- Quantitative analysis of surface species
- Carbon species on the surface
- Depth-dependent analysis

Methanol oxidation on Cu: O1s spectra



O1s depth profiling

$\text{CH}_3\text{OH} : \text{O}_2 = 3:1$

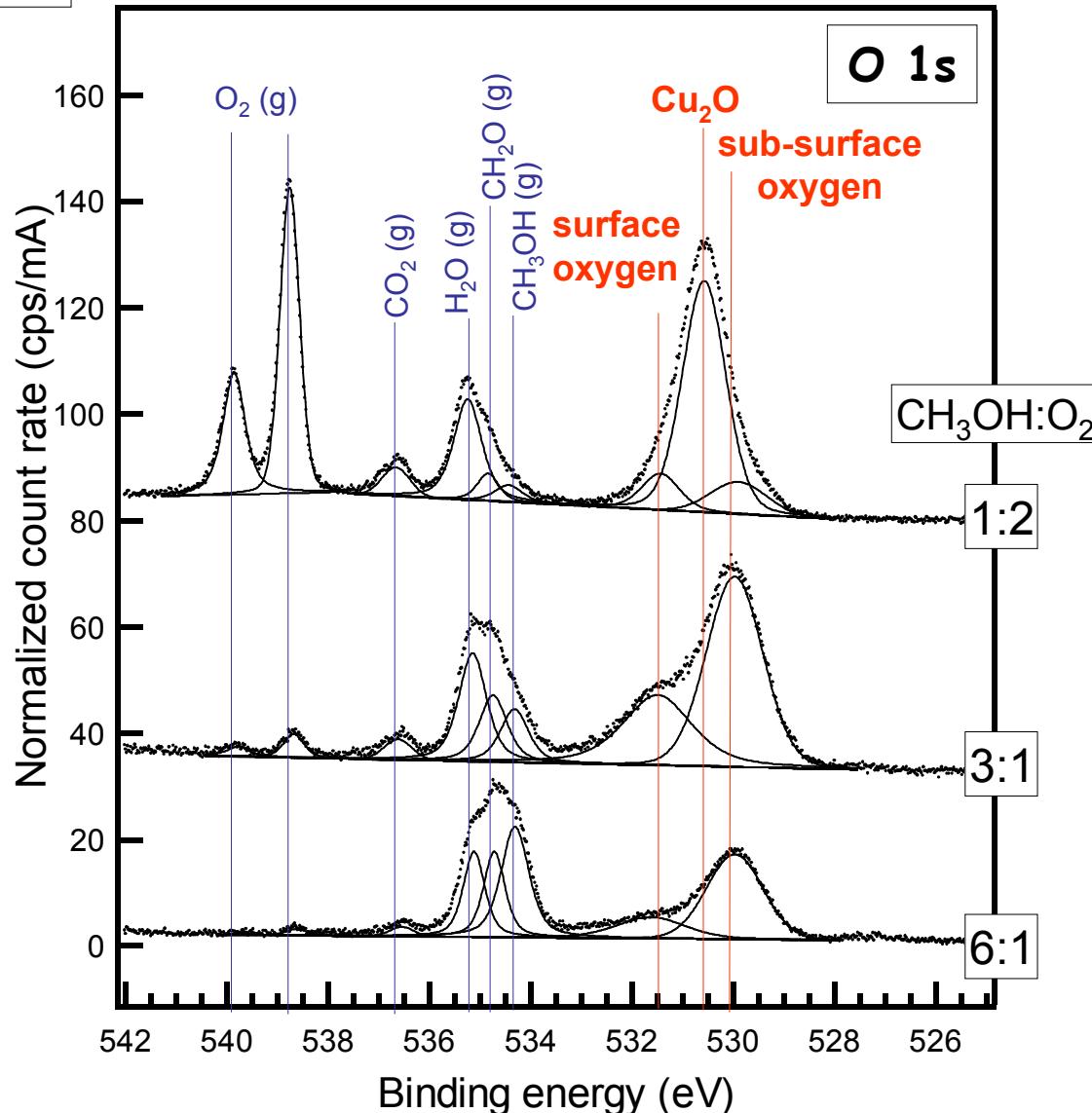


$$\frac{I_{529.9}}{I_{531.5}} = n_{529.9} / n_{531.5} \cdot \exp[-(z_{531.5} - z_{529.9})/\lambda]$$

$$\Delta z = 3 \text{ \AA}, n_{529.9} / n_{531.5} = 1.6$$

Variation of the gas phase composition

400 °C

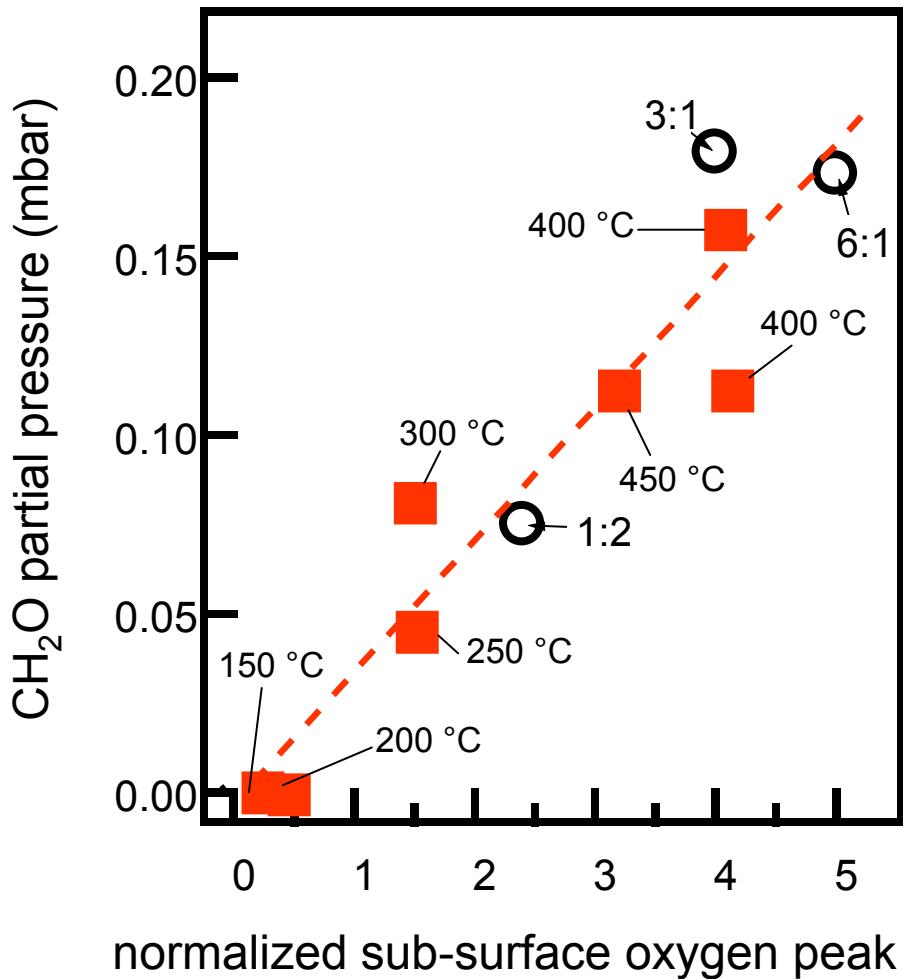


conversion CH_3OH (part. press. CH_3OH)	yield CH_2O (part. press. CH_2O)	yield CO_2 (part. press. CO_2)
0.68 0.053	0.46 0.075	0.22 0.072
0.58 0.167	0.45 0.179	0.13 0.103
0.38 0.307	0.35 0.173	0.03 0.030

partial pressures in mbar

Correlation of catalytic activity and surface species

CH₂O yield vs sub-surface oxygen peak area



mixing ratio series
(T = 400 °C)

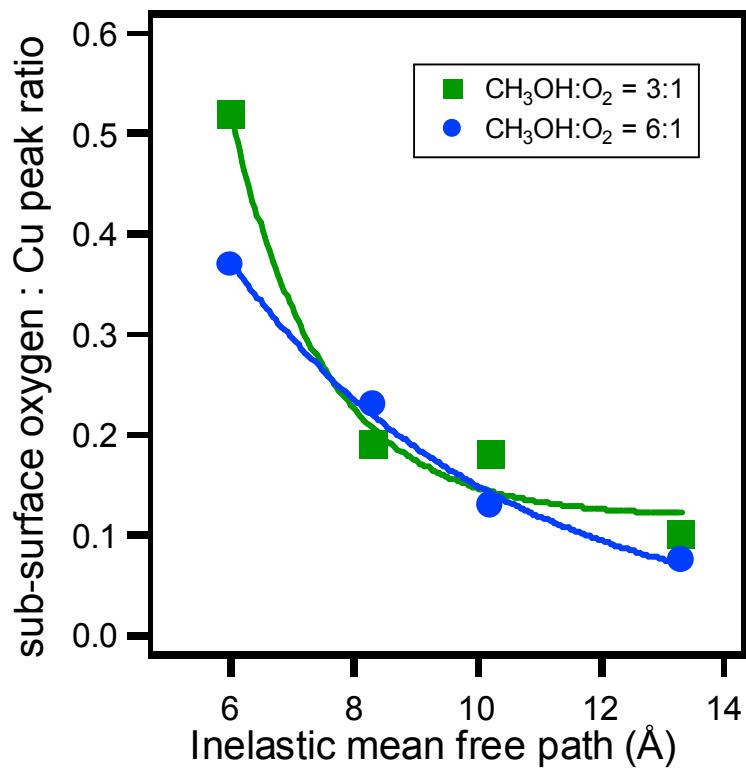
temperature series
(CH₃OH:O₂=3:1)

Open questions:
What is the nature of the
sub-surface oxygen
species?
What is its role in the
catalytic reaction?

Depth profiling

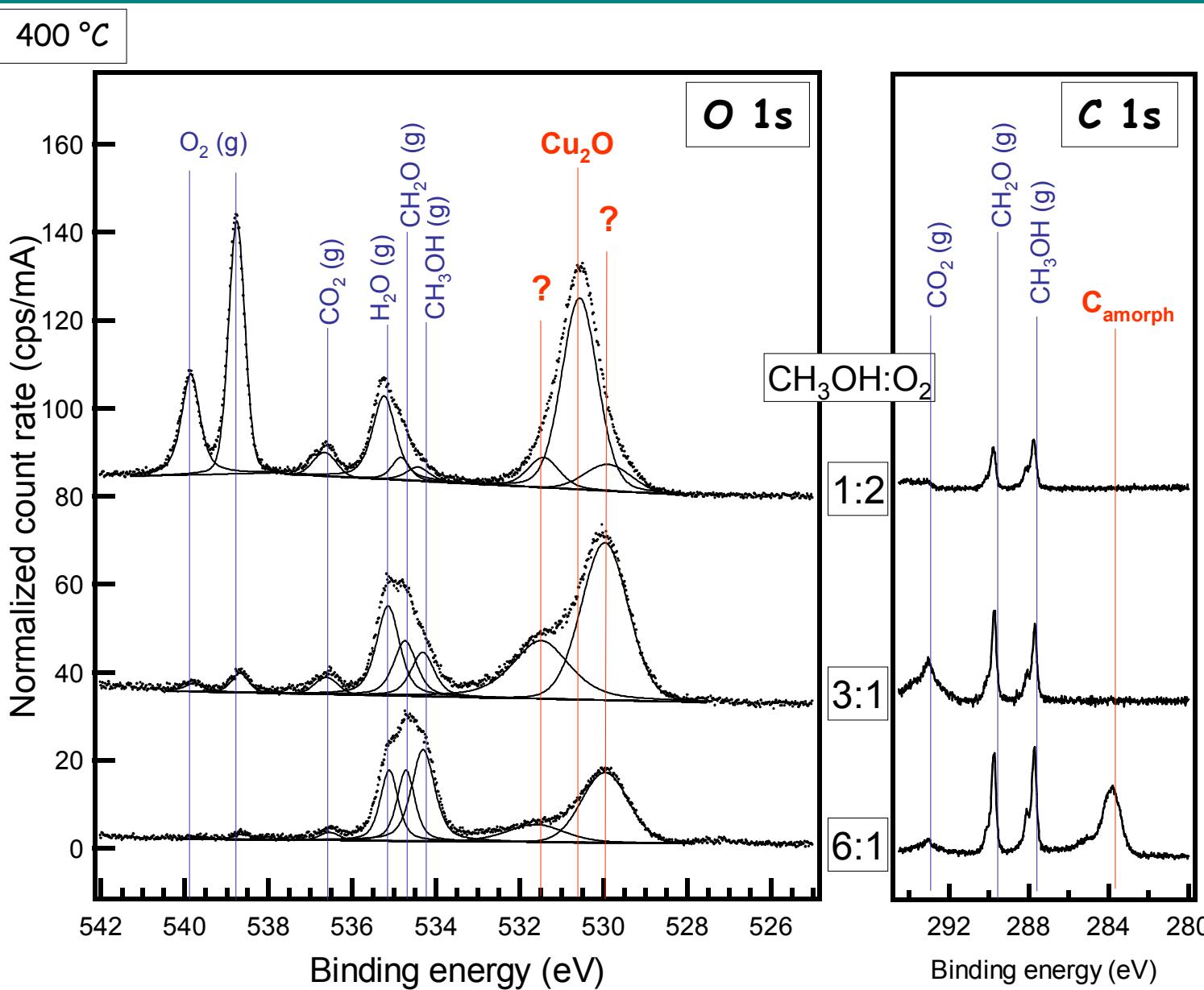
(calculated from Cu 3p and sub-surface O 1s)

Reducing conditions



Open questions: What is the nature of the sub-surface oxygen species?
What is its role in the catalytic reaction?

Methanol oxidation on Cu: C1s spectra



Summary

core states
atom specific
quantitative
complex final state effects
chemical shift concept
theoretically difficult accessible
can be applied in the mbar range
surface sensitive
depth profiling

Literature

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4. D. Briggs, M. P. Seah: **Practical Surface Analysis, Volume 1: Auger and X-Ray Photoelectron Spectroscopy**, 2. Auflage, John Wiley & Sons, Chichester, 1990
5. C. D. Wagner, W. M. Riggs, L. E. Davis, J. F. Moulder, G. E. Muilenberg: **Handbook of X-Ray Photoelectron Spectroscopy**, Physical Electronics Division, Perkin-Elmer Corporation, Eden Prairie, Minnesota, 1979
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